

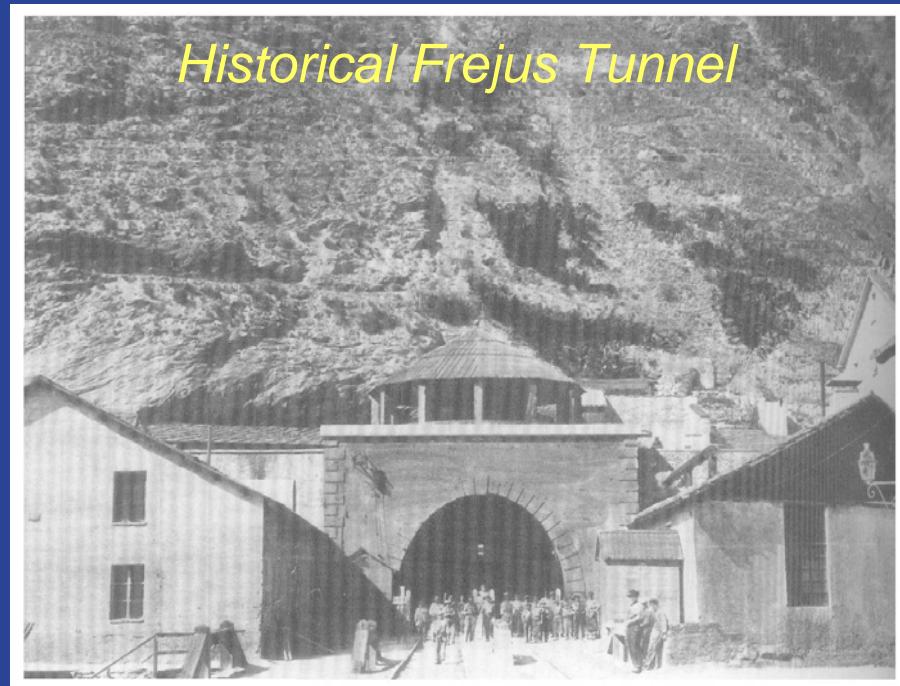
TUNNELS IN ROCK

Piergiorgio Grasso

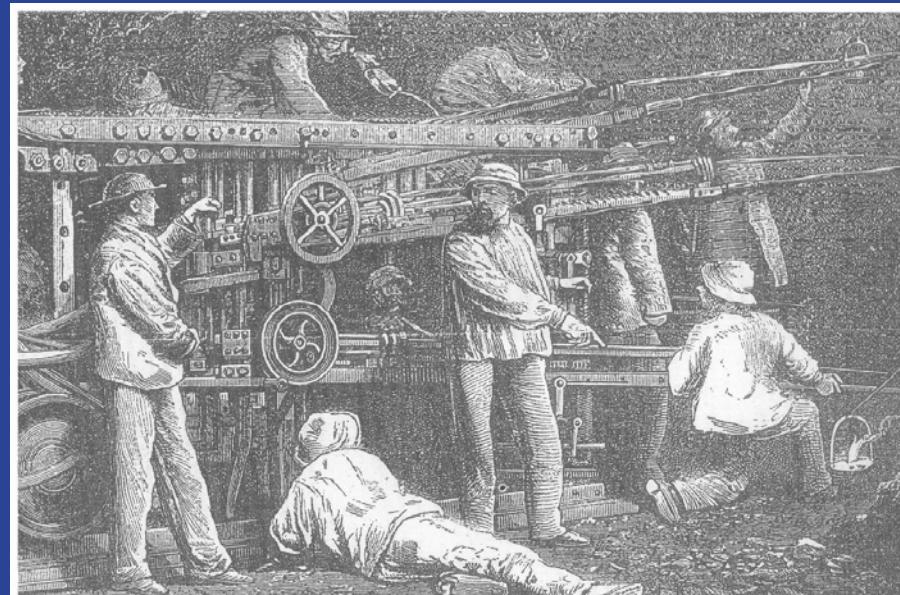
Torino, 17 Novembre 2004

The complexity of the new challenges

Tunnelling in rock is often related with the construction of long and deep tunnels, which have always represented one of the most complex and ambitious engineering undertakings.

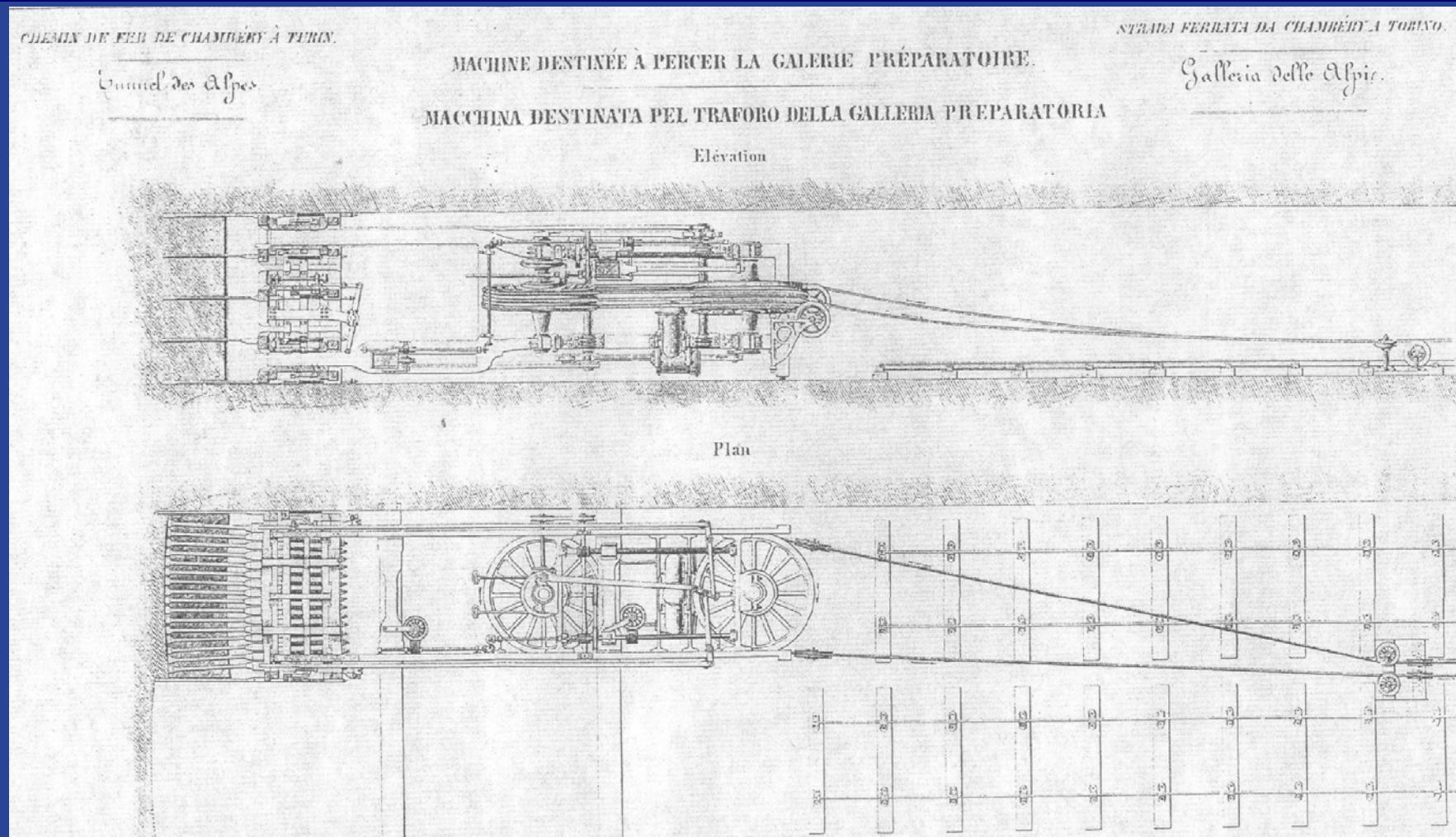


The increasing number of new challenging projects together with the pressing market requirements – in terms of quality, safety and costs – continuously lead to new difficulties to be overcome.



Operating the drilling machine at the tunnel face

The past offers many examples of how challenges were faced ...

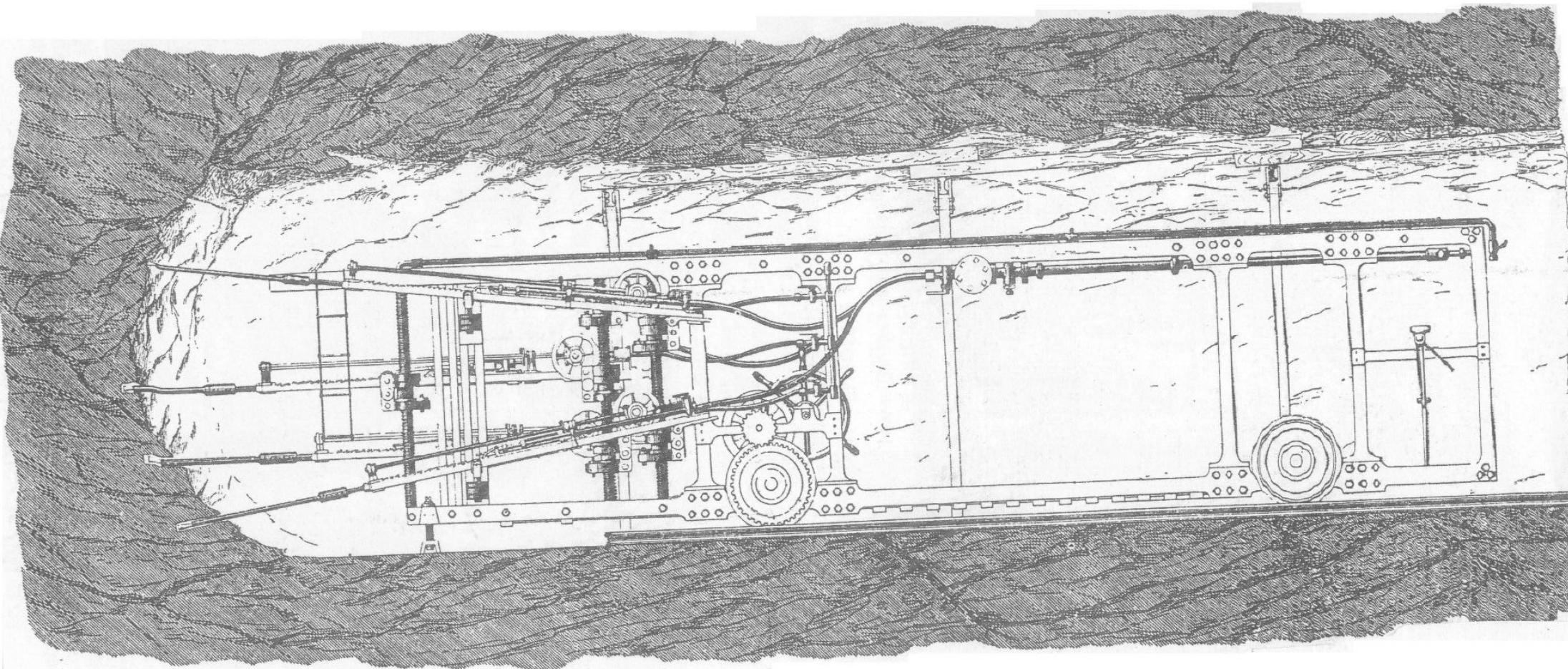


Historical FREJUS Tunnel – The tunnel boring machine designed by Eng. Henry Maus (1848)

Since the very first experiences of tunnelling in the Alps two requirements have been raised:

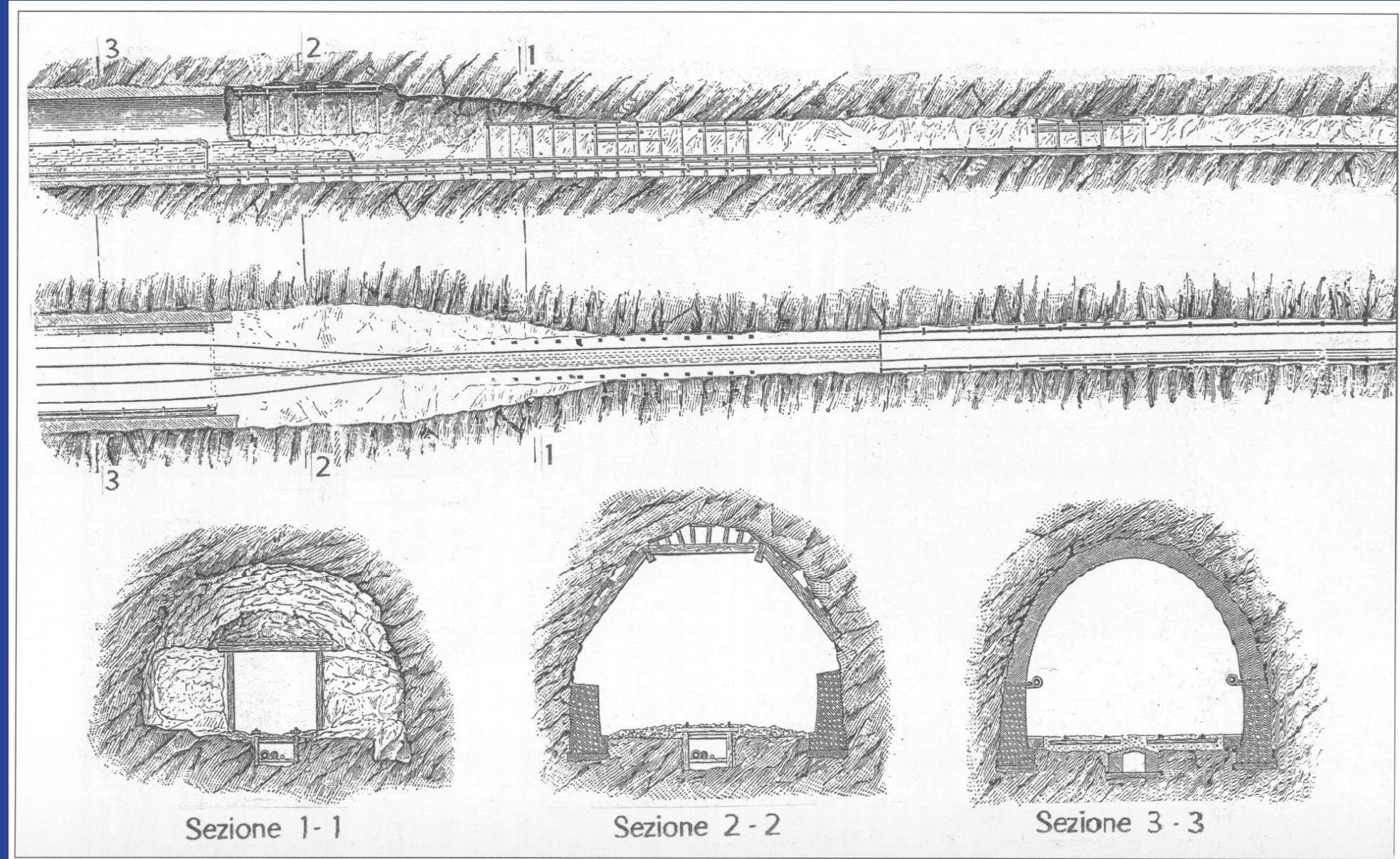
- *excavating as fast as possible*
- *limiting the costs as much as possible*

... and how they were successfully overcome

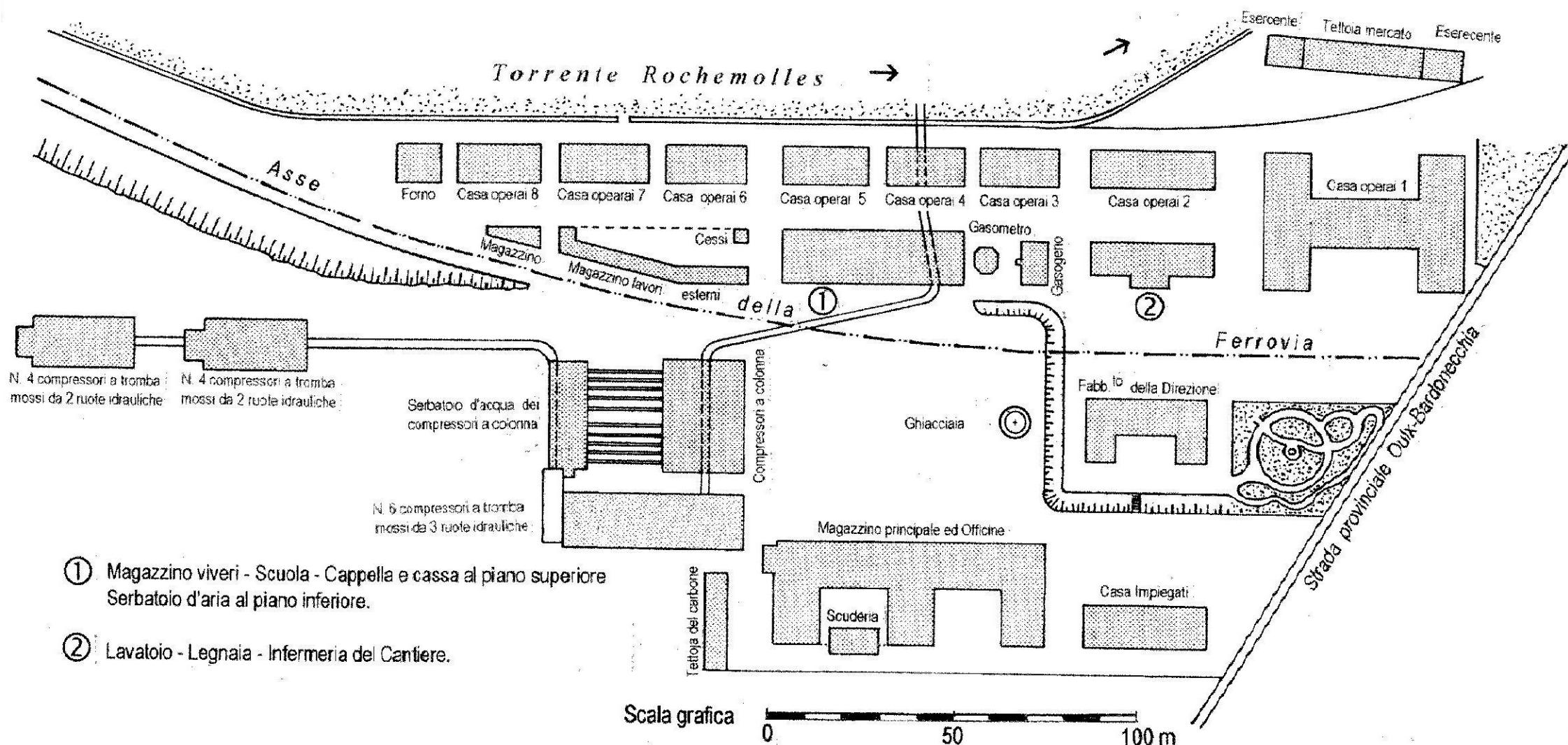


Drilling machine designed by G. Sommelier for excavating the Frejus Railway Tunnel 130 years ago

Necessity stimulates Innovation



Historical FREJUS Tunnel - *Scheme of the construction sequence*



General scheme of the worksite logistics in Bardonecchia (around 1865)

The main work done so far by the ITA WG 17 was to understand better - with the help of the entire tunneling community - the worldwide trends in the planning, implementation, operation and maintenance of major infrastructure projects involving long tunnels at great depths.



QA photos

First Report of ITA WG17 “LONG TUNNELS AT GREAT DEPTH”

- 1. Introduction**
- 2. Project specifications**
- 3. Ground conditions**
- 4. Safety and environment**
- 5. Design requirements**
 - 5.1 Technical approach
 - 5.2 Characteristics of the various options through conceptual and preliminary designs
 - 5.3 Constructability analysis of each design-construction-investment option
 - 5.4 Comparison of configuration and method options
 - 5.5 Comparison of alignments
- 6. Tunnel construction**
 - 6.1 Tunnel excavation
 - 6.2 Tunnel support
 - 6.3 Ventilation during construction
 - 6.4 Haulage and transportation equipment
 - 6.5 Reuse of excavated materials
 - 6.6 Concrete
- 7. Special conditions for railway tunnels**
- 8. Special conditions for road tunnels**
- 9. Risk assessment and management**
- 10. References**

The tunnelling world has witnessed steady incremental improvement in tunnel construction techniques, especially mechanized excavation methods.

In the area of mechanized tunnelling the case-histories presented in this Congress emphasize the huge improvements achieved:

- **the diameter of the tunnels keeps increasing;**
- **the performance of TBMs are constantly improving;**
- **the length of a drive by a single TBM is also increasing.**

The first report prepared by the WG17 was set to meet the following specific objectives:

- *to report the state-of-the-art of the field,*
- *to provide a checklist of the problems involved,*
- *to recommend an approach or a methodology for resolving the major problems in the checklist.*

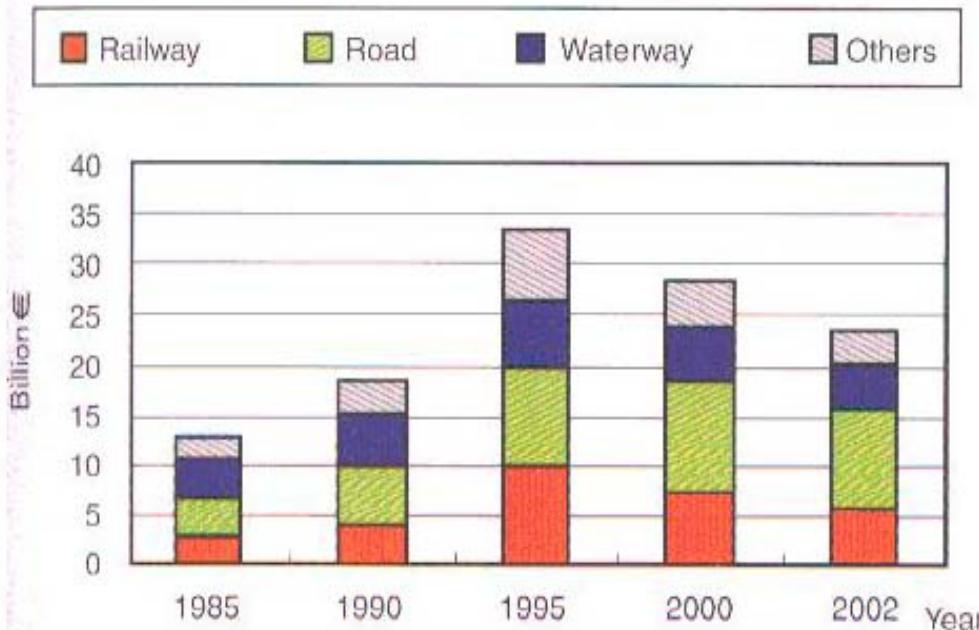
The feedback and advice from the professional, diversified and qualified audience of this lecture will be a valid contribution for the continuation of the works by WG17.

Tunnel Name	Type	Country	Opening	Length [km]	Diameter [m]	Notes
TUNNELS IN OPERATION						
Wanjiazhai	hydraulic	China	2001	88.7	4.9	4 tunnels excavated with 4 double-shield telescopic TBMs
Seikan	railway	Japan	1988	53.8	9.6	23.3 km of submarine section; 240m beneath the sea level
Channel	railway	UK - France	1994	52		11 TBMs
Laerdal	road	Norway	2000	24.5		NATM
Sempione	railway	Italy – CH	1922	19.8		
Vereina	railway	Switzerland	2001	19	7.64	9414m TBM bored in 22 months
Qinling	railway	China	1999	18		Twin tunnels, D&B for one tube, 2 TBMs for the second tube
St. Gotthard	road	Switzerland	1980	17		
Frejus	railway	Italy - France	1871	13.6		
Pinglin	road	Taiwan	2004	12.9	11.8	3 DS TBMs
North Cape	road	Norway	1999	7		212m beneath the sea level
Great Belt	railway	Denmark	2000	7		

Some challenging international projects

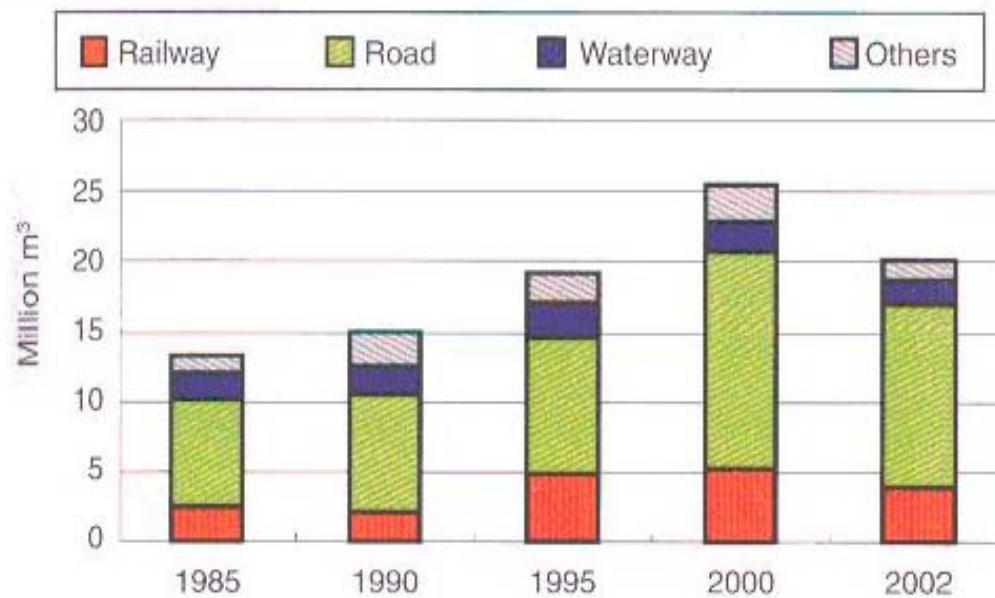
Tunnel Name	Type	Country	Started	Length [km]	Diameter [m]	Notes
TUNNELS UNDER CONSTRUCTION						
Gotthard Base		Switzerland	1999	57	9.5	90% of the length with 8 TBMs
Lotschberg Base		Switzerland	1999	36	9.5	
Guadarrama	railway	Spain	2002	22	9.5	Under construction by 4 TBMs
Hida	road	Japan		10.75	12.84 + 4.5	Tunnel + emergency tunnel
Pajares	railway	Spain	2004	25	10	

Trend of Amount in Tunnel Works under Contract

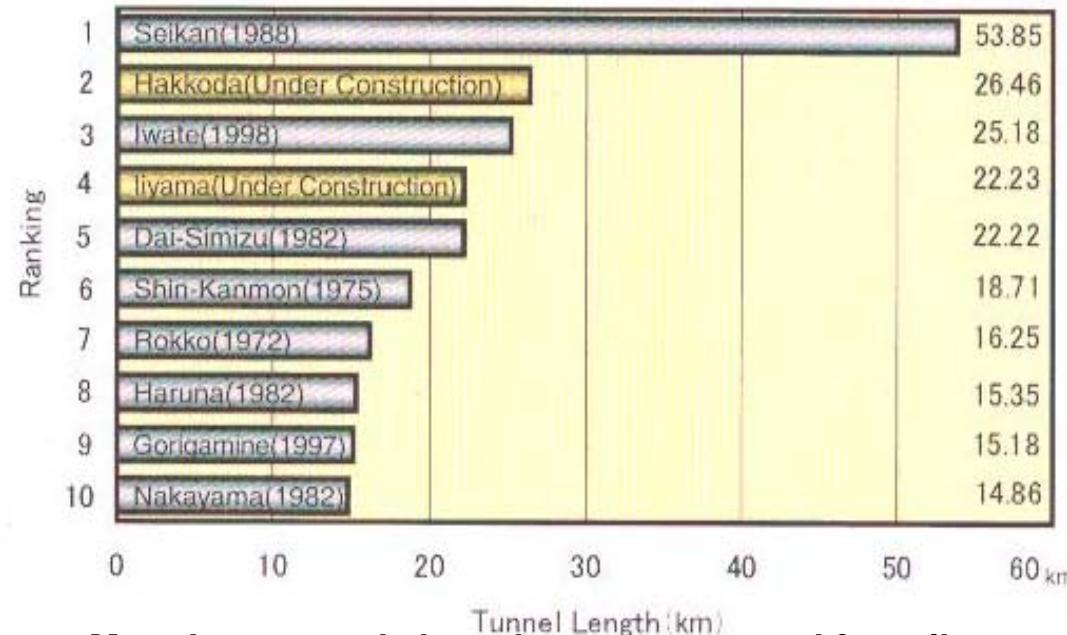


In 2000 alone, the total length of tunnels under construction was as much as 1178km

Trend of Excavated Volume in Tunnel Works

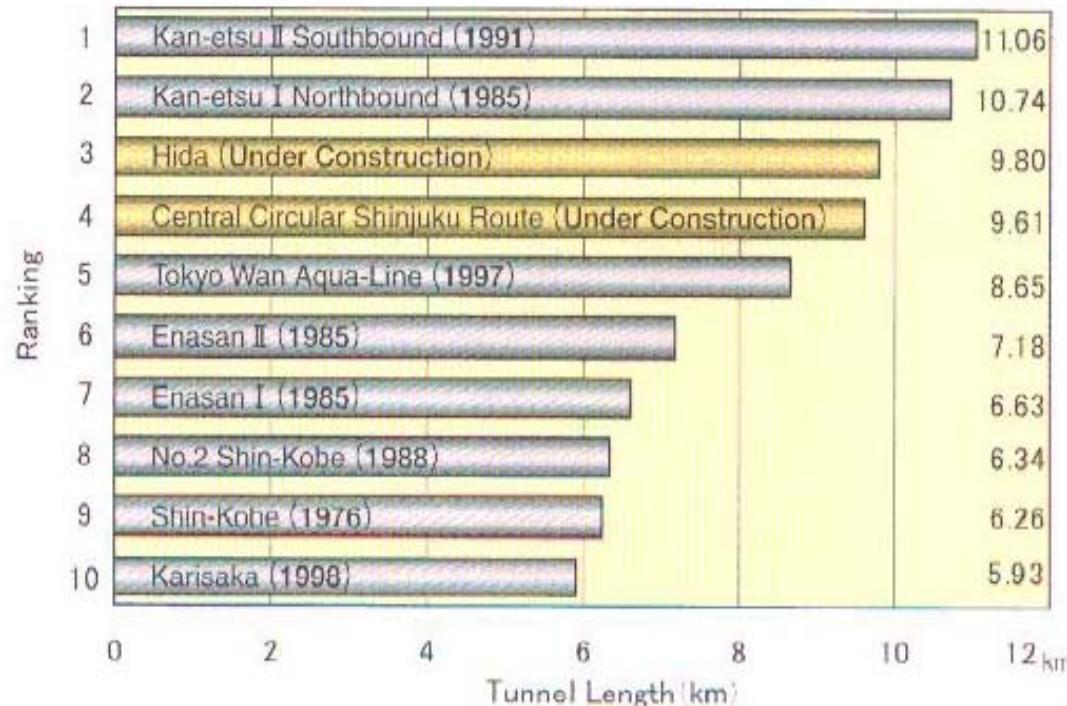


Long Railway Tunnels in Japan



More long tunnels have been constructed for railways than for roads

Long Road Tunnels in Japan



Many technological innovations have been introduced in tunnel boring machines over the last years.

Each innovation has been originated by the requirement of minimizing and controlling certain risks. Hence, an innovation is achieved through a Risk Management process.

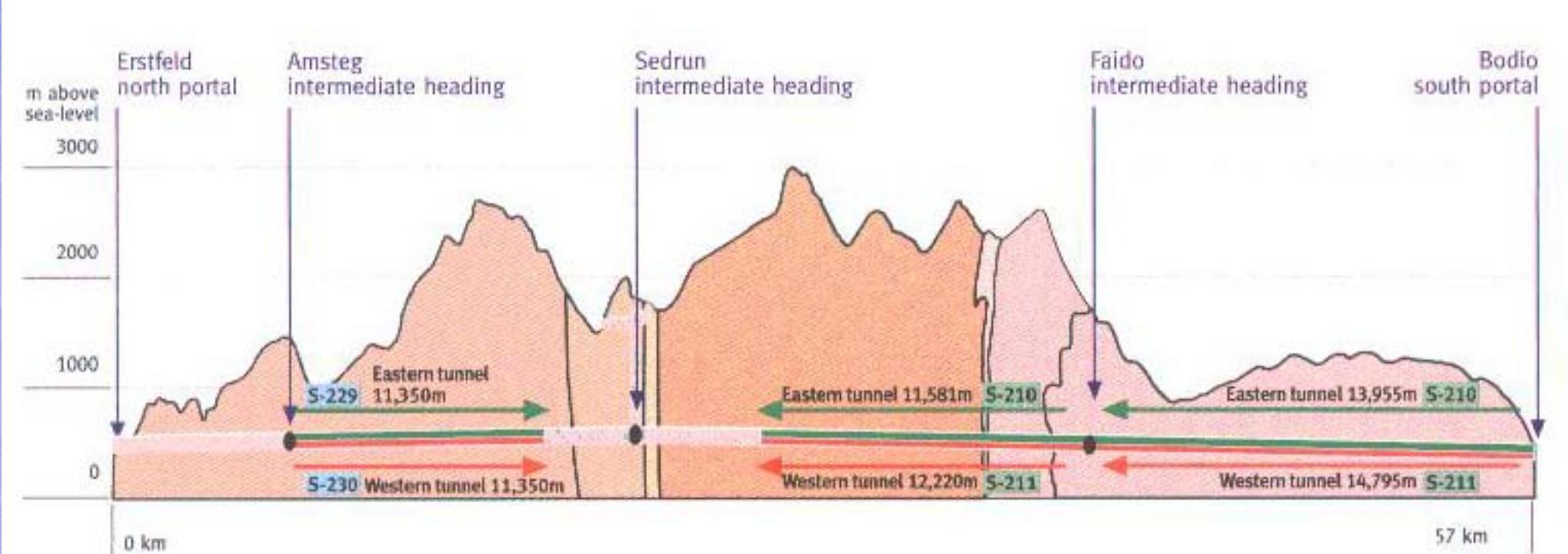
The success of an innovation depends on two main factors:

- investment
- the synergy among the new Actors: not only Owner, General Contractor and Designer, but also Contractor for the Special Works and TBM Manufacturer

Tunnels in the stage of planning and feasibility studies

Project Name	Type	Country	Length	Notes
TUNNELS IN PLANNING AND FEASIBILITY STUDY				
Brenner Base Tunnel	railway	Austria – Italy	54 km	
Lyon-Turin Base Tunnel	railway	France - Italy	52 km	
California High Speed	railway	USA	2x100 km	
Gibraltar Strait Crossing	railway	Spain - Morocco	50 km	
Nusantara Tunnel	road	Indonesia	2x33 km	
Chongming Tunnel	road	China	2x8 km	Shall be the largest bored tunnel in the world (15.2m diameter)
Brightwater System	hidraulic	USA	31 km	
Hong Kong Drainage Tunnels	hidraulic	Hong Kong	20 km	
South-North Water Transfert Scheme	hidraulic	China	244.1 km	7 tunnels, 3 of them being longer than 50 km

The new St. Gotthard Tunnel



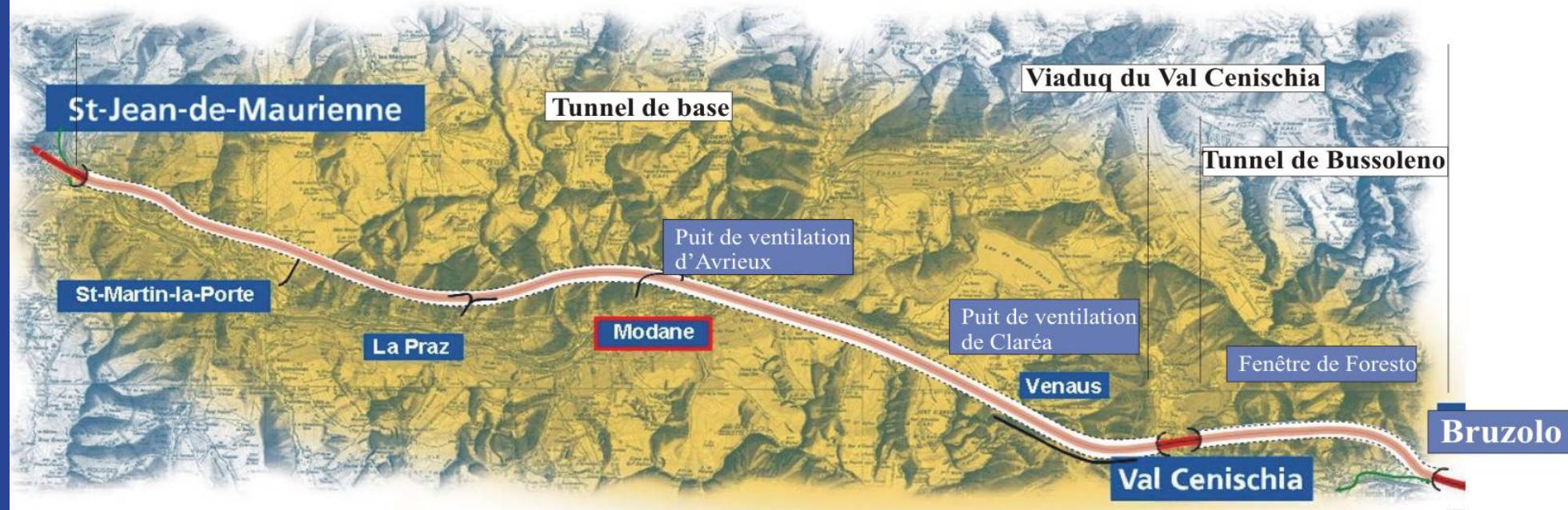
■ TBM-Advance with Herrenknecht machines

Geology

Aar Massif	Urseren-Garvera zone
Tavetsch Intermediate Massif	Gotthard Massif
Piora zone	Pennine gneiss zone

The new railway link between Turin and Lyon

Figure 2 : Le tunnel de base (53 km) et le tunnel de Bussoleno (12km) de la section commune italo - française.

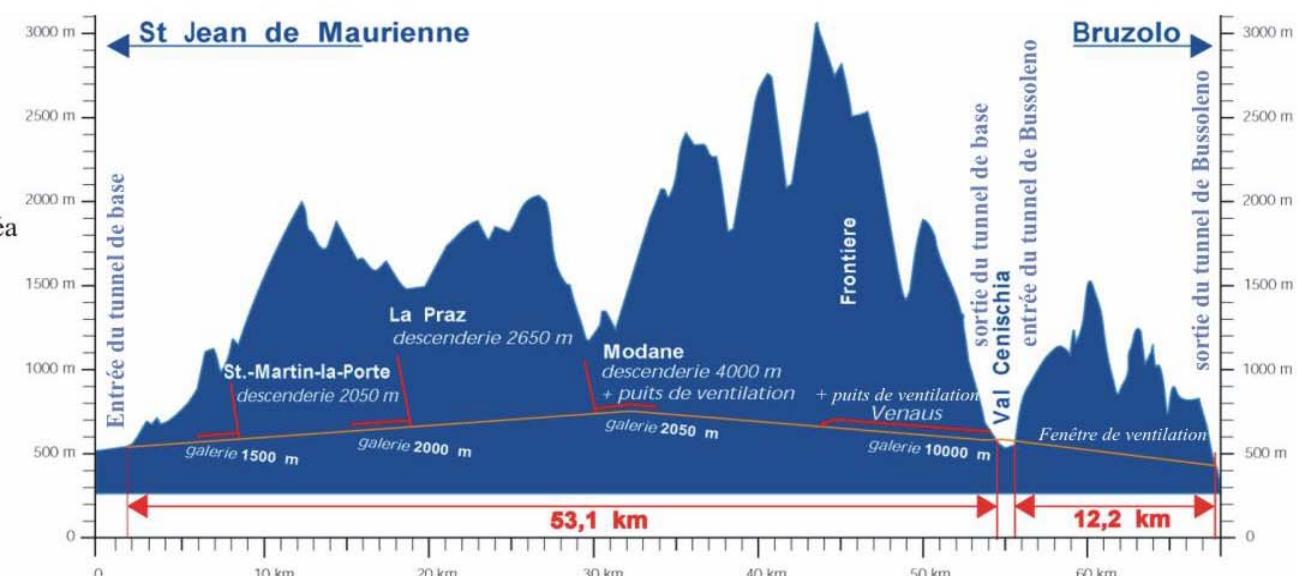


Les ouvrages secondaires :

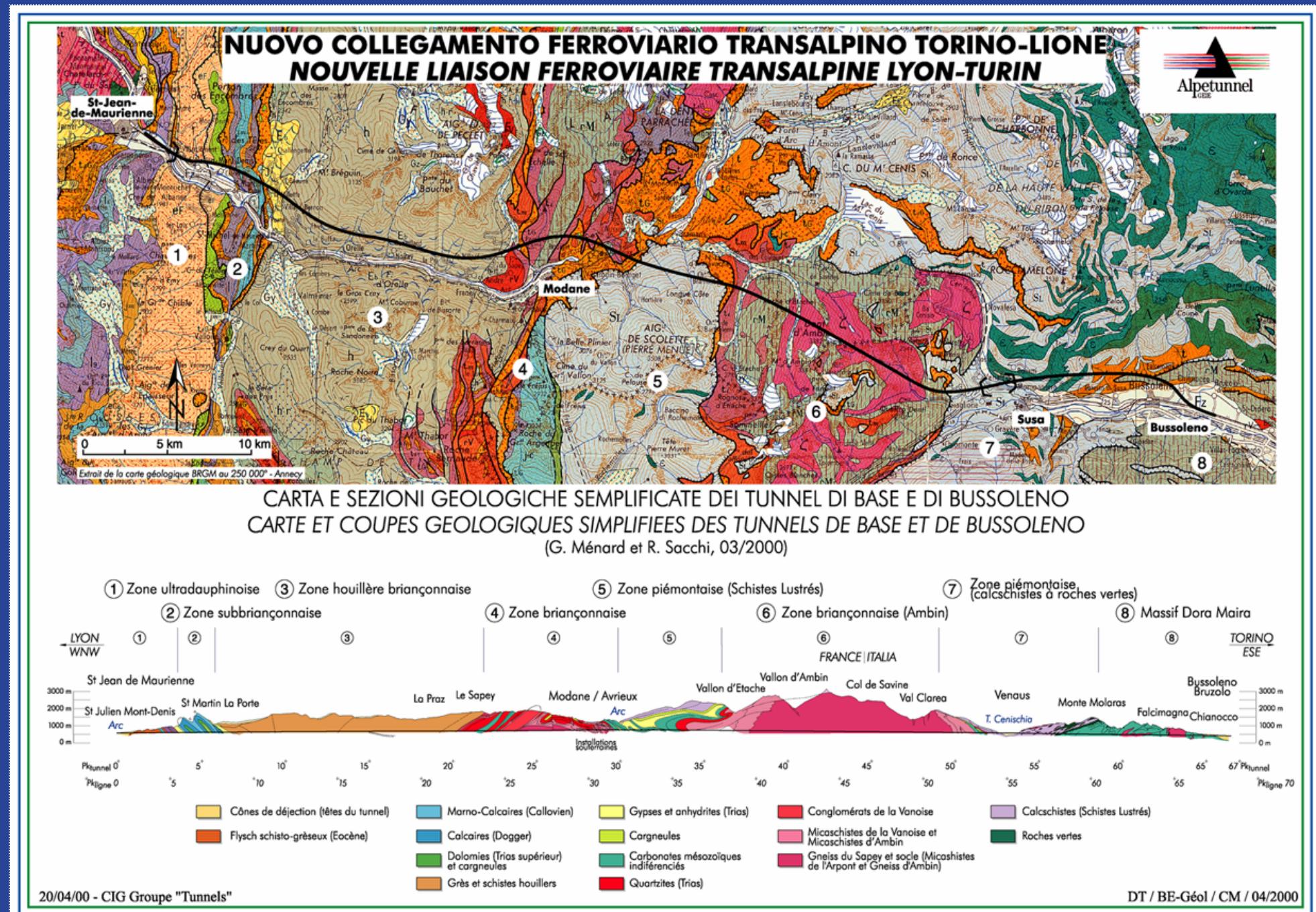
Galeries de Modane, La Praz,
St Martin La Porte et Venaus,

Puits de ventilation d'Avrieux et de Claréa

Fenêtre de Foresto



The new railway link between Turin and Lyon



Gibraltar Strait Crossing

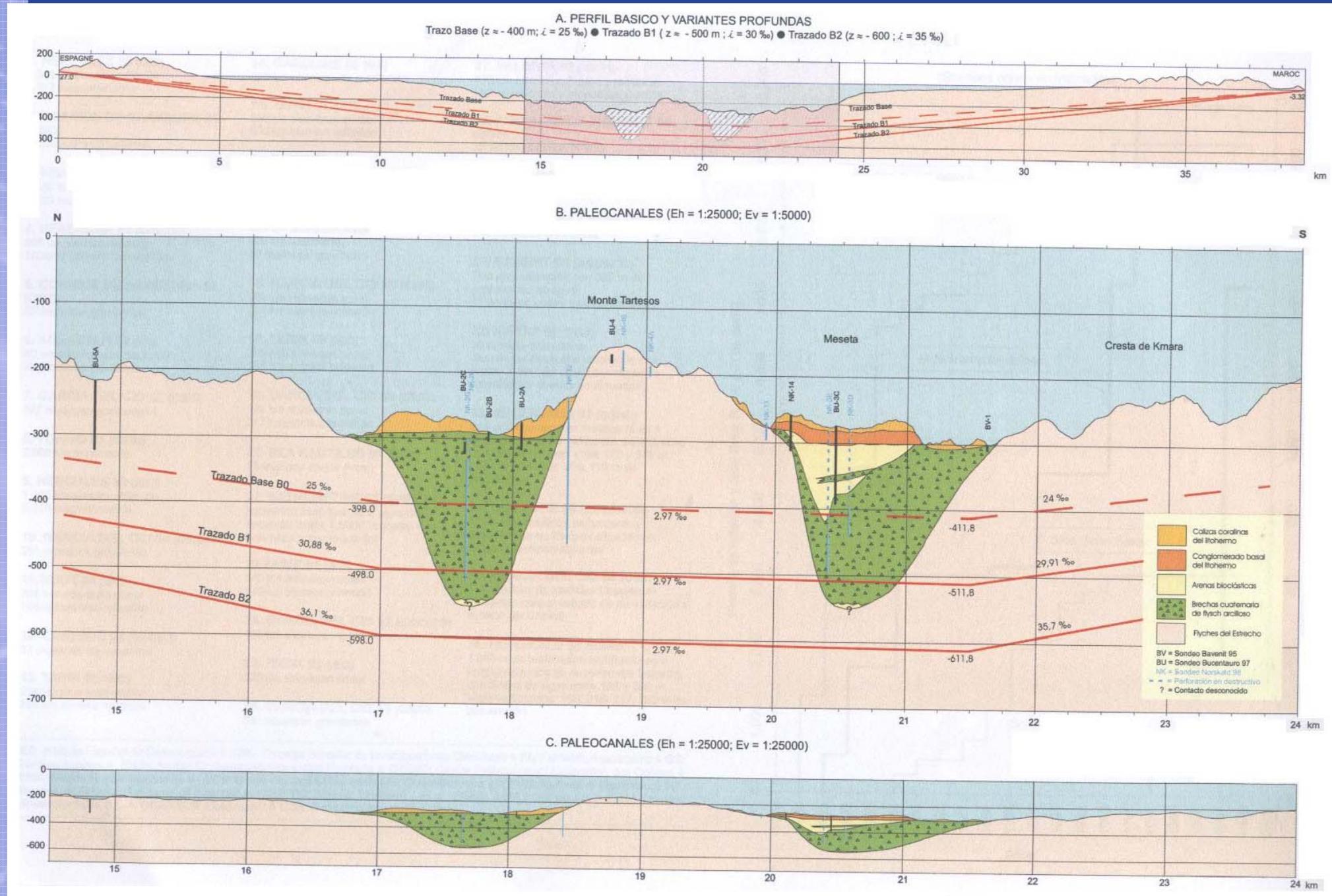
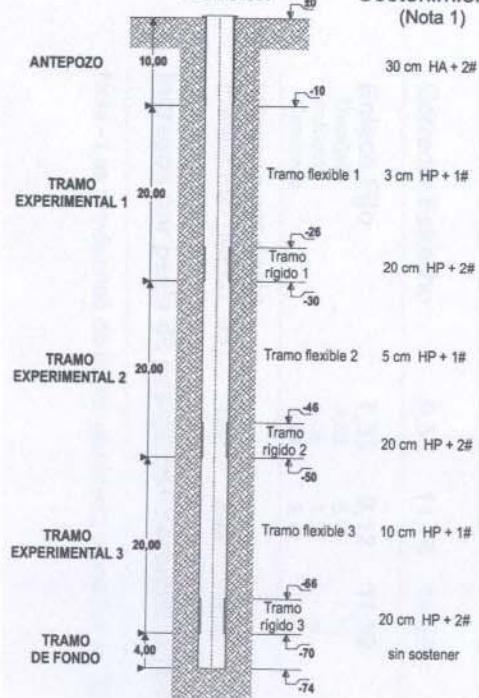


Fig. 6. OBRAS EXPERIMENTALES DE BOLONIA Y TARIFA

POZO DE BOLONIA

Profundidad: 74 m • Diámetro: 3,5 m • Perforación: martillo manual
Instrumentación: 26 x C2 + 4 x ER + 3 x EC + 3 x PC (Nota 2)

Sección

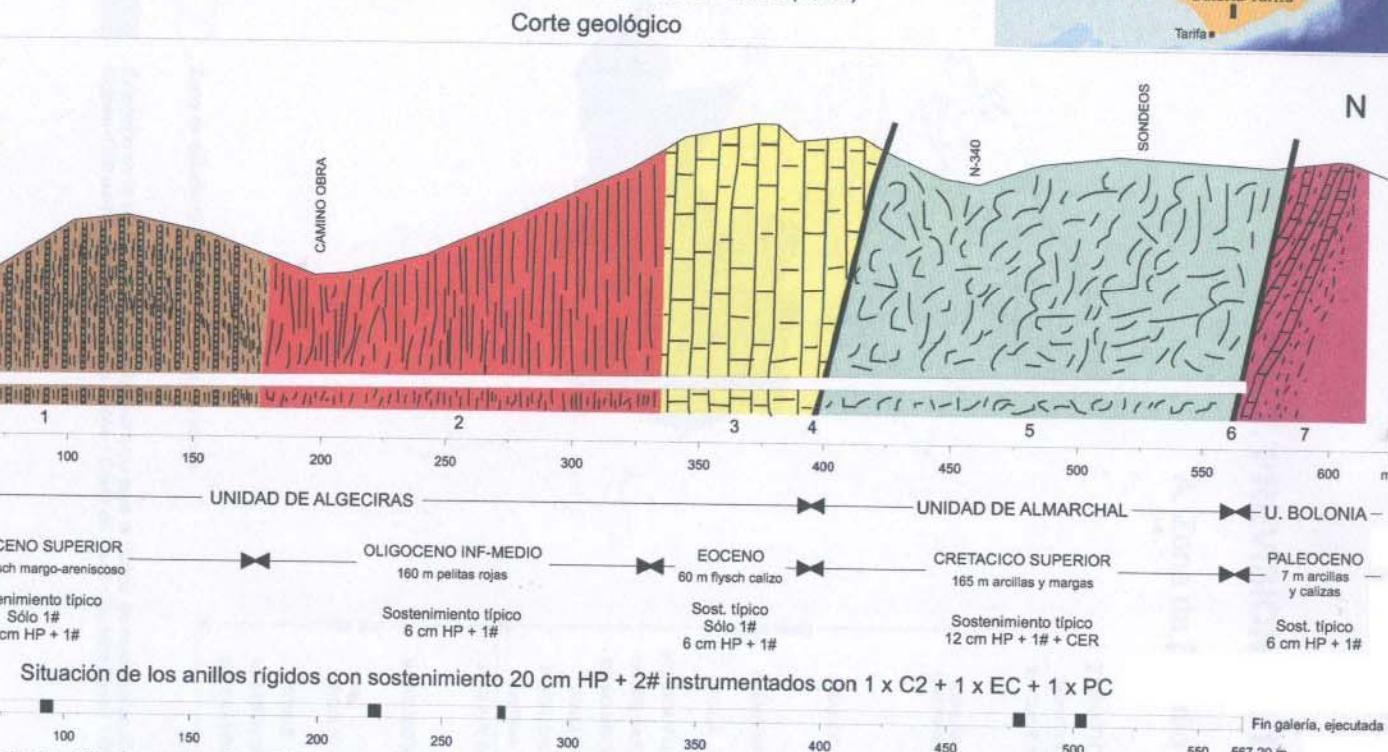


Sostenimiento (Nota 1)

GALERIA DE TARIFA

Longitud: 567,20 m • Diámetro: 3,80 m • Perforación: TBM sin escudo
Instrumentación: 62 x C2 + 10 x ER + 5 x EC + 5 x PC (Nota 2)

Corte geológico



Nota 1. La instrumentación se indica en nº de secciones instrumentadas de cada tipo: C2 = 2 convergencias • ER = 4 extensómetros radiales • EC = 4 extensómetros circunferenciales • PC = 4 células de presión radiales.
Nota 2. Los sostenimientos, en espesor en cm de HA (hormigón armado) o de HP (hormigón proyectado con fibra de acero) • Número de mallas de 100 x 100 mm x Ø 8 mm (#) • Cerchas UPE 120 por metro (CER).



Pozo de Bolonia. Al fondo el cerro de San Bartolomé



Tuneladora Ø 3,80 m utilizada en la Galería de Tarifa



Galería de Tarifa. Tramo de flysch margo-areniscoso



Behavior of the rock mass upon excavation and heterogeneity of ground in the face

Stability of the excavation face and of the cavity

Rock wedges

Yielding and plastic zone

Failure of the walls under the grippers

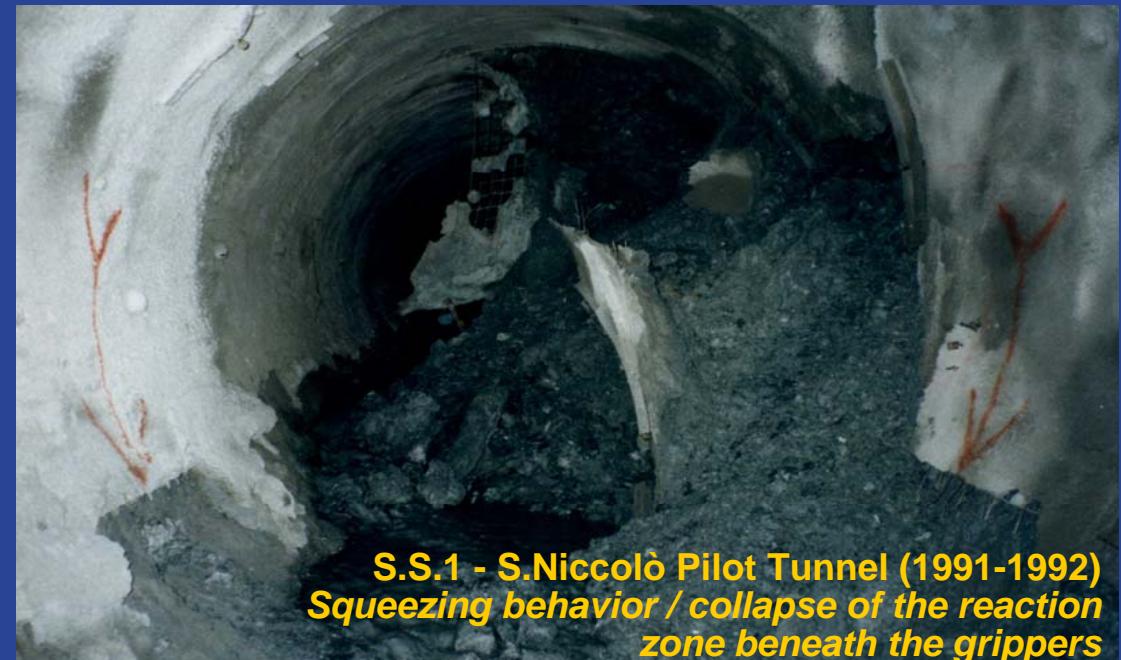
Squeezing

Swelling

Sticky behavior

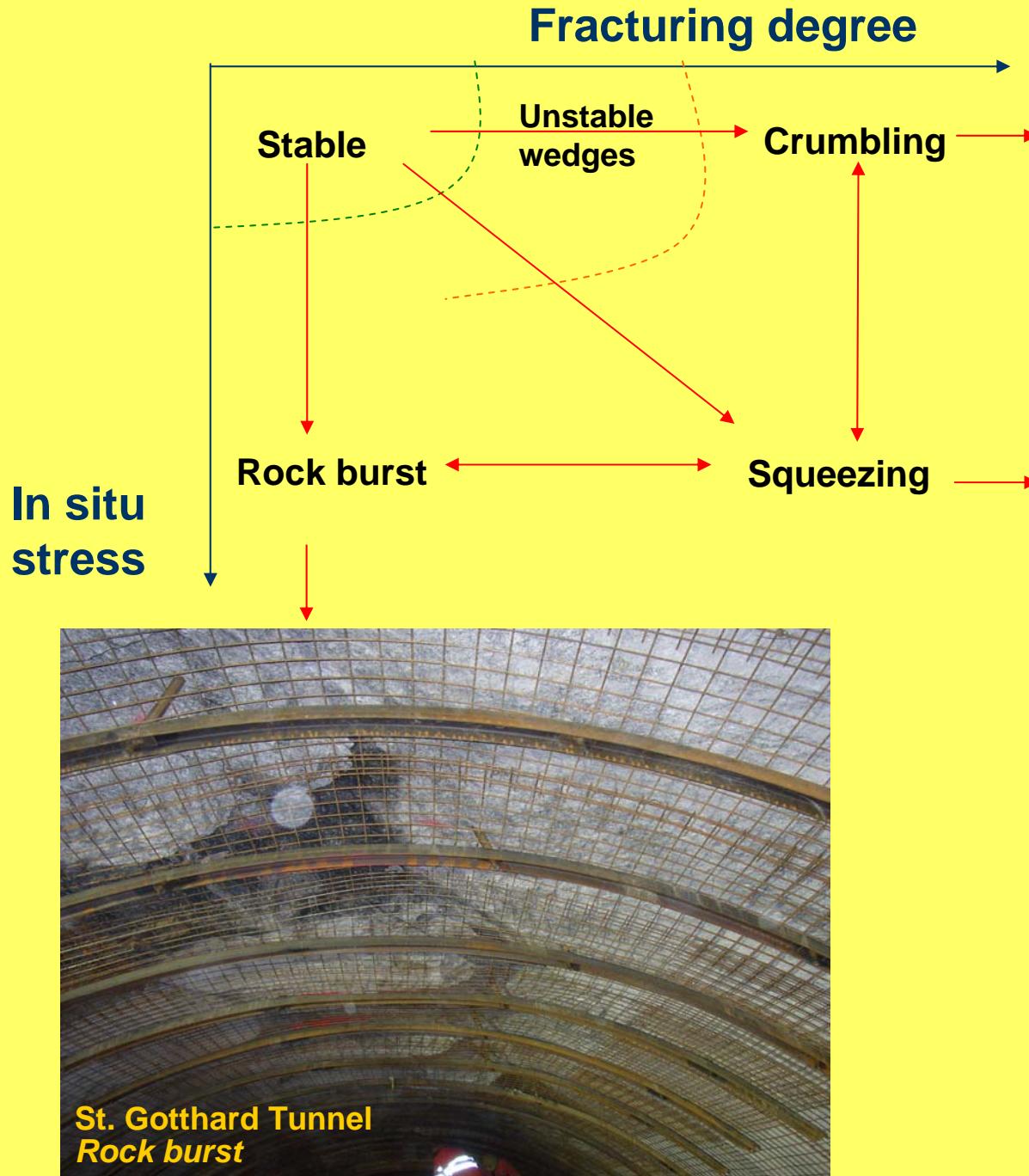
Water inflow

Gas inflow

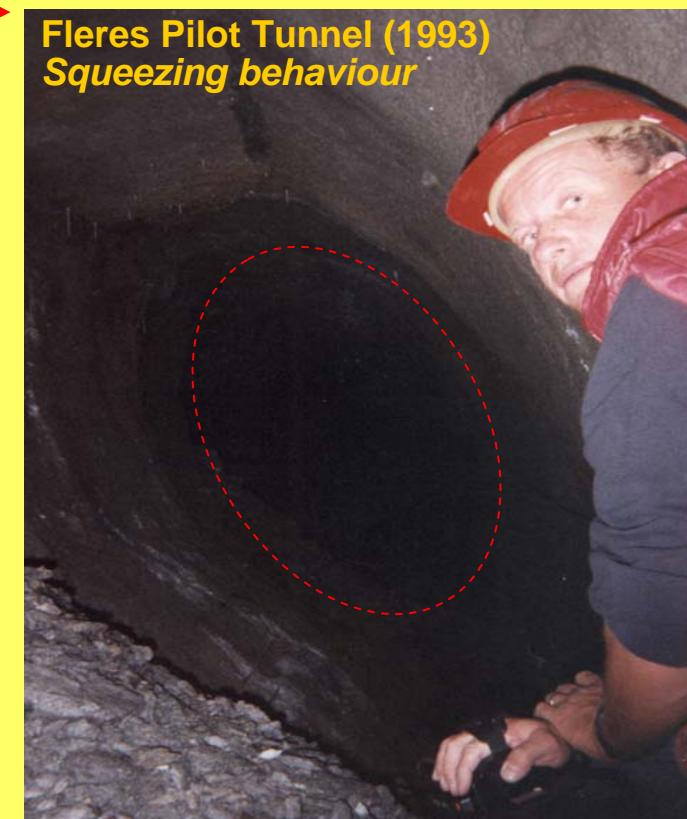


The deformation phenomena

(most frequent condition)



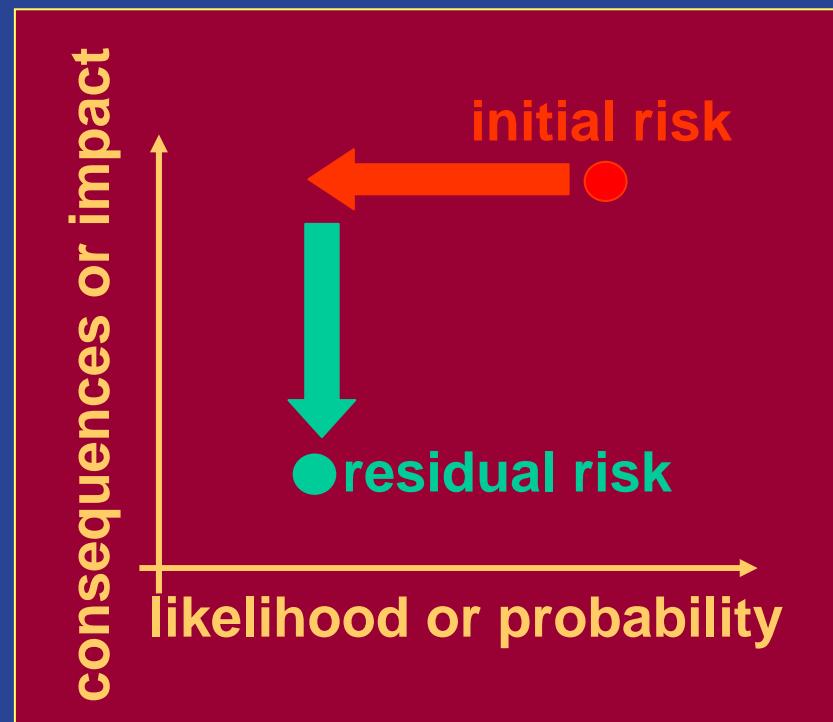
Pont Ventoux Hydroelectric Power Plant
Crumbling behavior in a fault zone: heavy support behind the head of the TBM
(from Barla and Pelizza, 2000)



The need for a Risk Management Approach

No construction project is risk free. Risk can be managed, minimized, shared, transferred, or simply accepted, but it cannot be ignored.

Realistically, not all risks associated with underground construction can be entirely avoided or mitigated.



HAZARD is an event that may cause damage, and is associated with a probability of occurrence and losses.

RISK is generally defined as the product of the probability of occurrence and the resulting losses (impact, consequence).

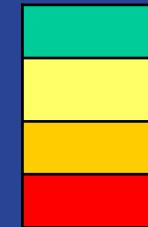
RESIDUAL RISK is the risk remaining after primary-risk response.

Risk Identification and Quantification

Risk should be properly managed by:

- risk identification,
- risk quantification,
- definition of the responses to risk

LEGEND – Risk Scale



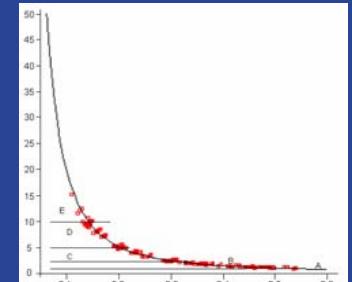
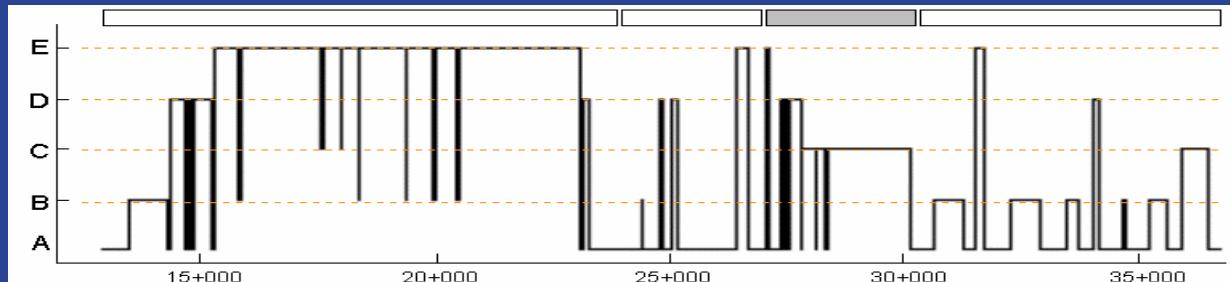
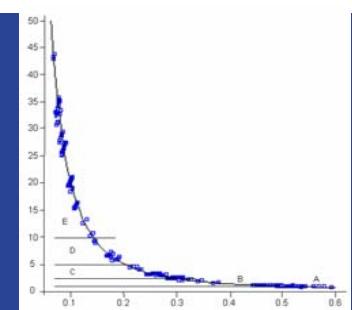
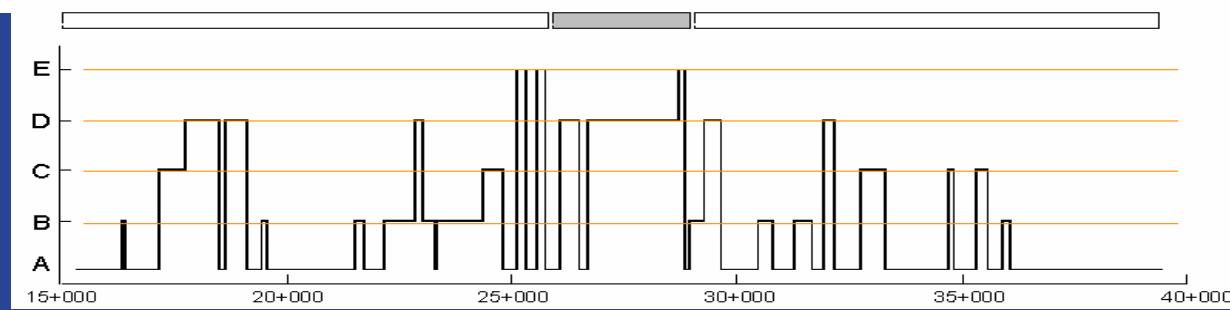
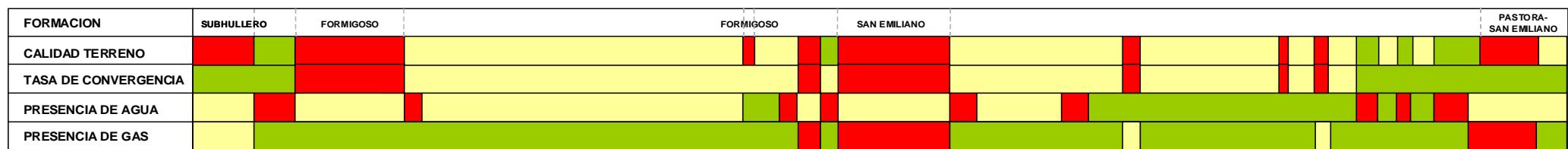
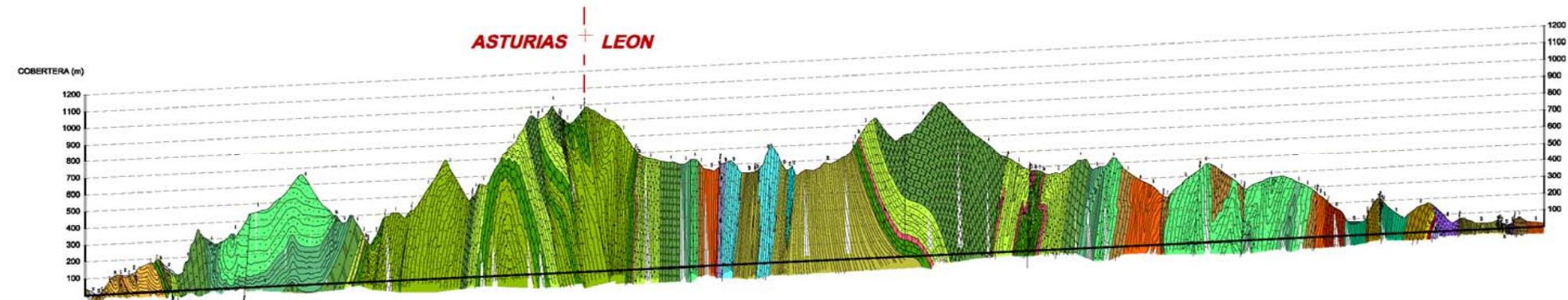
		PROBABILITY				
		Negligible	Unlikely	Likely	Probable	Very likely
IMPACT	Very high			Face instability	Rockbursts Squeezing conditions	
	High			Lowering of the groundwater table	Water Inflow	
	Medium					
	Low			Inadequate support capacity		
	Very low					

Risk Quantification

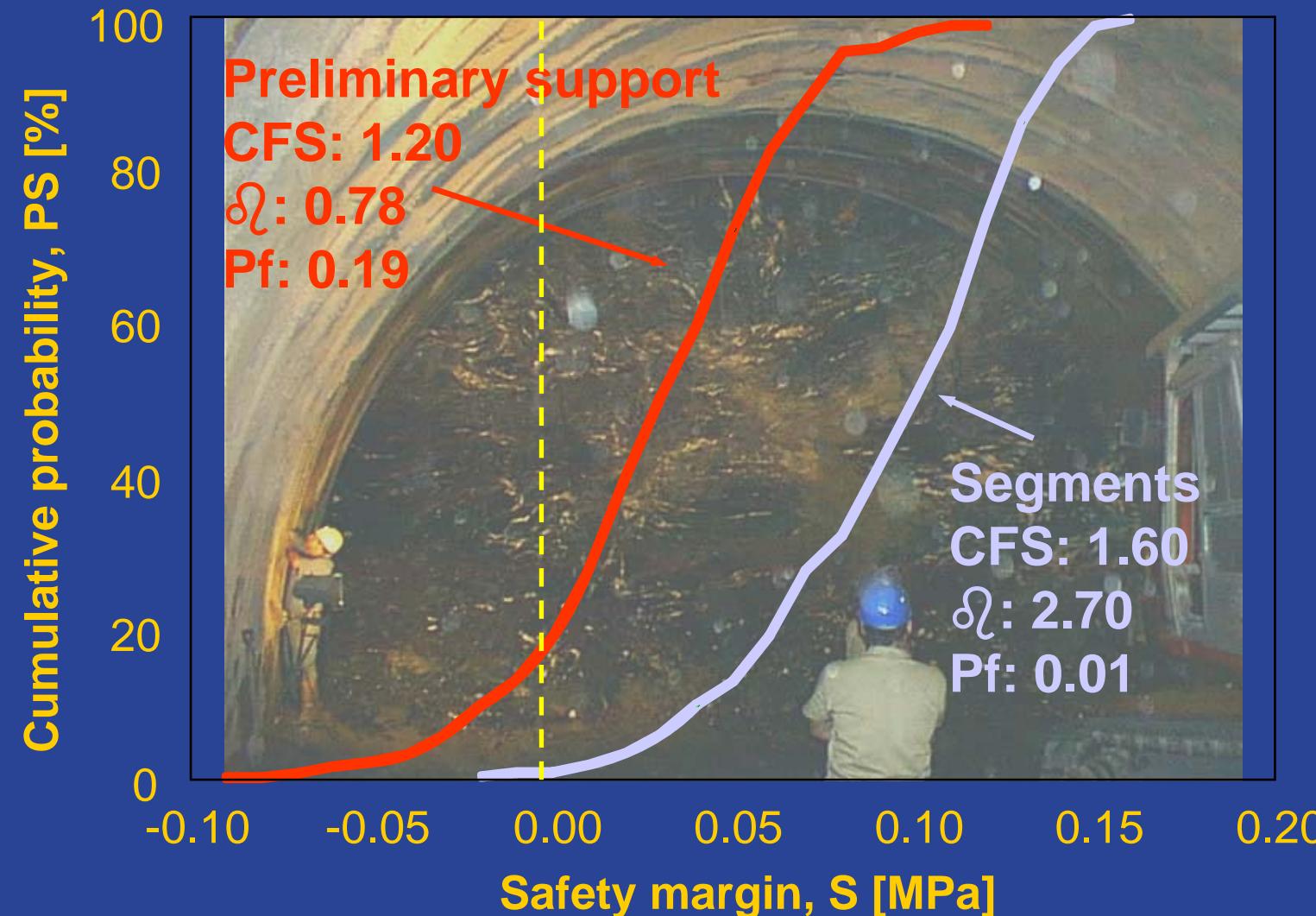
LÍNEA FFCC LEÓN-GIJÓN - VARIANTE DE PAJARES - TÚNEL DE BASE

Esquema constructivo y diagrama de ejecución (plazos probabilisticos)

Solución base del Estudio Informativo

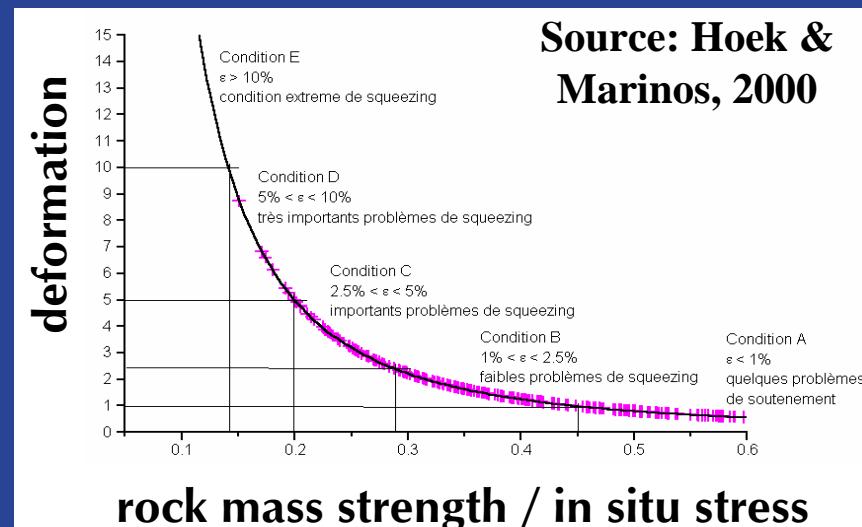


Example of quantifying the probability of failure

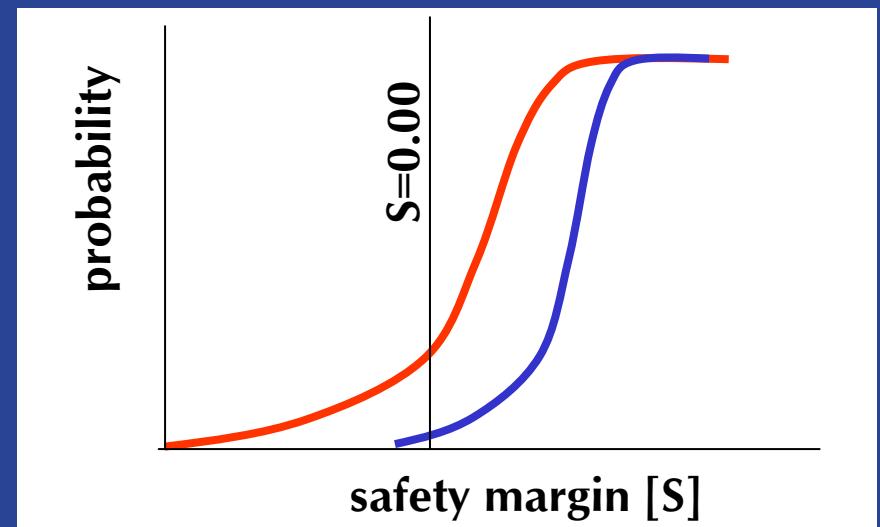


Risk Quantification

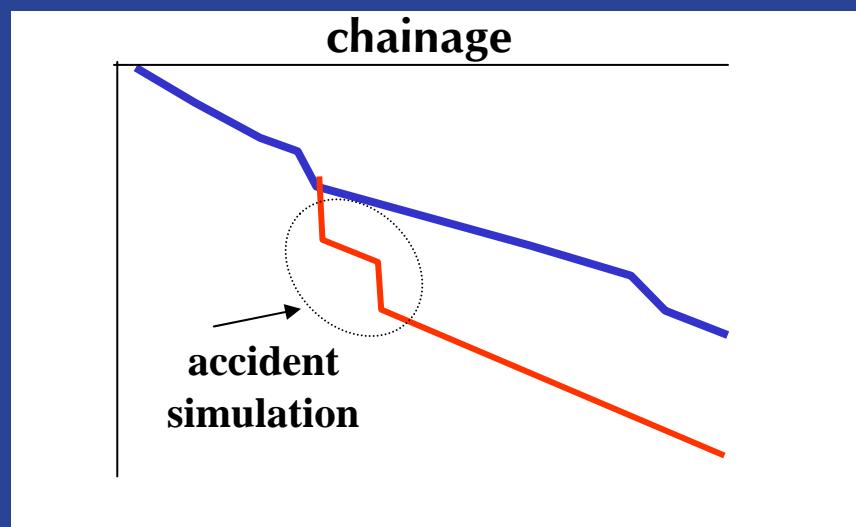
Evaluation of rock mass behavior



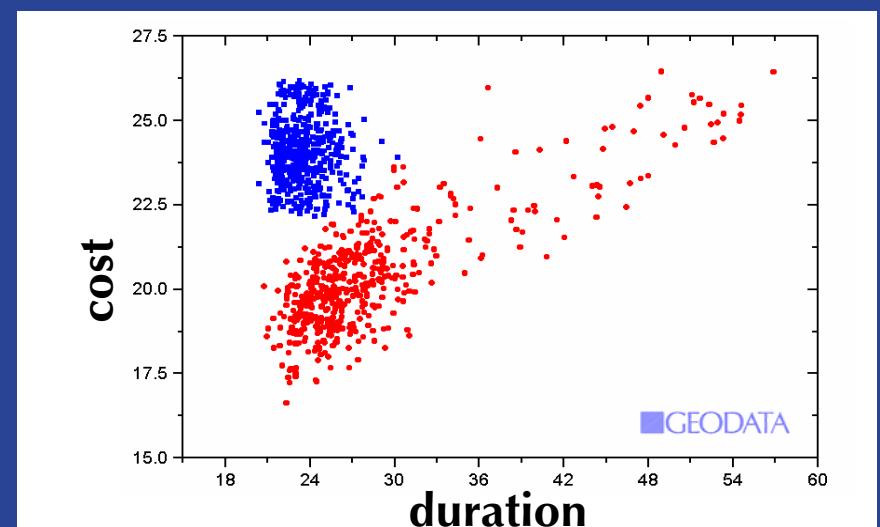
Evaluation of section capacity



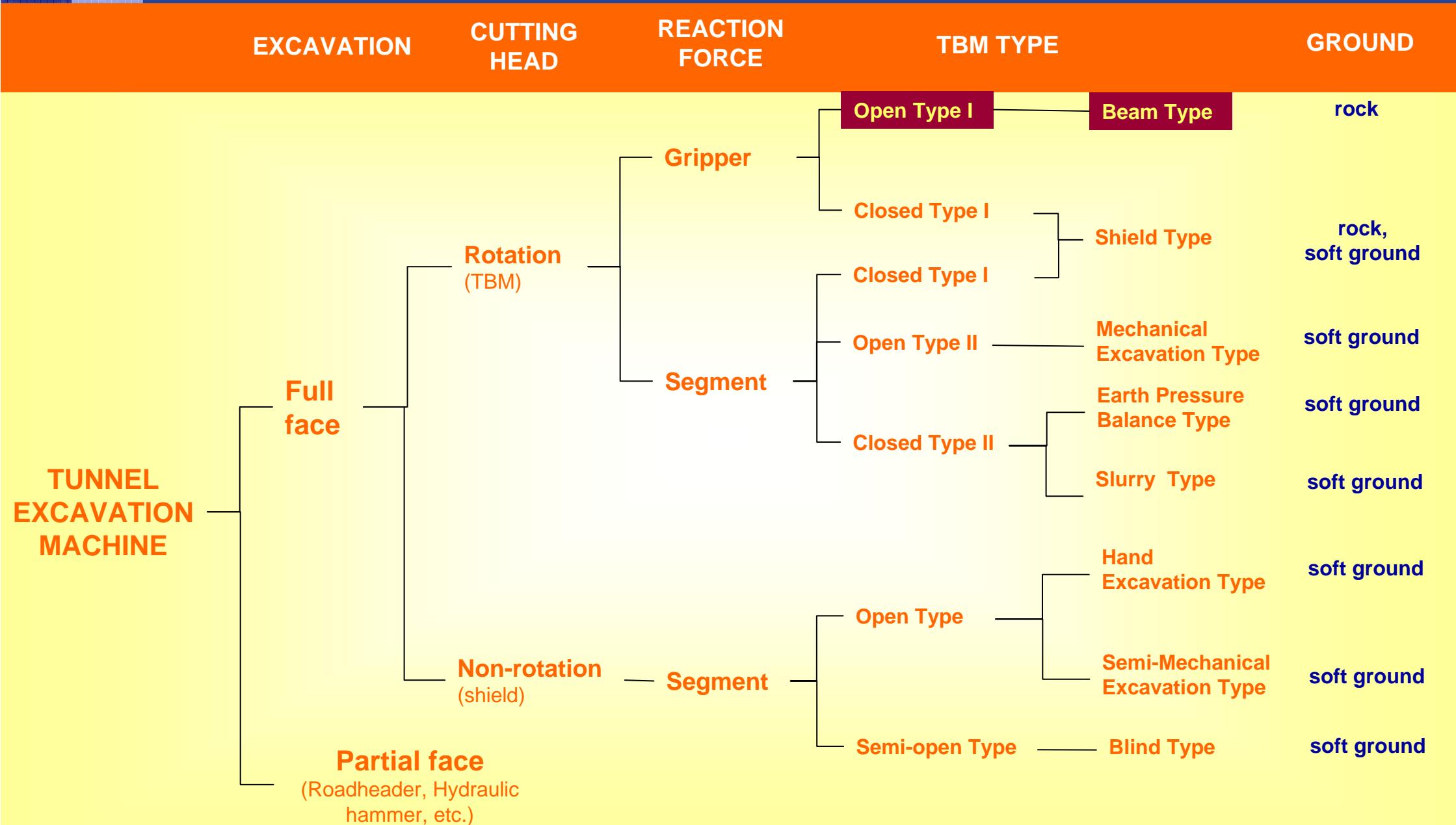
Simulation of structural inadequacy



Distribution of time and cost

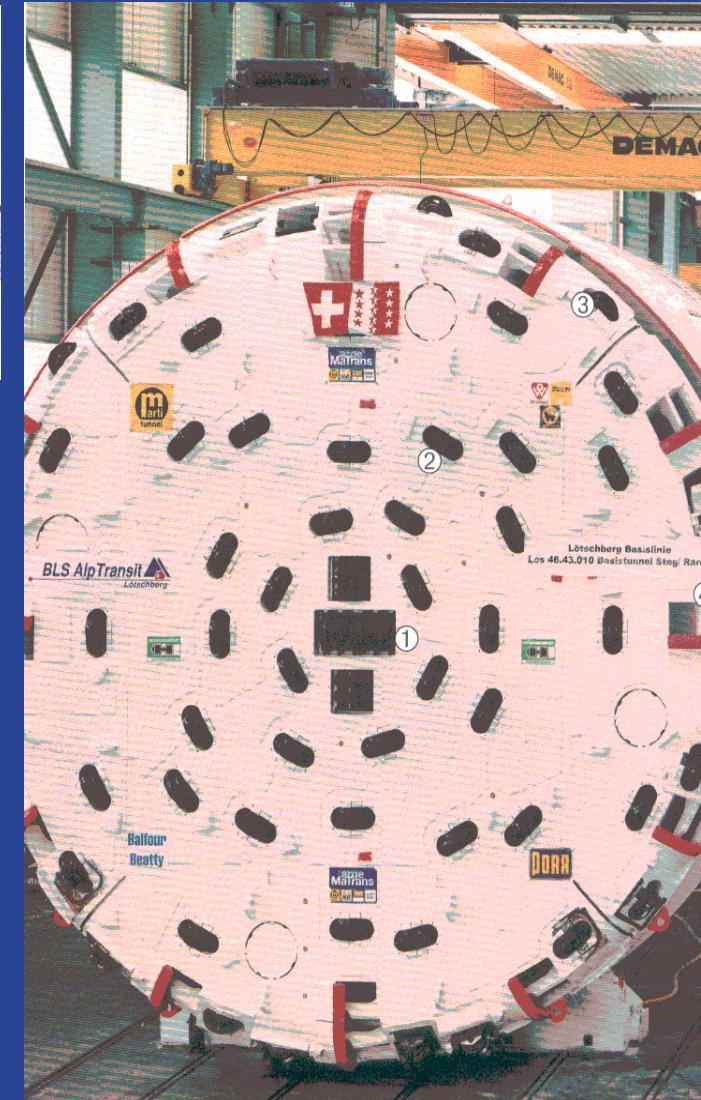
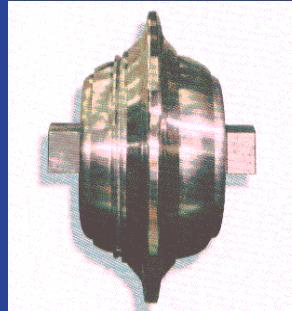
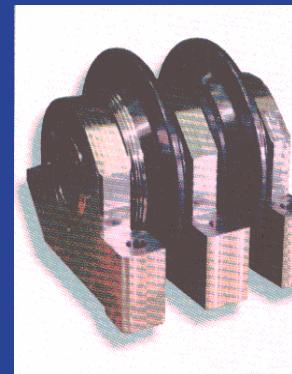


Classification of tunnel excavation machines (ITA WG14, 2000)

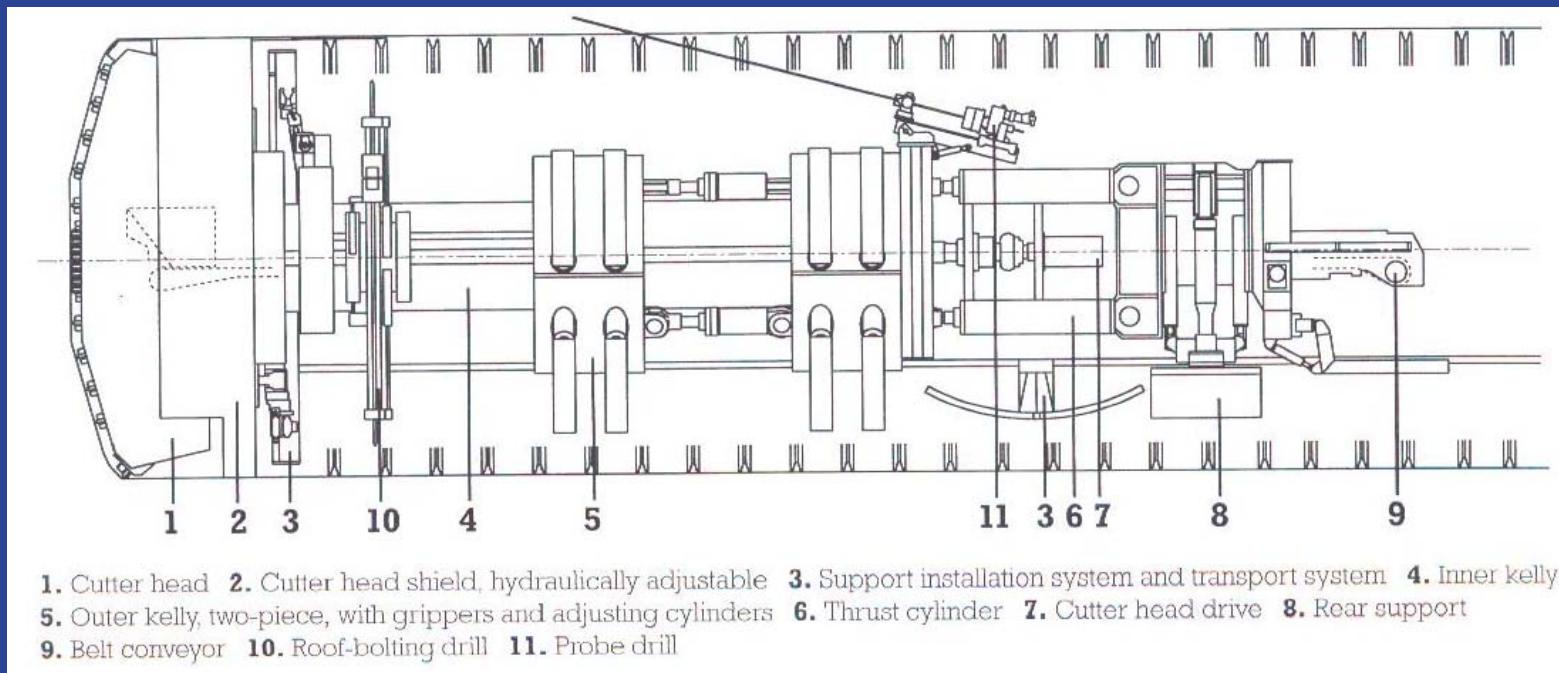


Open gripper TBMs

The family of open TBMs is developed for excavating in rock.
The thrusting force is obtained by reacting on the grippers.
The head is equipped with cutting disks.

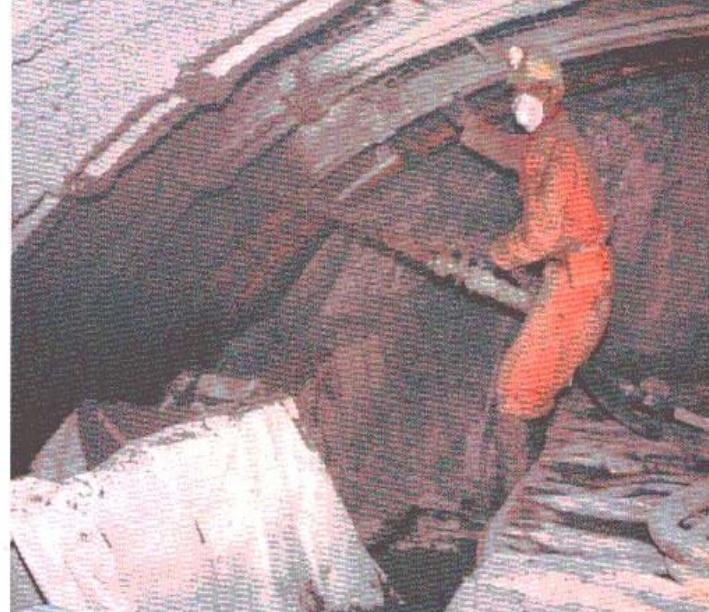


Open TBMs





Ribs and wire-mesh support are mechanically installed directly behind the cutter head



Shotcreting can start immediately behind the cutter head



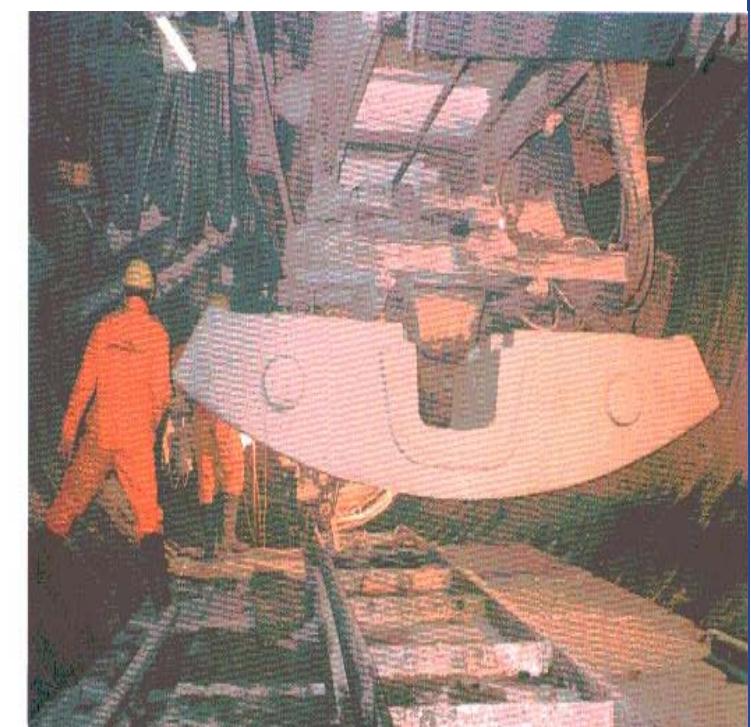
Space is available on the machine for fitting of wire-mesh support and ring beams



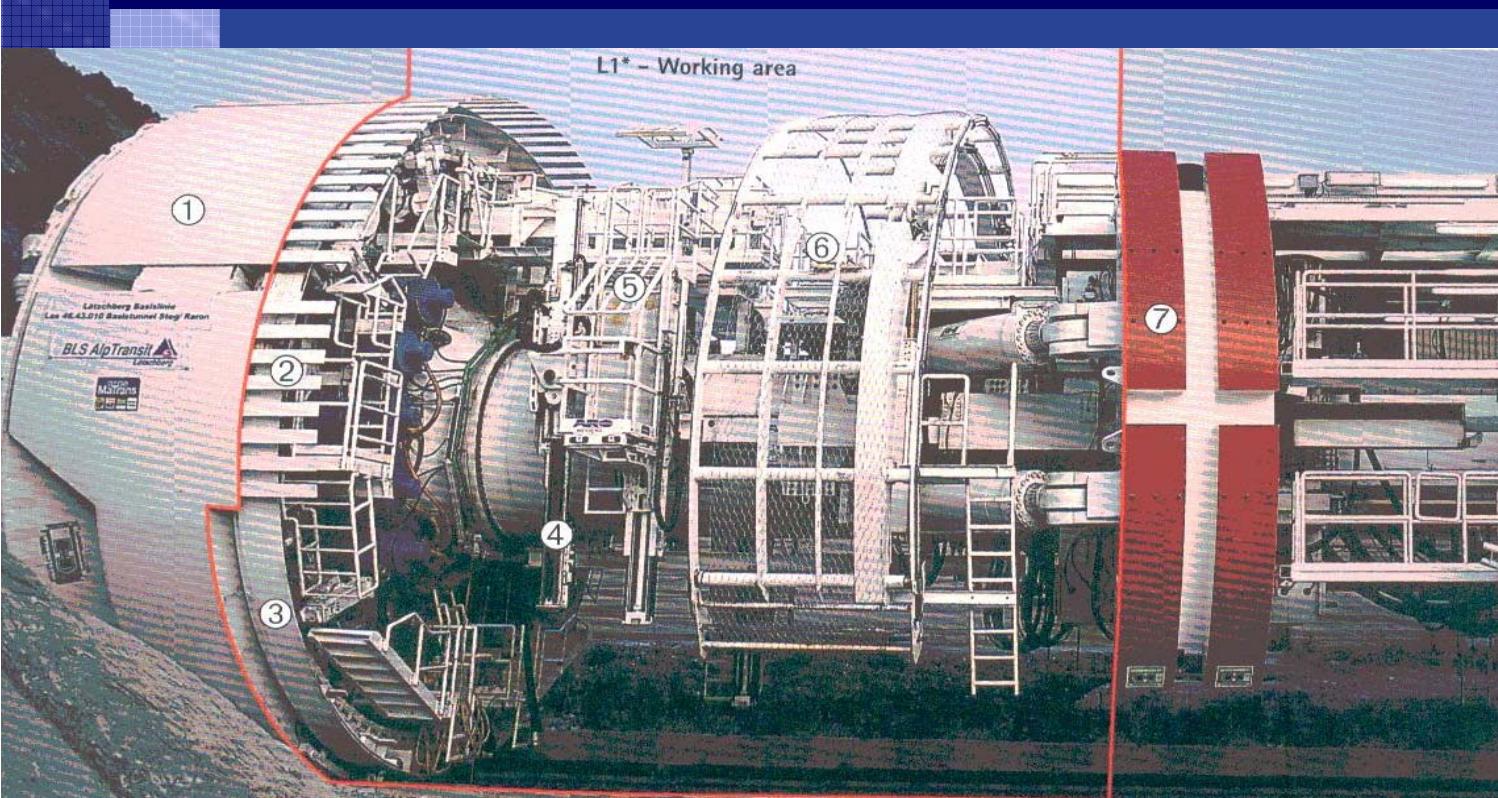
The support rings installed are bridged to avoid damage; the geometry of the grippers is tailored to this.



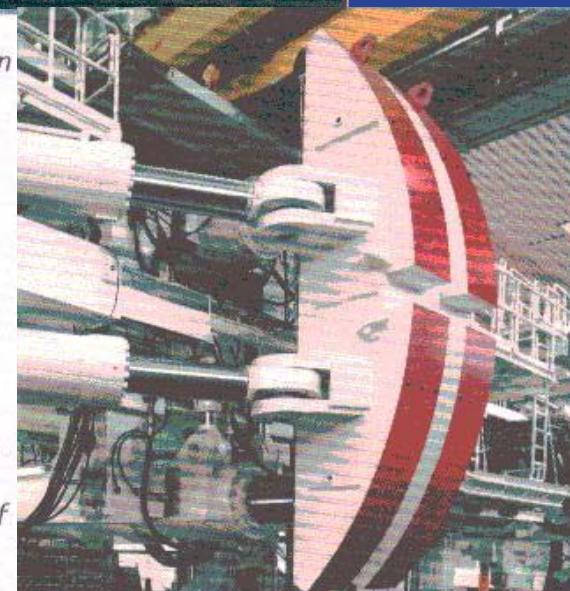
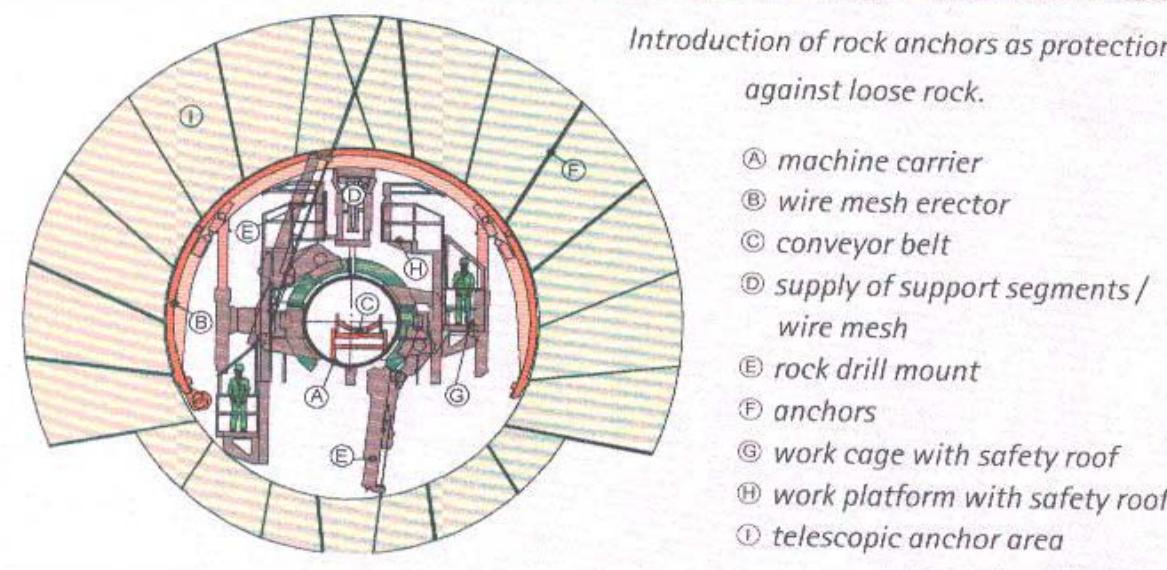
The clearance underneath the machine allows invert cleaning and transport of invert segments.



Open TBMs – the working zone



The requirement of stabilizing the tunnel immediately behind the cutting head, when excavating in fractured rock, led to the development of effective and versatile systems for the installation of the support.

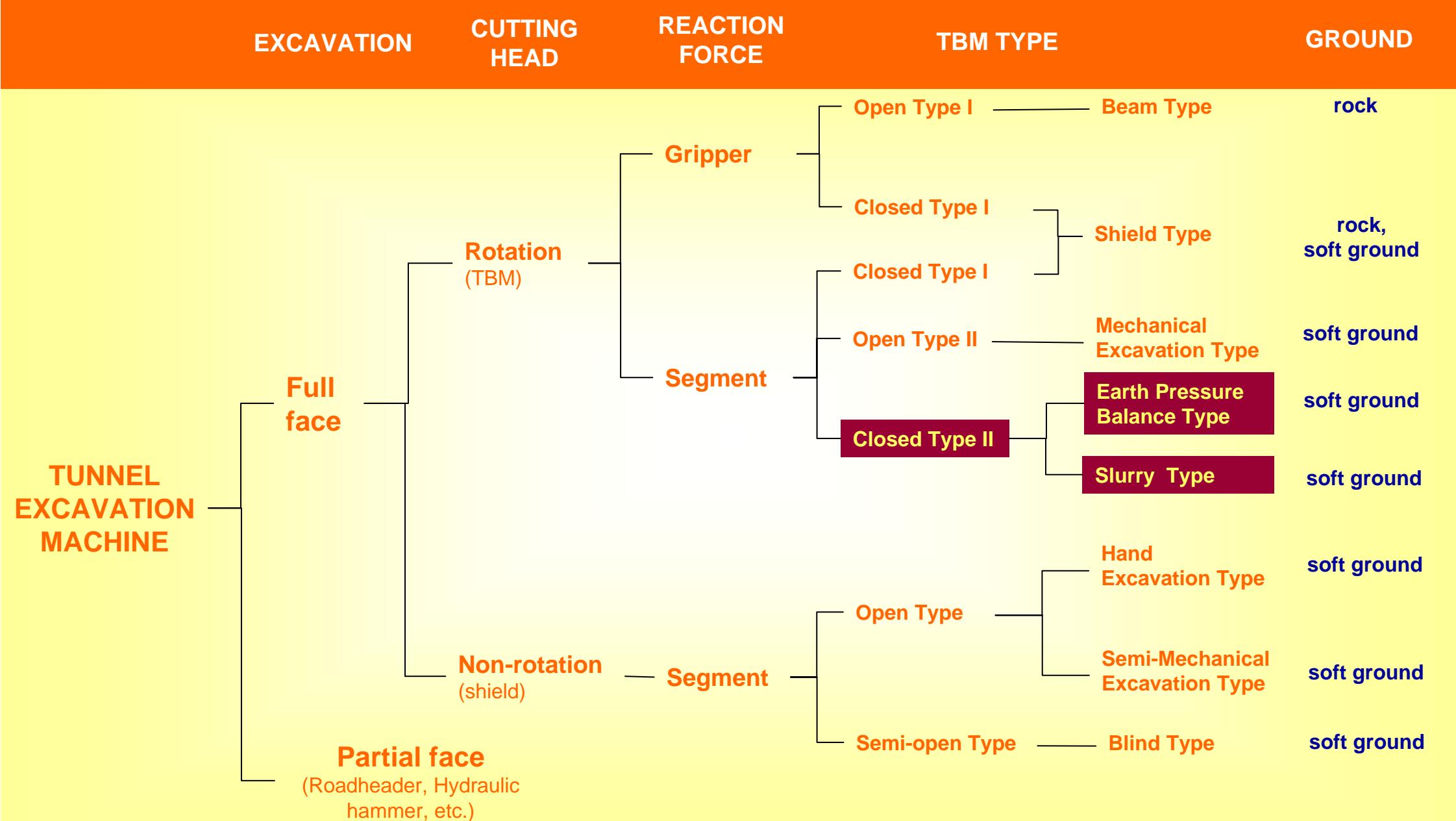


The only means of face support is mechanical



TBM Herrenknecht - Lötschberg Base Tunnel ($\phi=9.43\text{m}$)

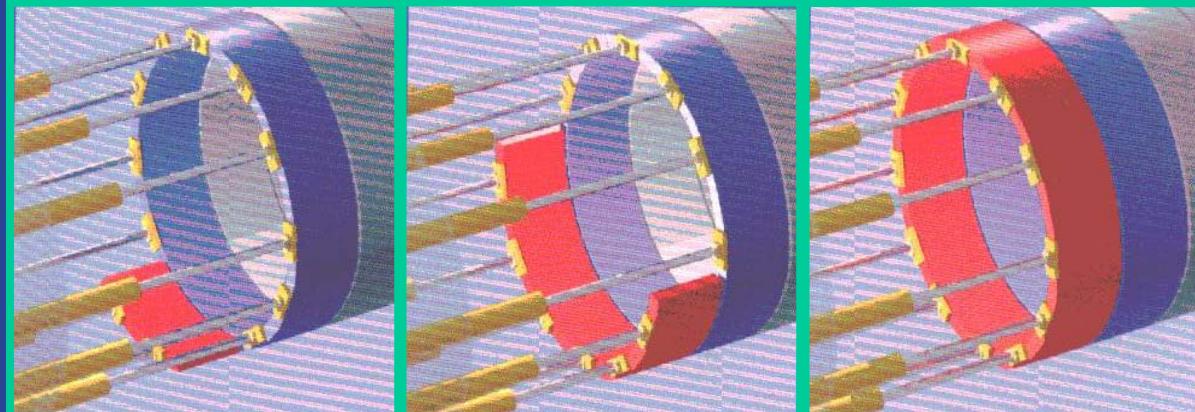
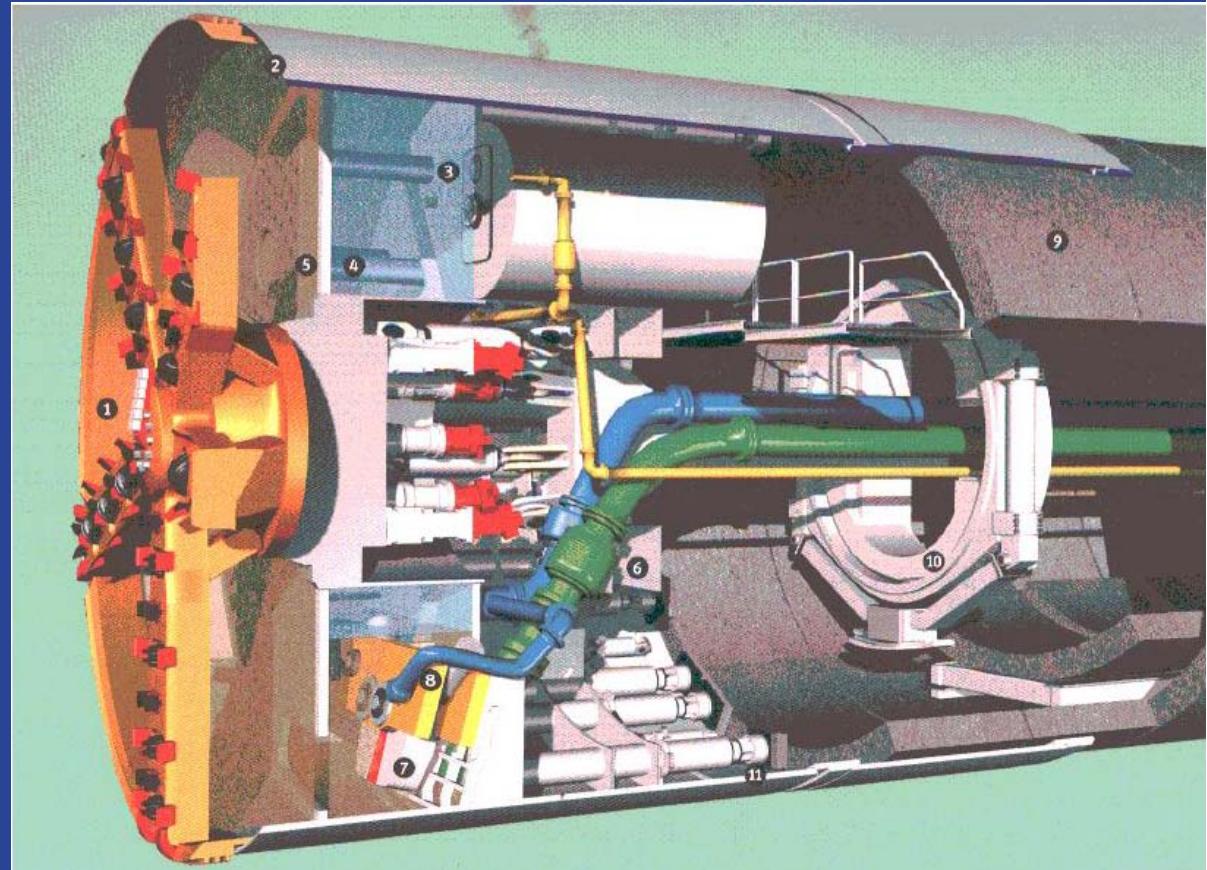
Classification of tunnel excavation machines (ITA WG14, 2000)



Slurry Shield

Another category of excavation machines is developed for poor geological conditions, the so-called closed shields, equipped for pressurizing the excavation face.

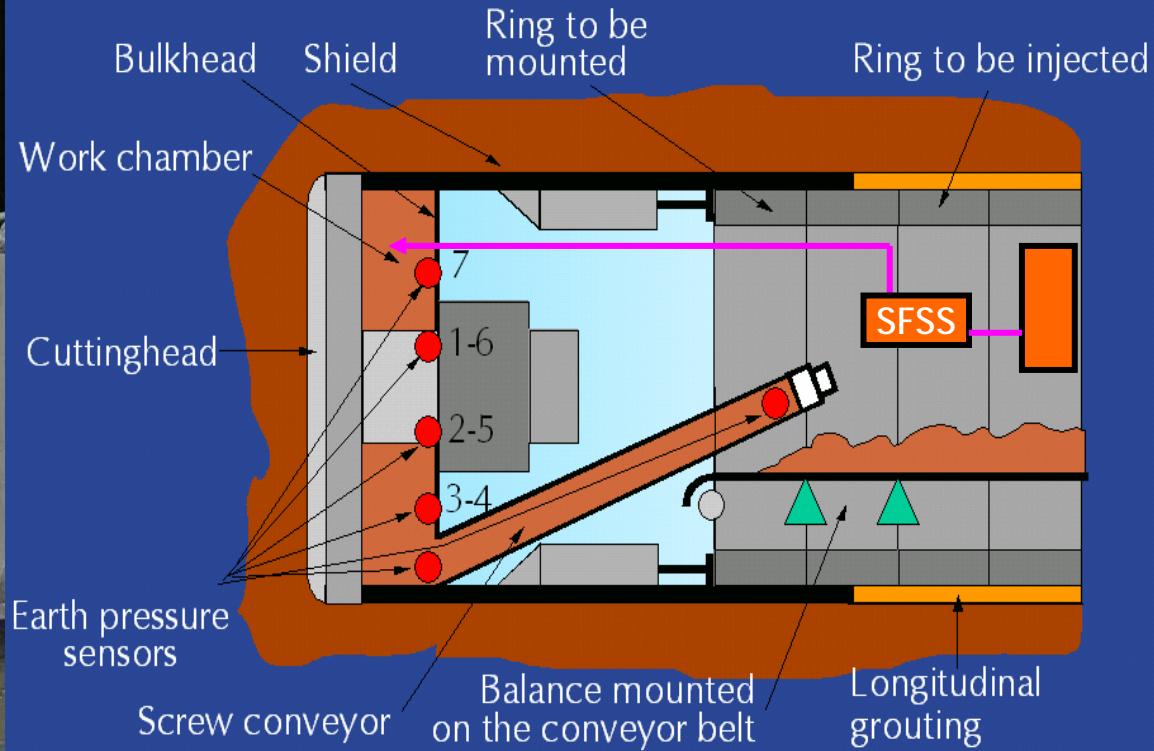
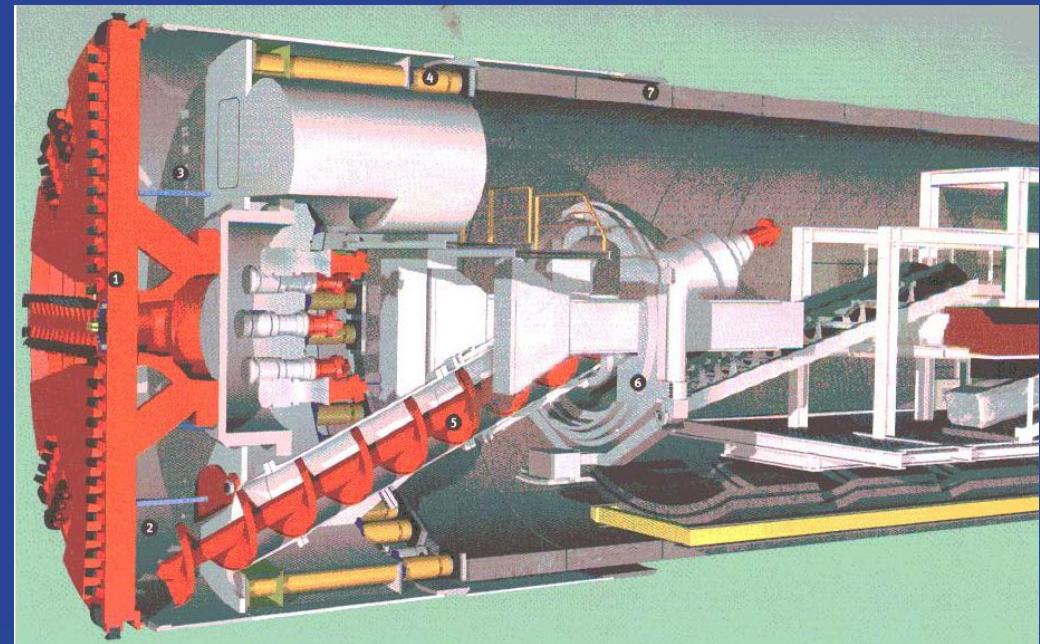
Advanced technologies guarantee the hydraulic tightness towards the inside of the shield creating the possibility of working with non-mechanical means of face support: Slurry shields and EPB Shields.



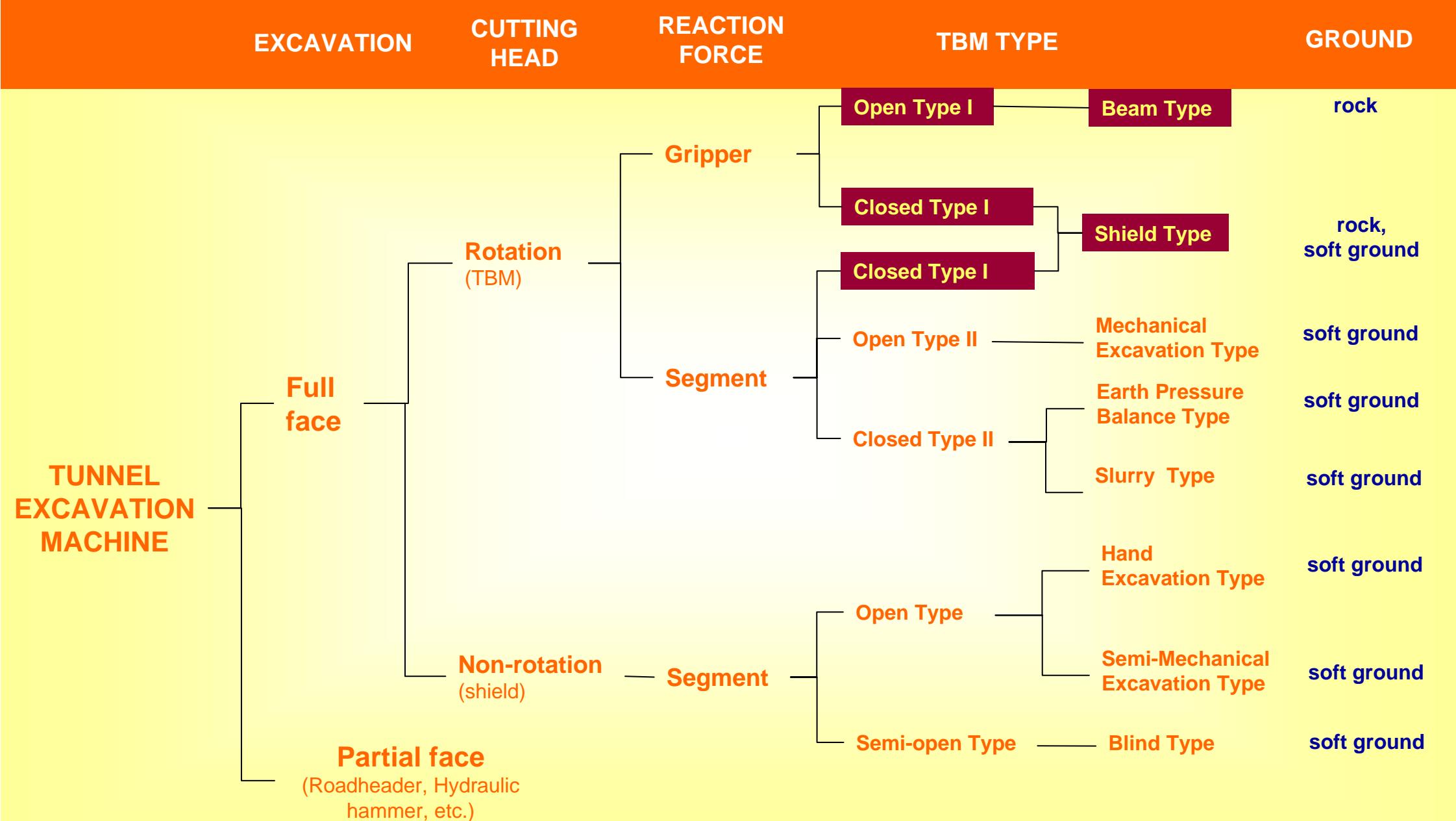
One of the two Herrenknecht EPB-TBMs used for excavating the 7km of tunnels of Porto Metro



Earth Pressure Balance



Classification of tunnel excavation machines (ITA WG14, 2000)





The first significant hybrid machine is a double-shield TBM produced by Robbins in the early '80:
grippers + lining installed under the protection of a shield.



b) shielded

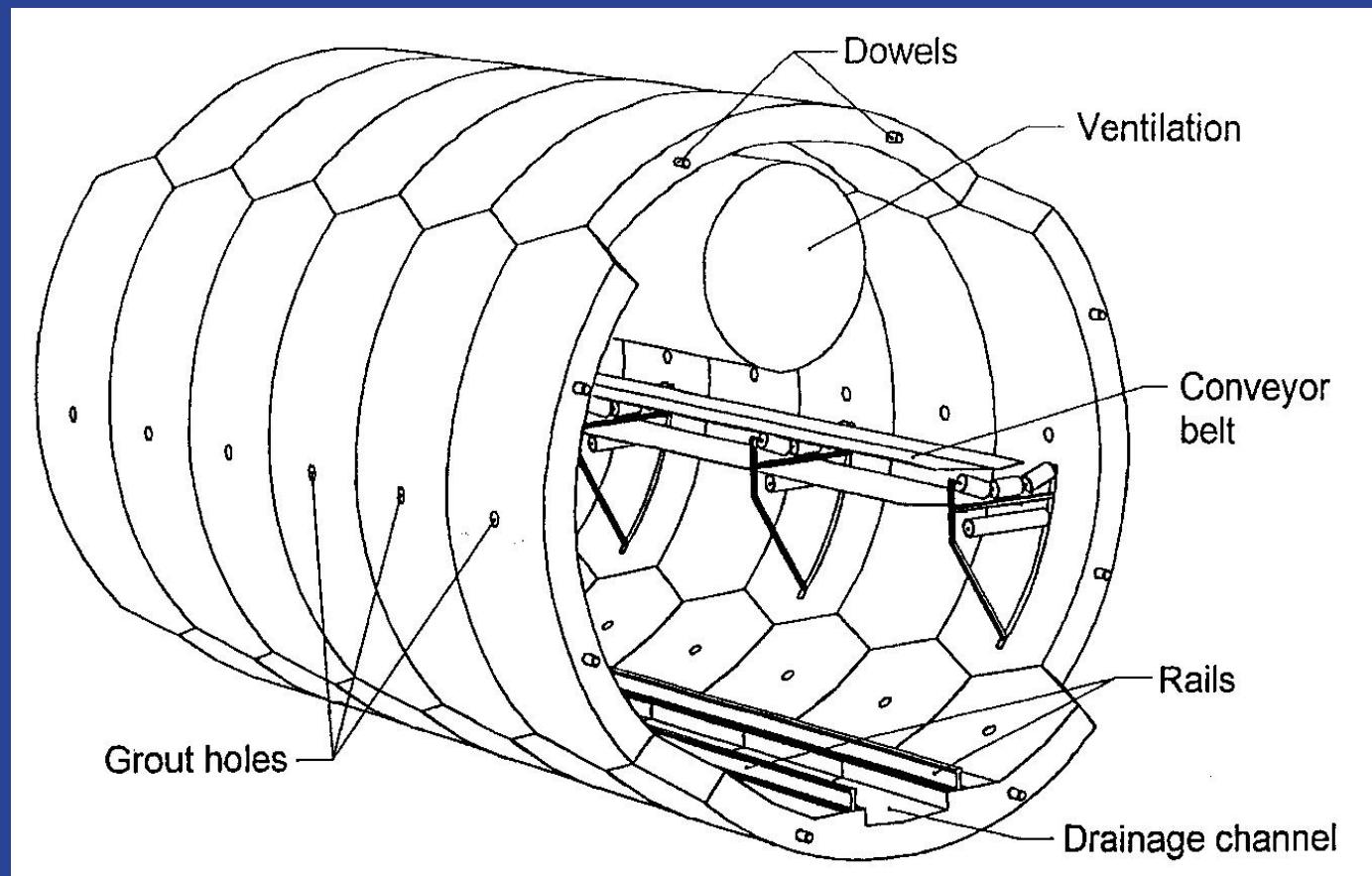


c) Double shield
Robbins TBM

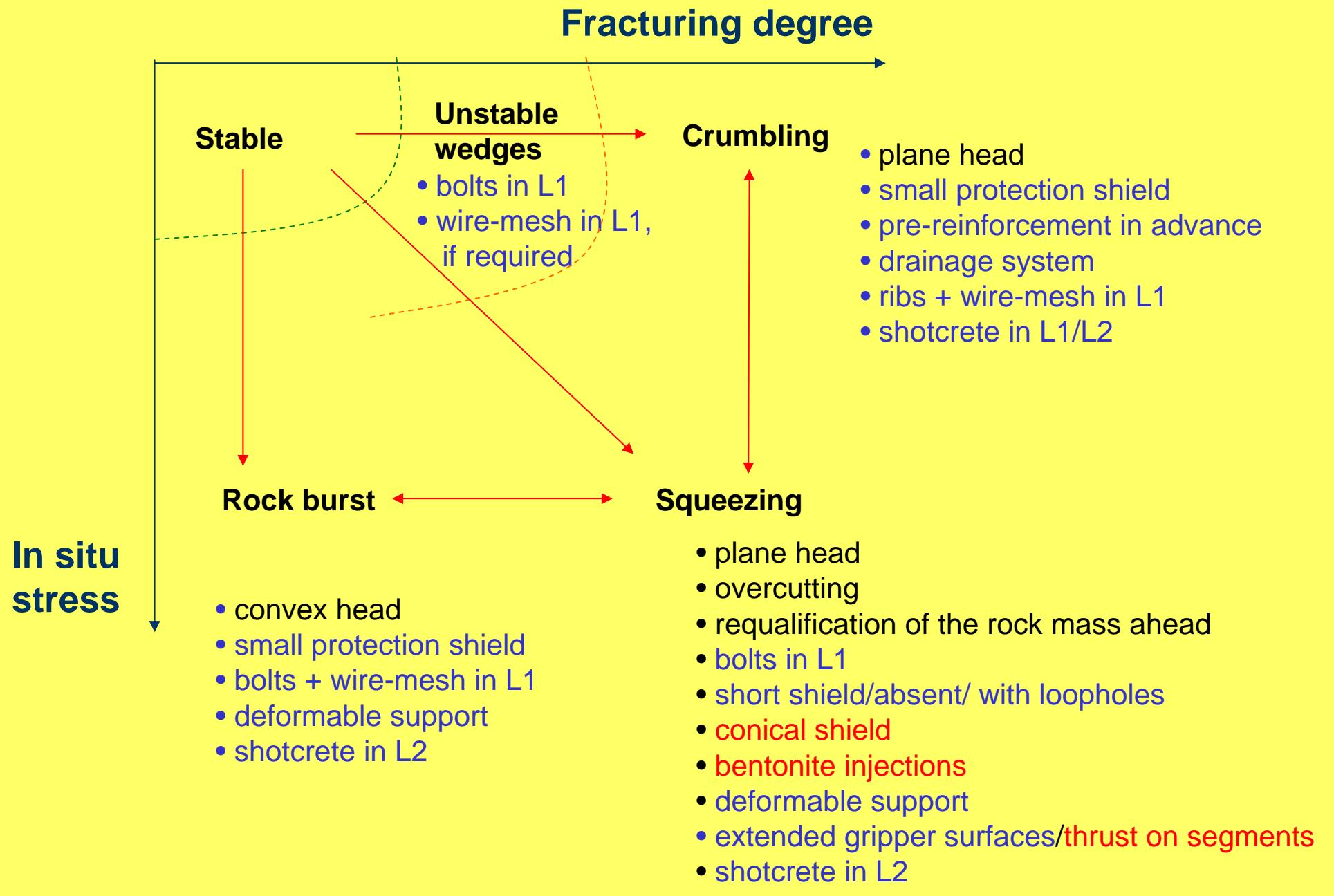
a) open

Rapid lining installation for straight tunnels: the hexagonal segments

With the offset in the circumferential joints it is possible to erect half of a ring while the TBM is thrusting its gripping units against the second half of the previously installed ring.



Possible solutions

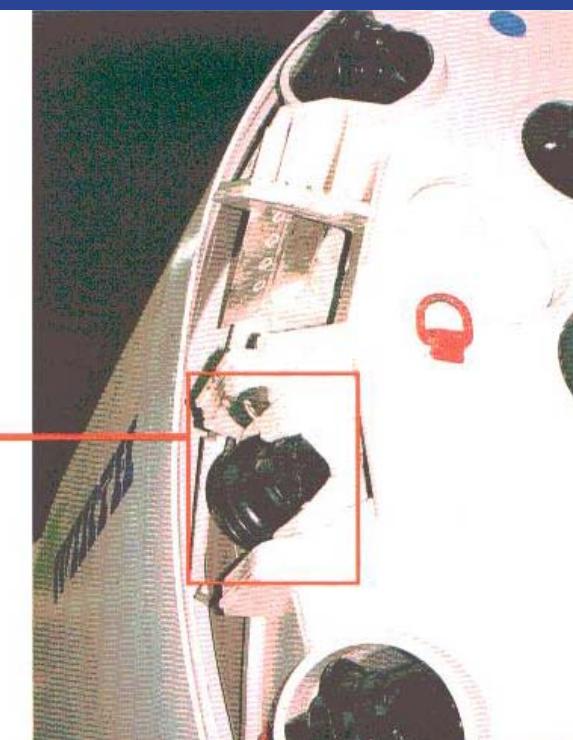
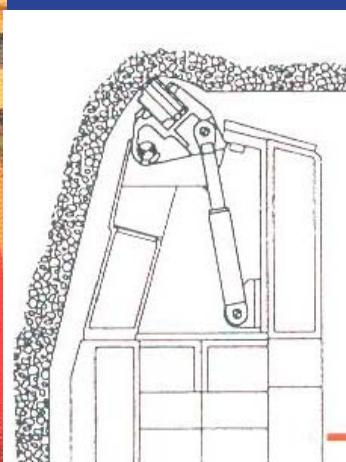


Open TBMs – Innovations

The innovations are effective when
a multidisciplinary approach is activated



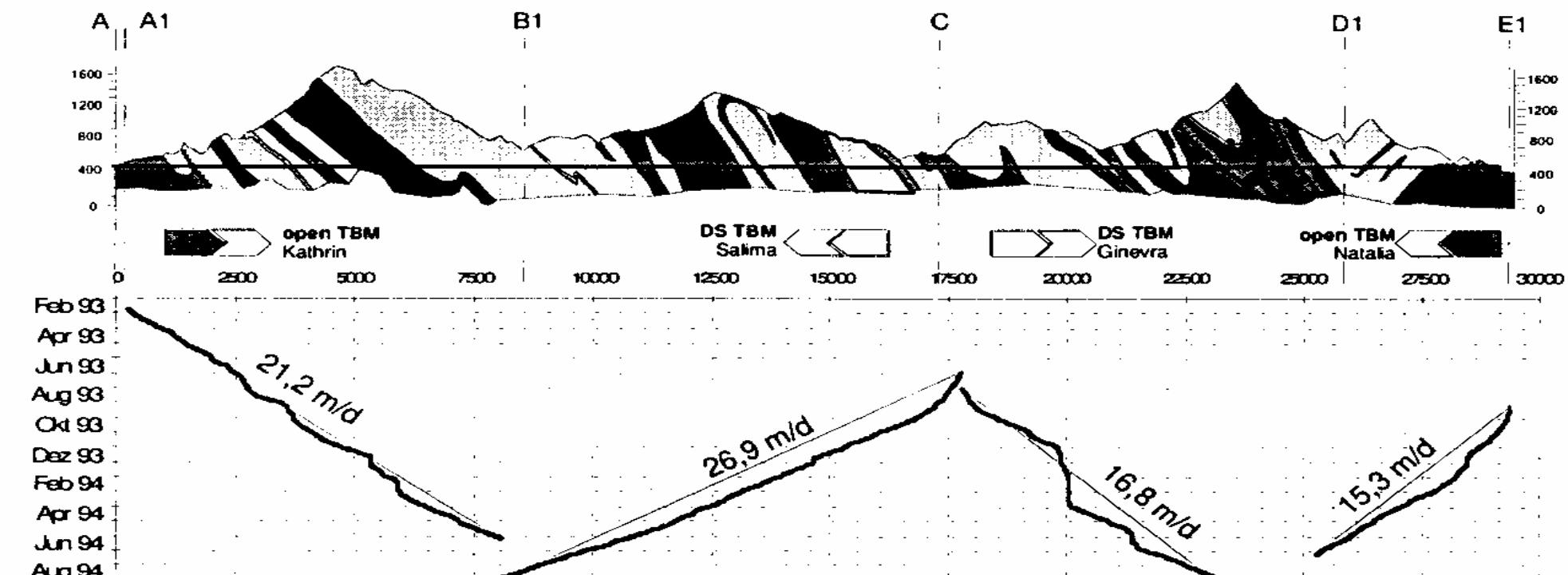
Hydraulically adjustable
enlarging cutters make it
possible to increase the bore
diameter as and when required



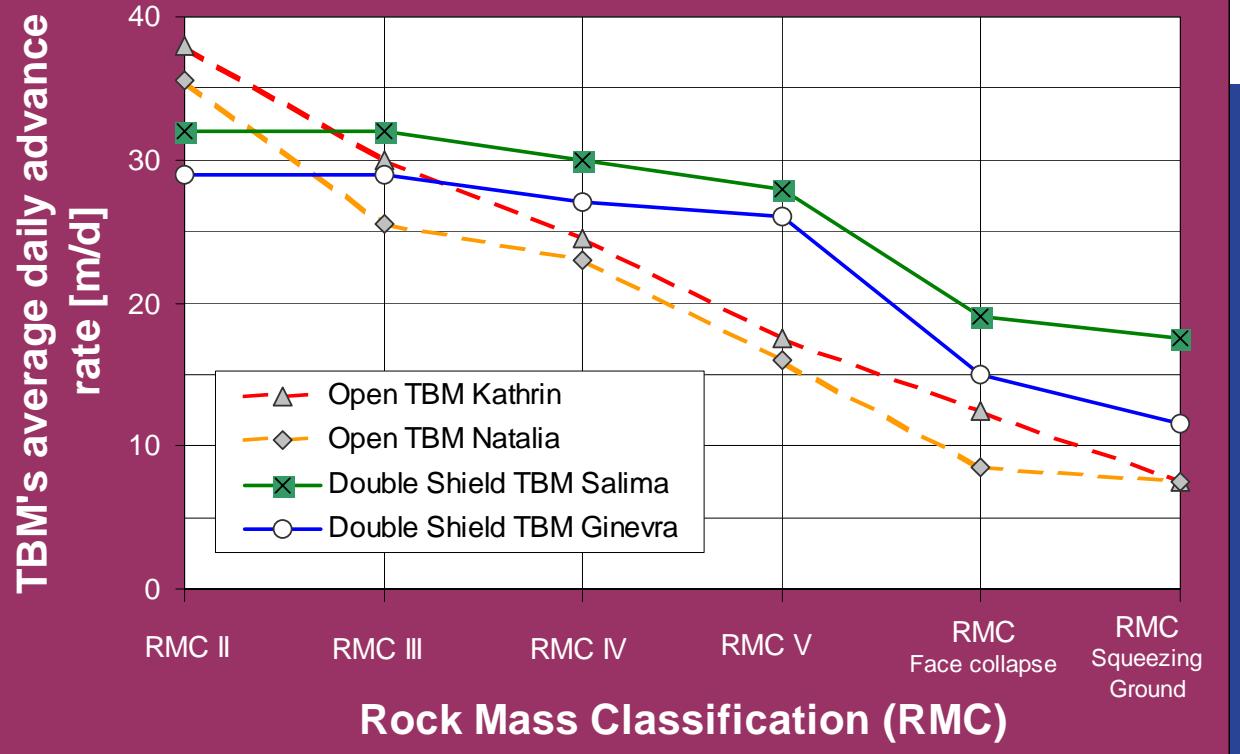
Short double shield



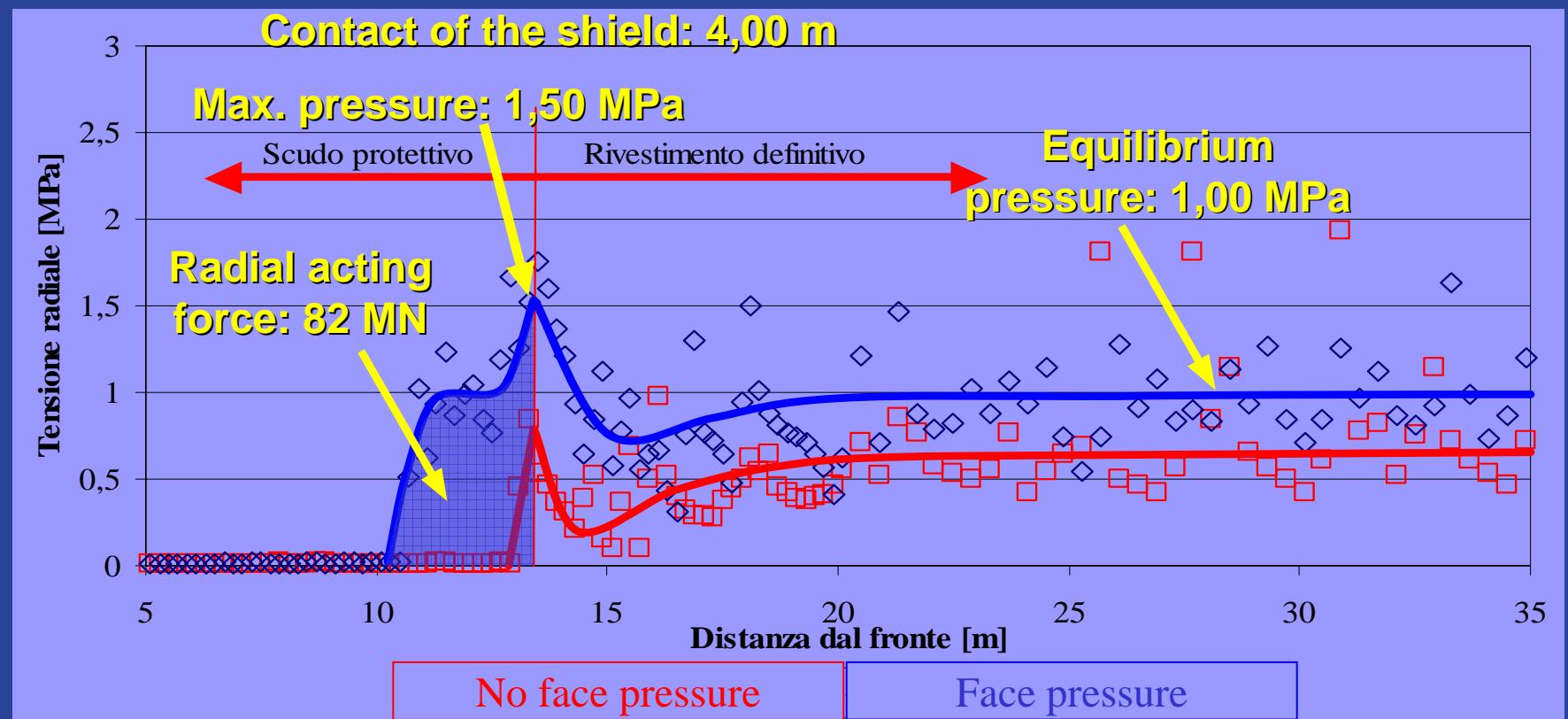
F. G. FLYSH C. + S. FLYSH TR. + JU. LIMESTONE CHERTS U. CR. LIMESTONE TRANSITION ZONE THRUST



Evinos Tunnel – Greece



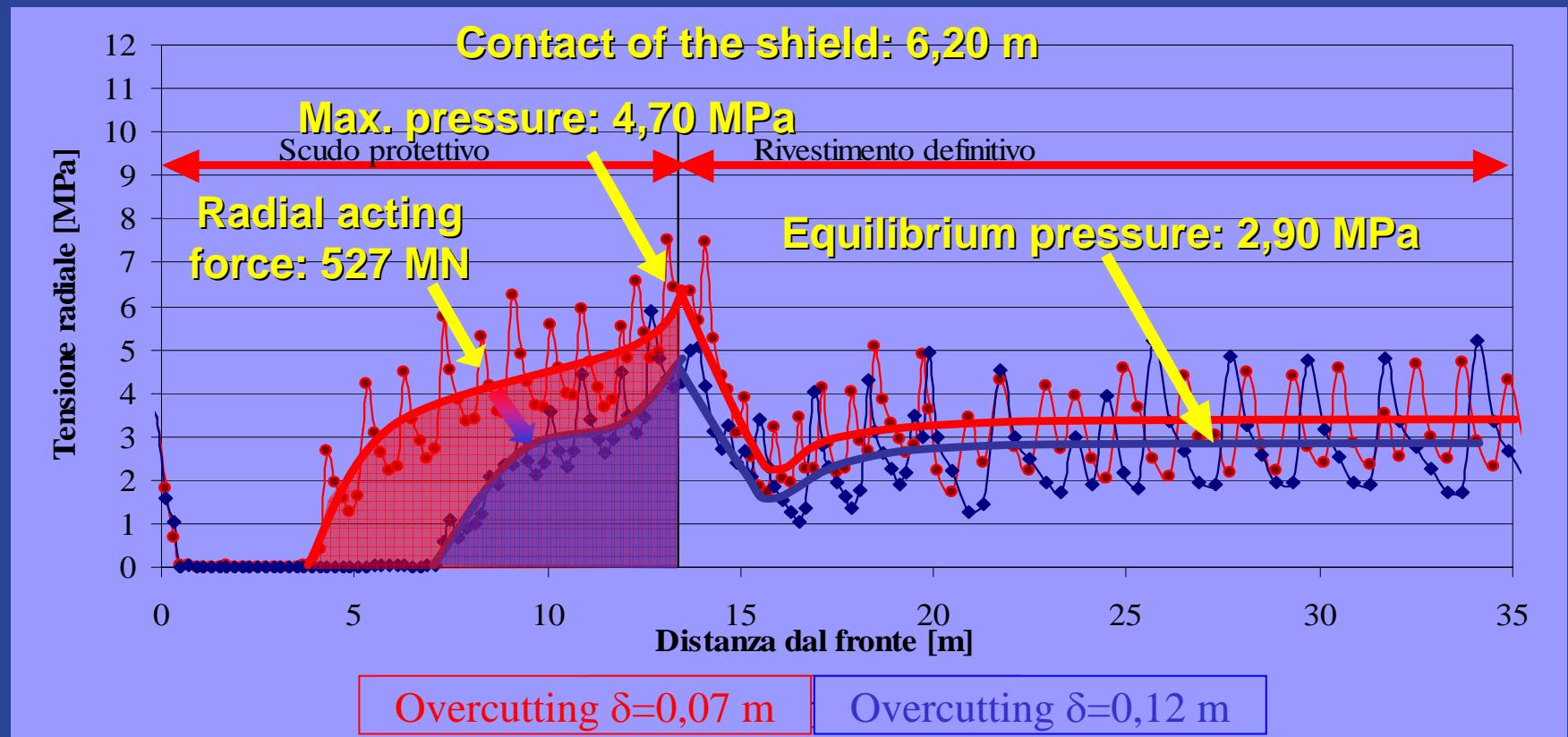
The model takes into account the pressure of the cutting head



Variation induced from considering the pressure of the face

	Non-considered pressure	Considered pressure
Contact of the shield	0,40 m	→ 4,00 m
Max. pressure acting on the shield	0,77 MPa	→ 1,50 MPa
Radial force acting on the shield	(5 MN)	→ 82 MN
Equilibrium pressure cavity – final lining	0,65 MPa	→ 1,00 MPa

Modelling at greater depth: increase of the overcutting



Variation induced by increasing the overcutting

	Depth h=600 m ocercutting $\delta=0,07$ m	Depth h=600 m overcutting $\delta=0,12$ m
Contact of the shield	9,40 m	→ 6,20 m
Max. pressure acting on the shield	6,30 MPa	→ 4,70 MPa
Radial force acting on the shield	1082 MN	→ 527 MN
Equilibrium pressure cavity – final lining	3,35 MPa	→ 2,90 MPa

Double shield TBM with extended surface of the grippers

GUADARRAMA TUNNEL

Tunnel project:

Guadarrama, Spain - Railway tunnel

Tunnel length: 2 x 14,500m

Geology: granite, gneiss, fault zones

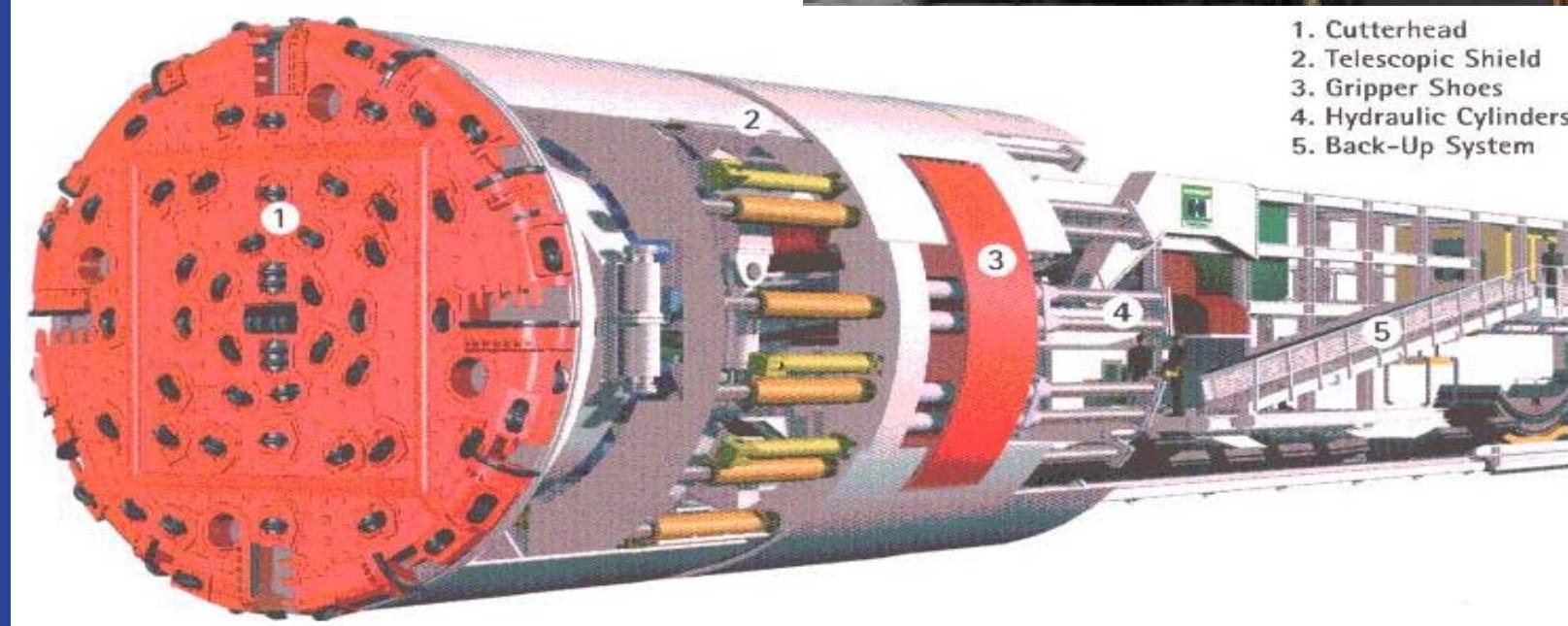
Machine data:

2 x Hard Rock Double Shield TBM, Ø 9.51m,
Cutterhead power 5,500kW, Weight 1,650t,
Length 220m

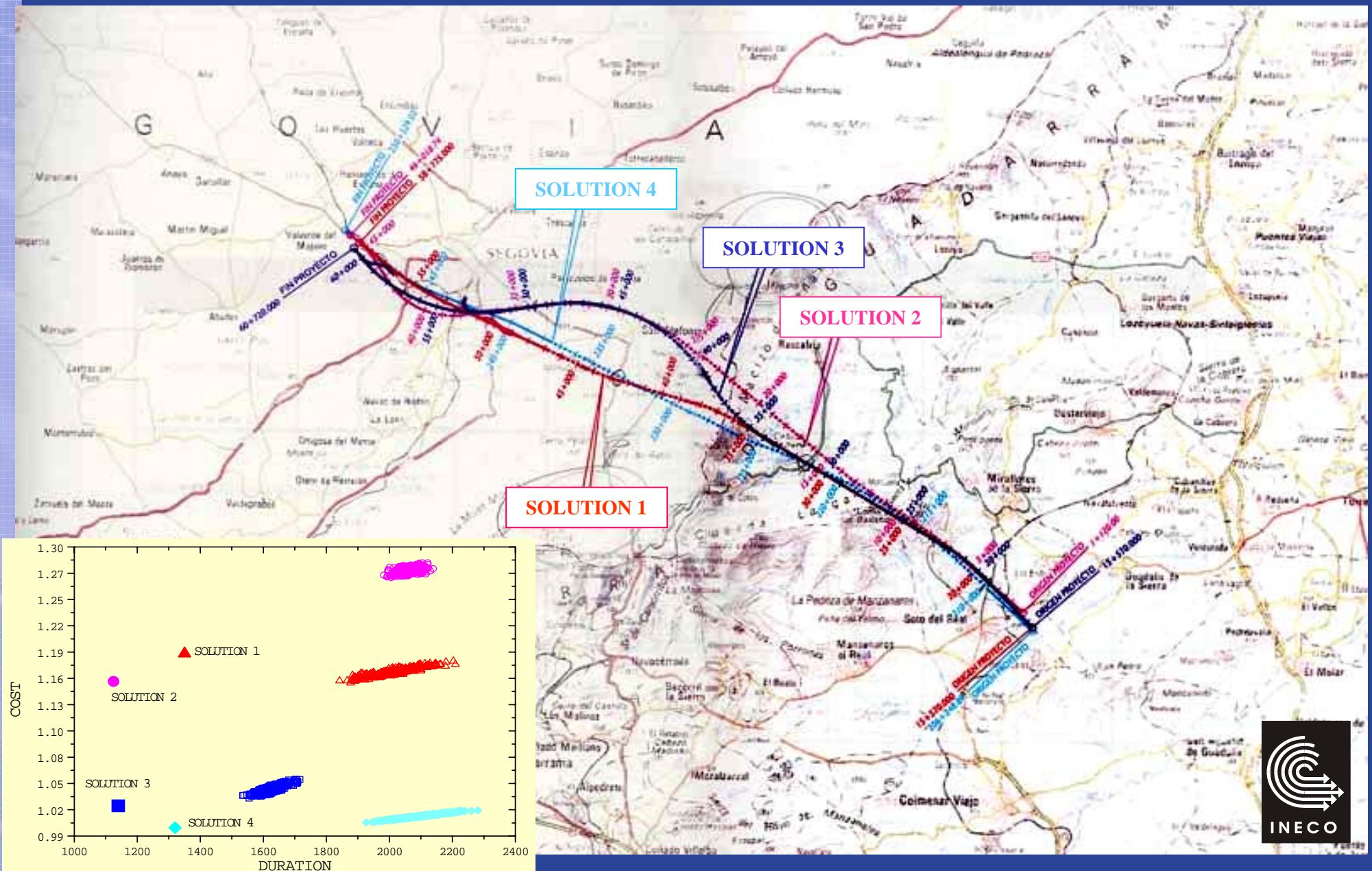
Contractor / JV:

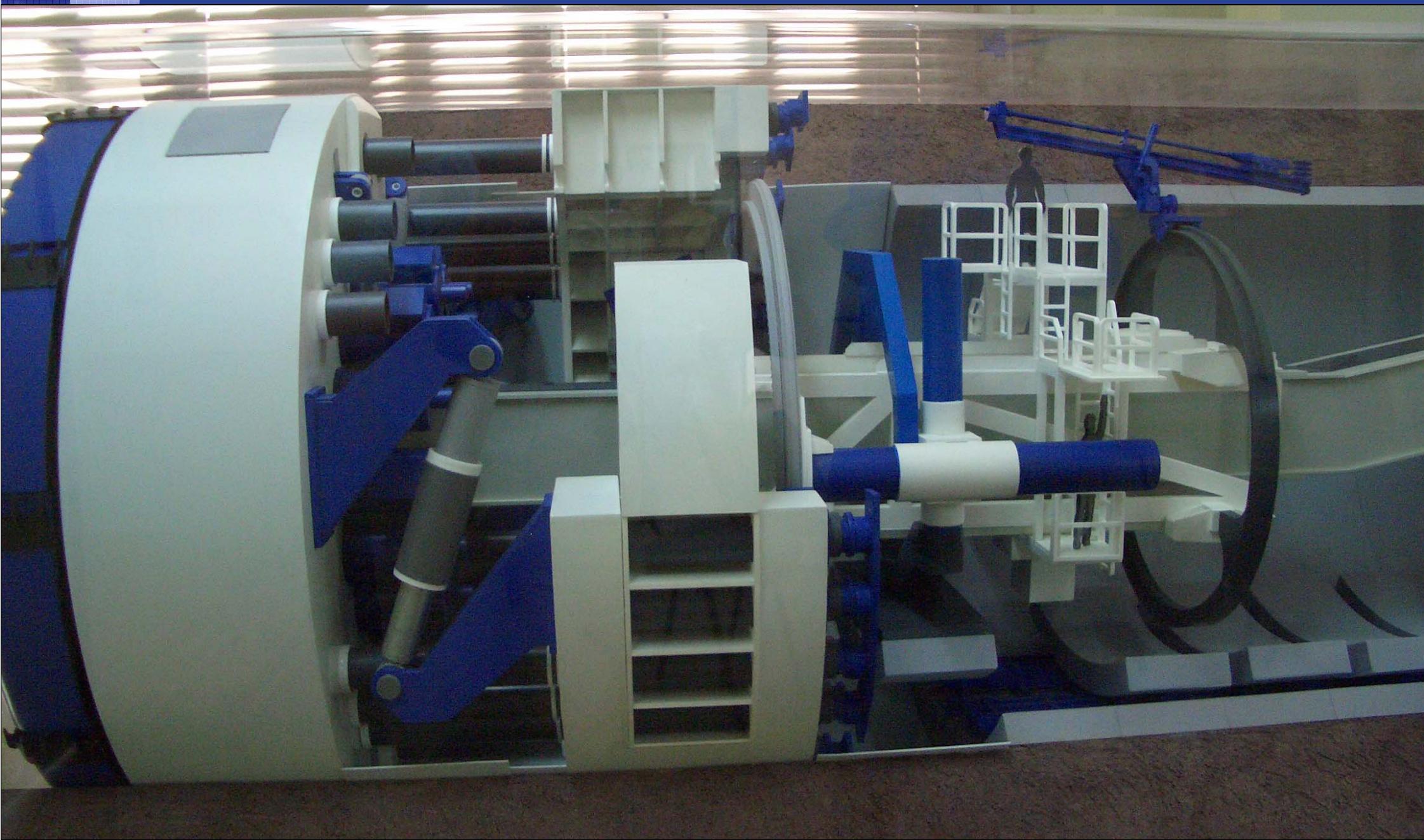
S-201: FCC, ACS, Ferrovial

S-202: Comsa, Dragados, Neeso, Ohl, Sacyr

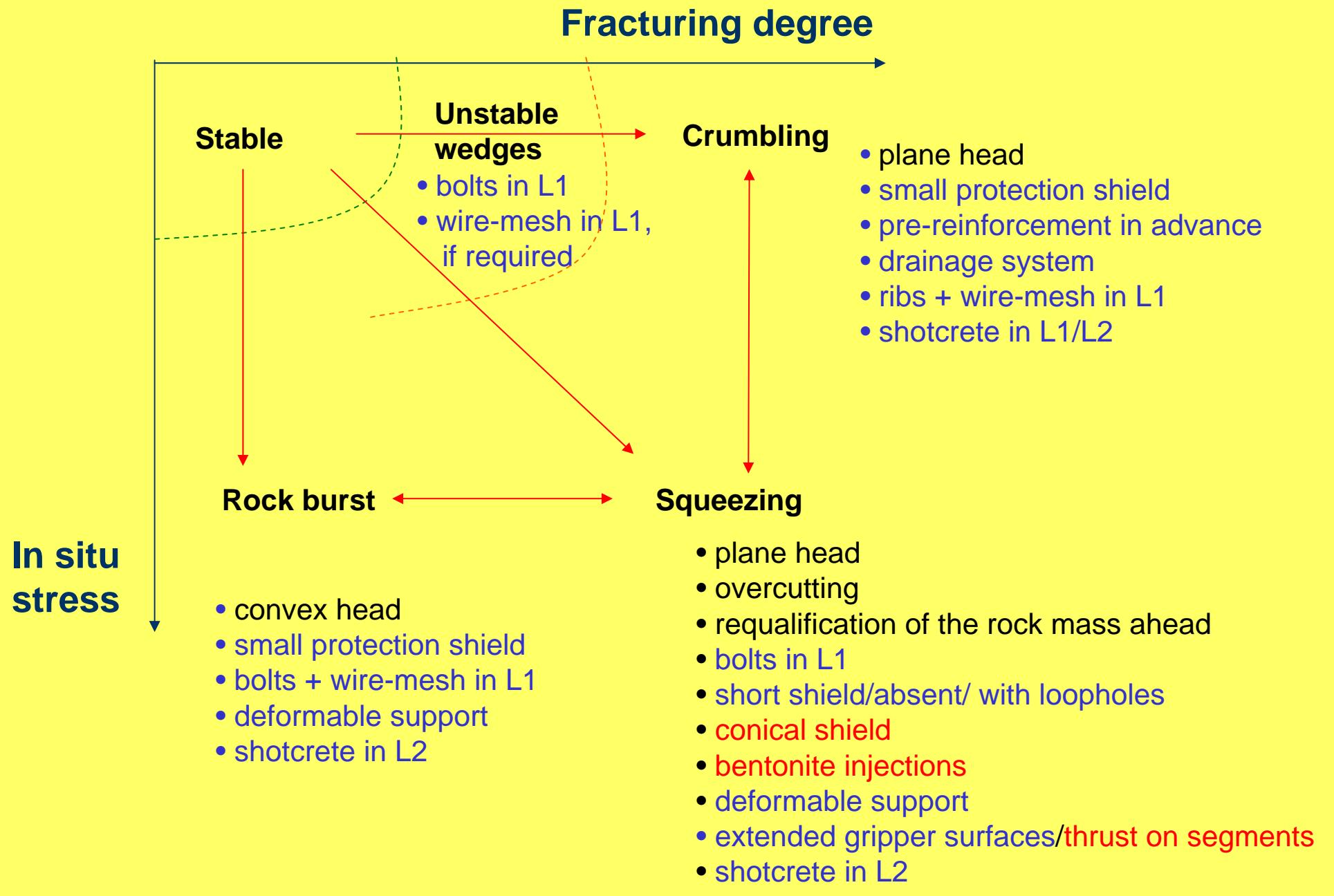


High-speed railway Madrid-Segovia: Guadarrama Tunnel





Possible solutions



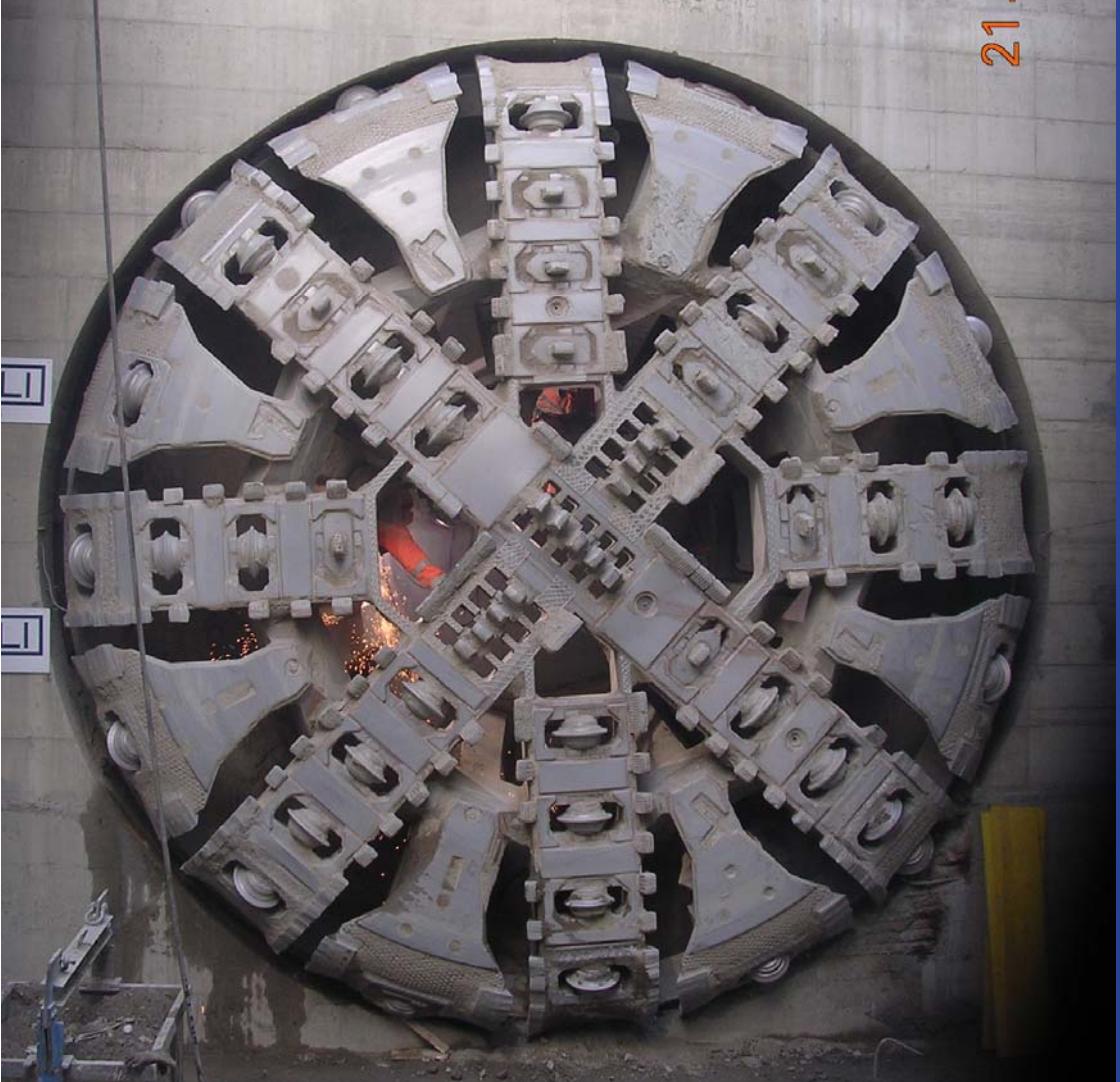
LEGEND: Open TBM Double shield

Both the infrastructure market and the complexity of the new frontiers do offer huge opportunities for further developments in the field of tunnelling in rock, especially with reference to deep and long tunnels.

As an example, the following point can be mentioned:

- **improve the exploration ahead of the excavation face;**
- **manage excessive convergences;**
- **supply high counter-pressures to the tunnel face.**









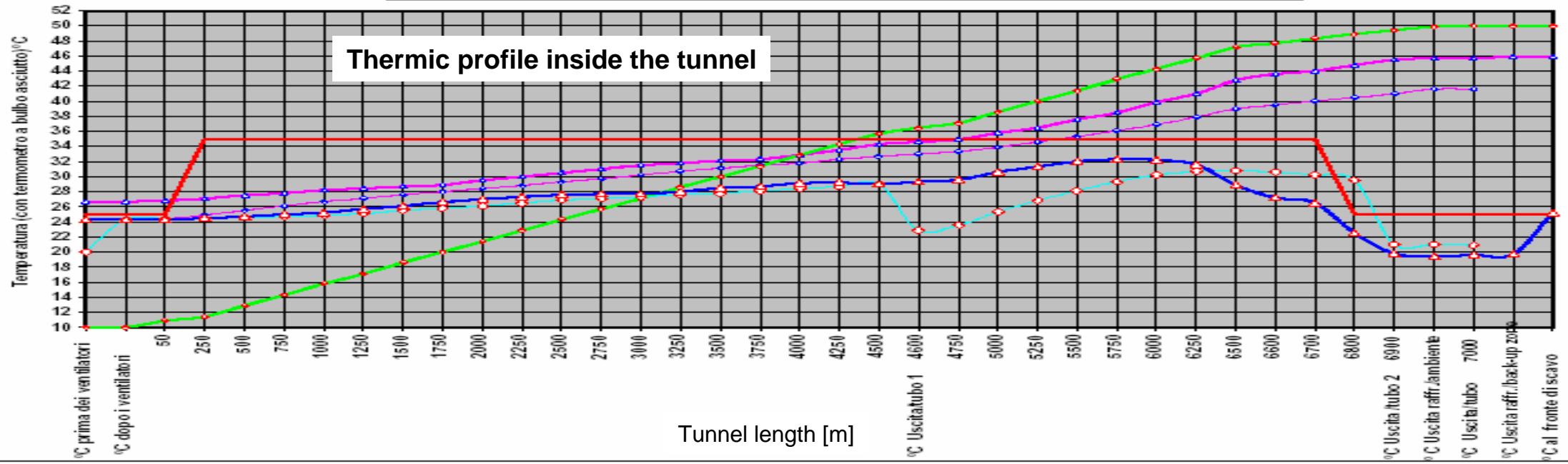




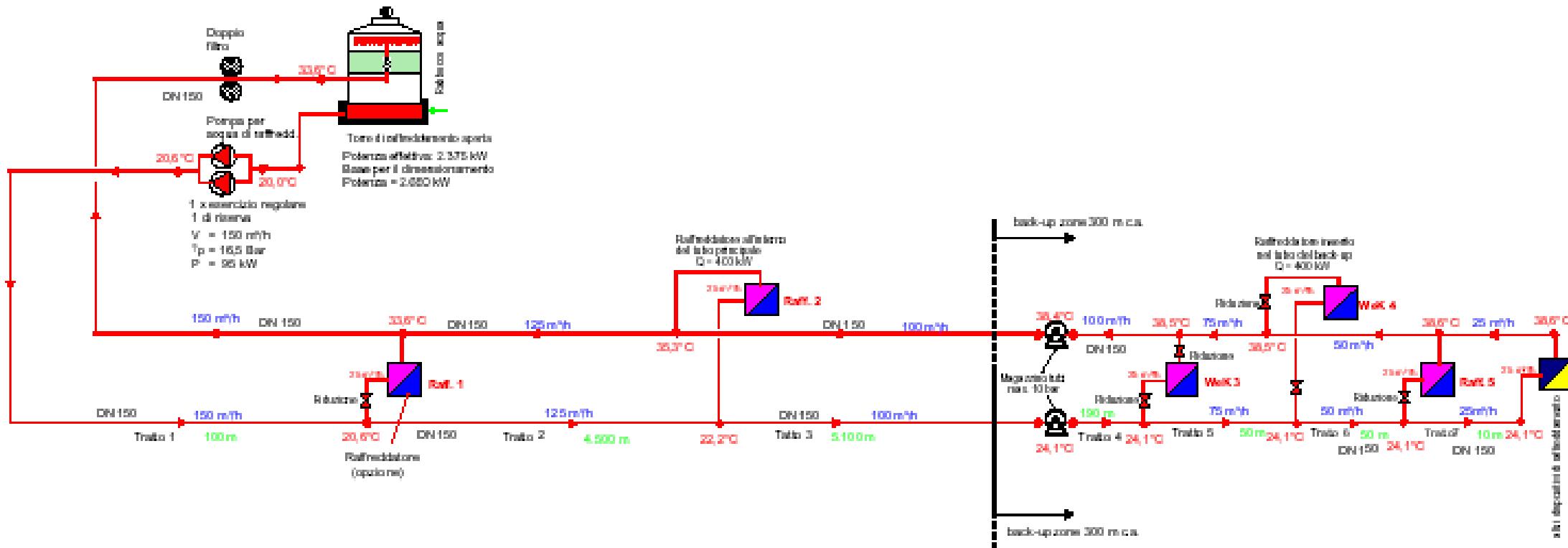
Profilo termico all'interno della galleria

Temperature [°]

Tubo senza raffredd. °C Ambiente senza raffred. °C Temp. del massiccio °C
 Tubo con raffredd. °C Ambiente con raffred. °C Temp. ammissibile °C

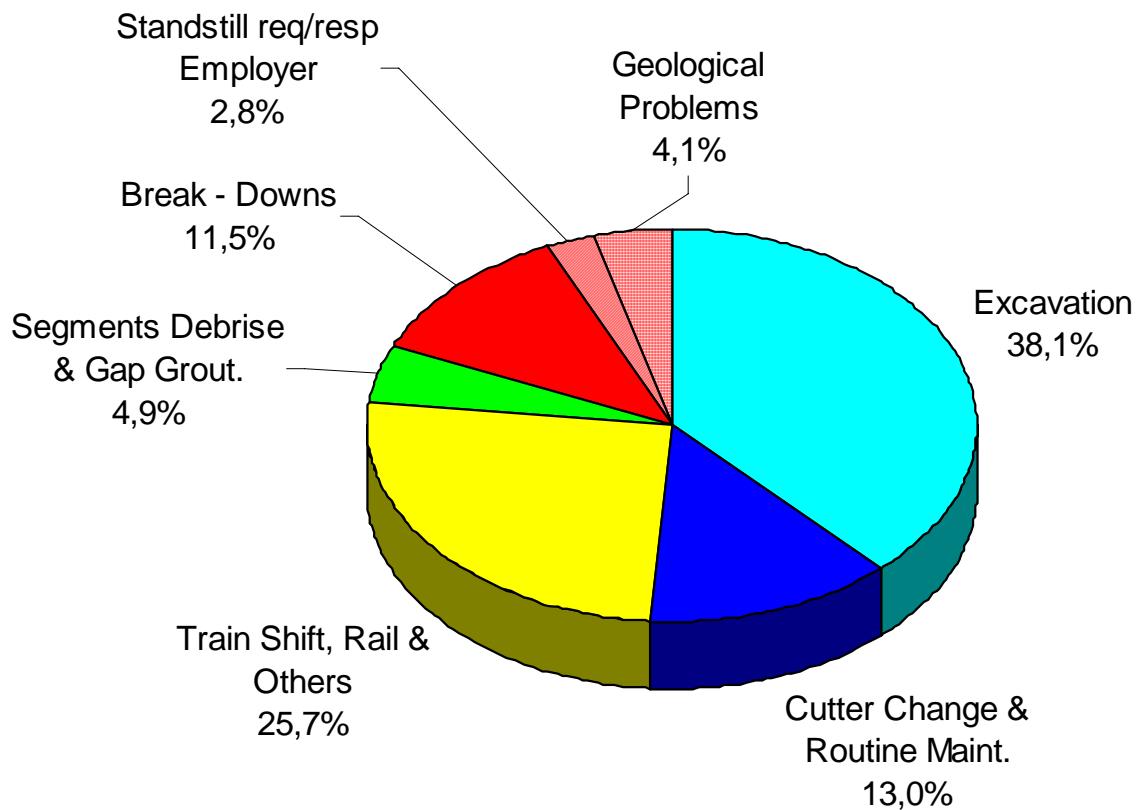


Tunnel length [m]

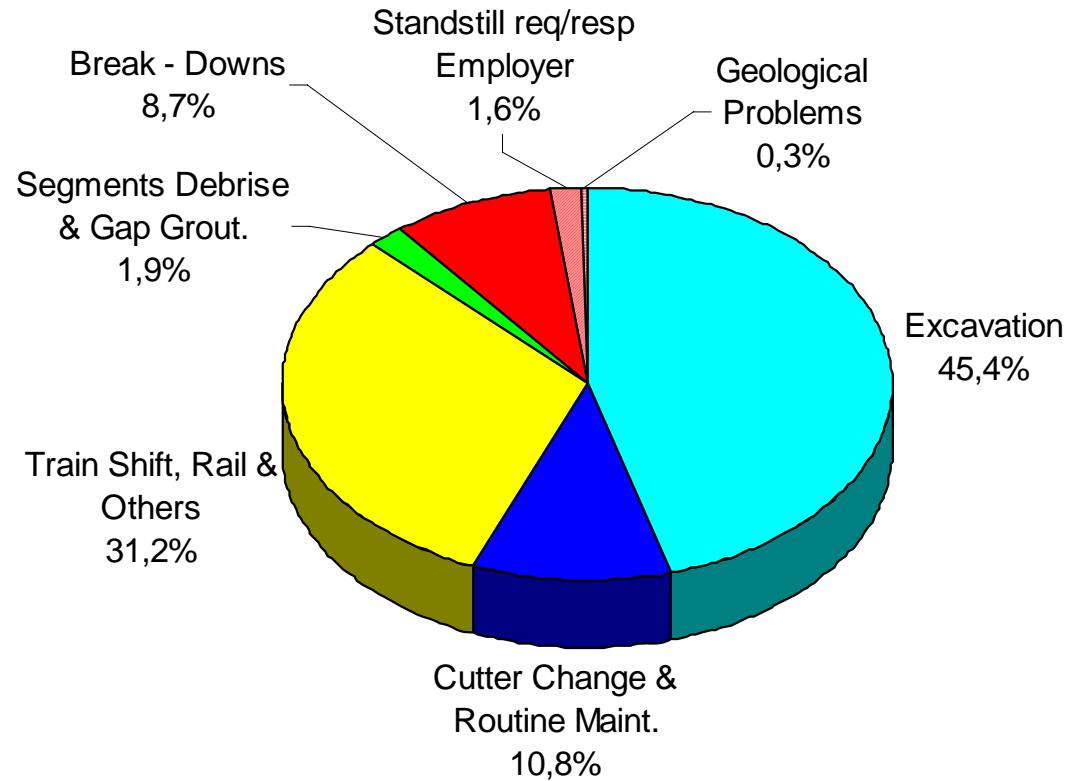


The importance of the logistics and of the “auxiliary” equipments

TBM A (T4+T5) - Expected



TBM A (T4+T5) - Actual



WANJIAZHAI - China

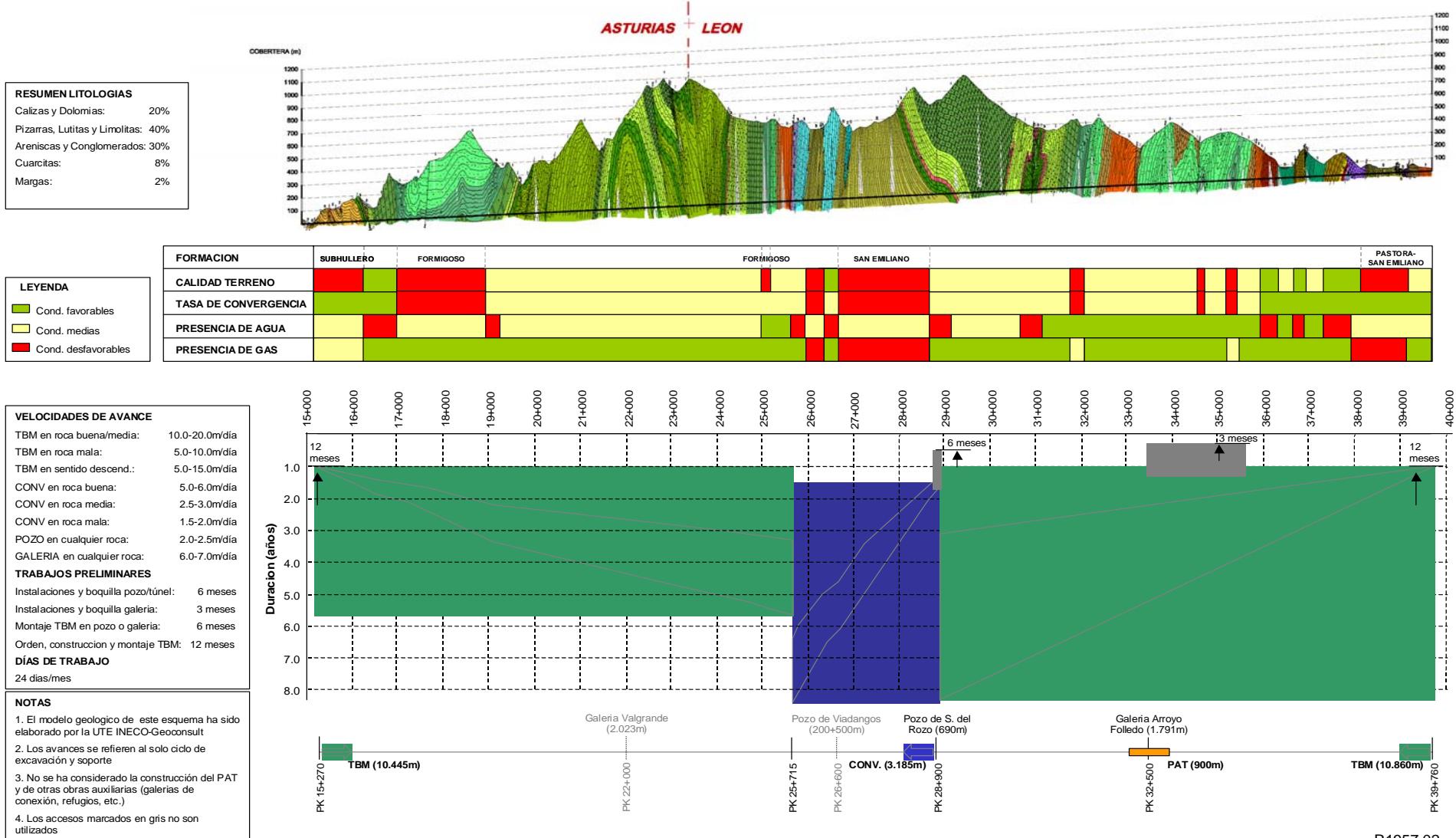
RMP: Risk quantification - Evaluation of alternatives with respect to management of unfavorable conditions

LÍNEA FFCC LEÓN-GIJÓN - VARIANTE DE PAJARES - TÚNEL DE BASE

GEODATA

Esquema constructivo y diagrama de ejecución (plazos probabilísticos)

Solución Alternativa 1 (Fig. 2)



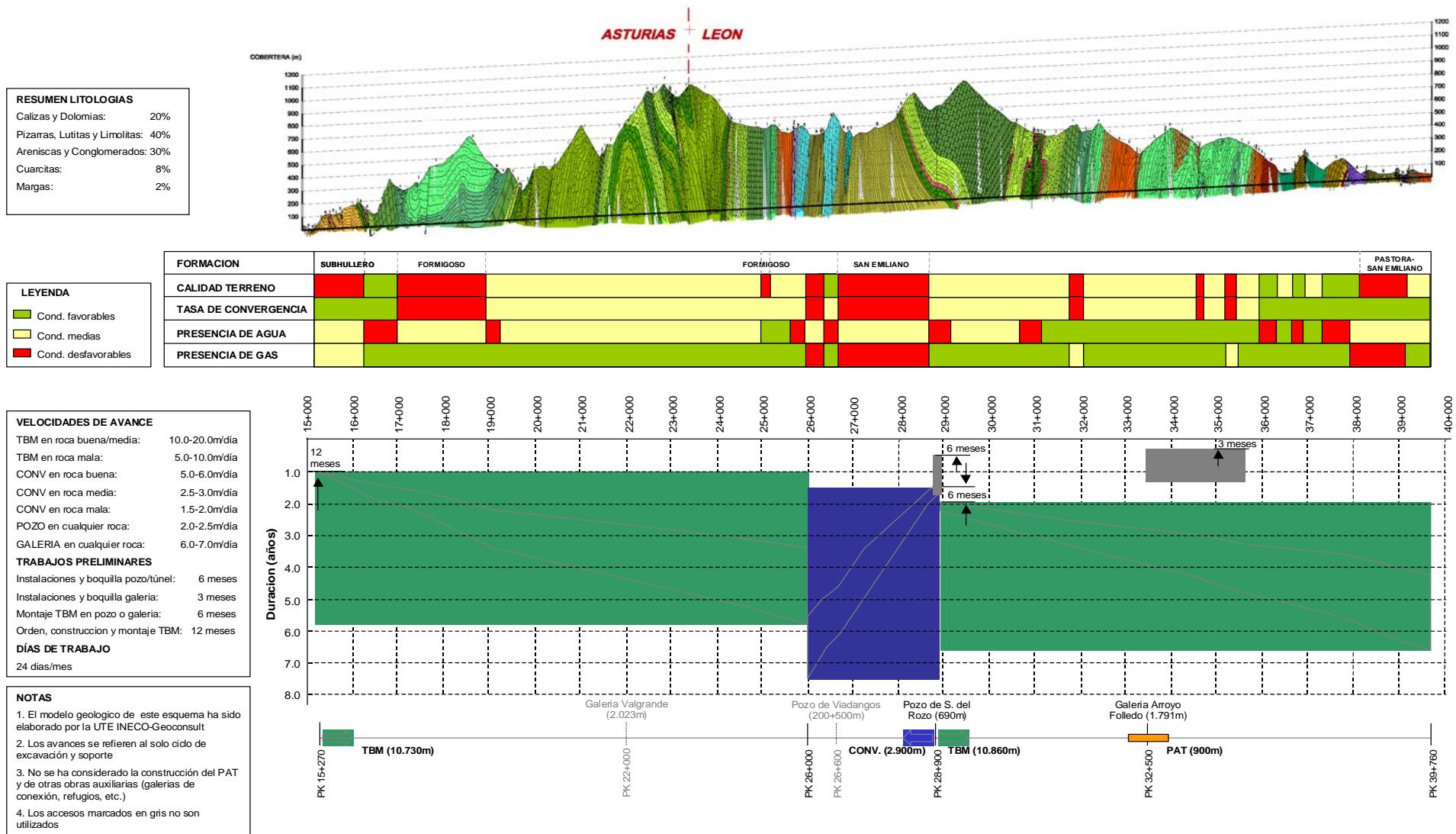
RMP: Risk quantification - Evaluation of alternatives with respect to management of unfavorable conditions

LÍNEA FFCC LEÓN-GIJÓN - VARIANTE DE PAJARES - TÚNEL DE BASE



Esquema constructivo y diagrama de ejecución (plazos probabilísticos)

Solución base del Estudio Informativo (Fig. 1)



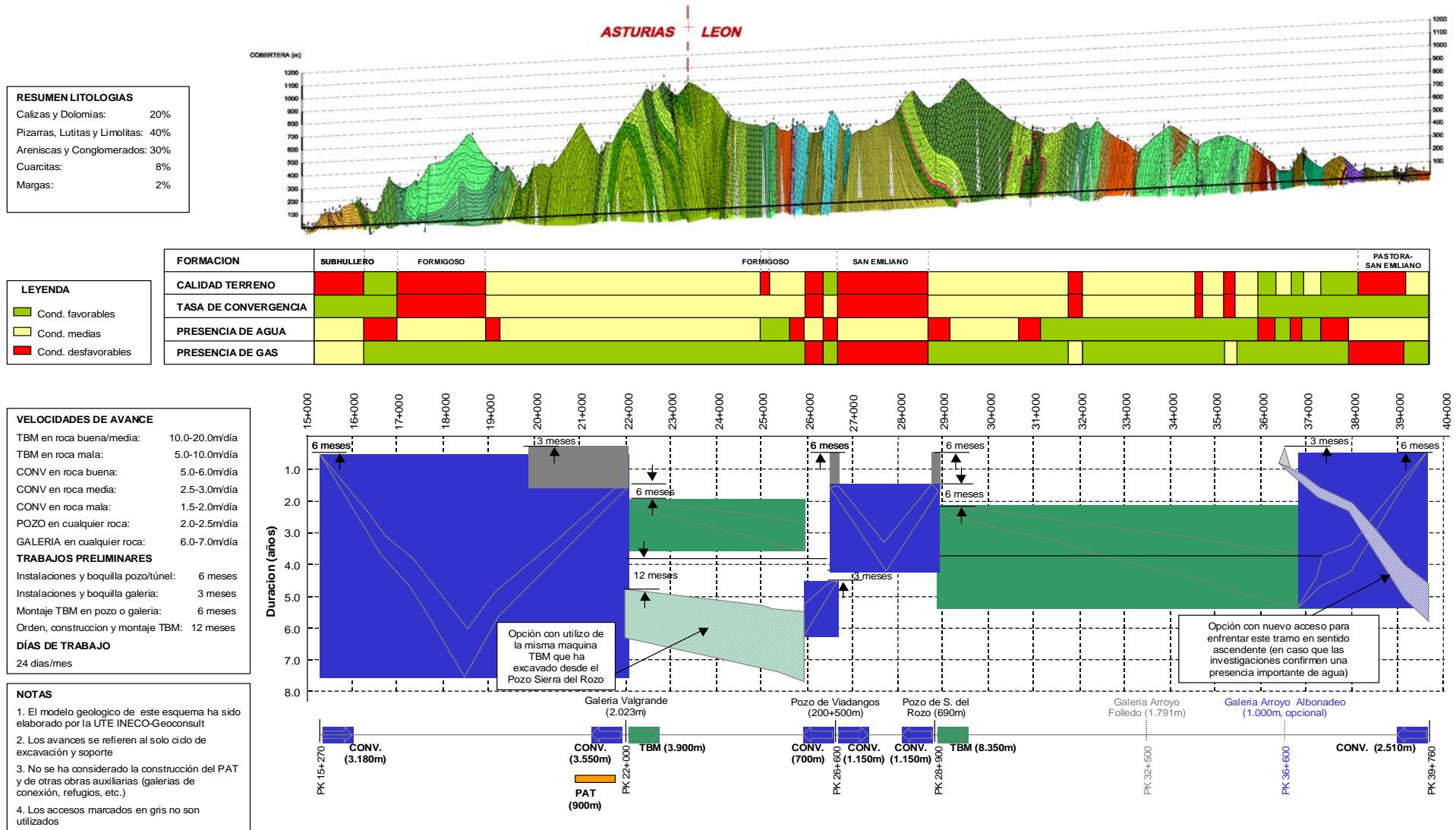
RMP: Risk quantification - Evaluation of alternatives with respect to management of unfavorable conditions

LÍNEA FFCC LEÓN-GIJÓN - VARIANTE DE PAJARES - TÚNEL DE BASE

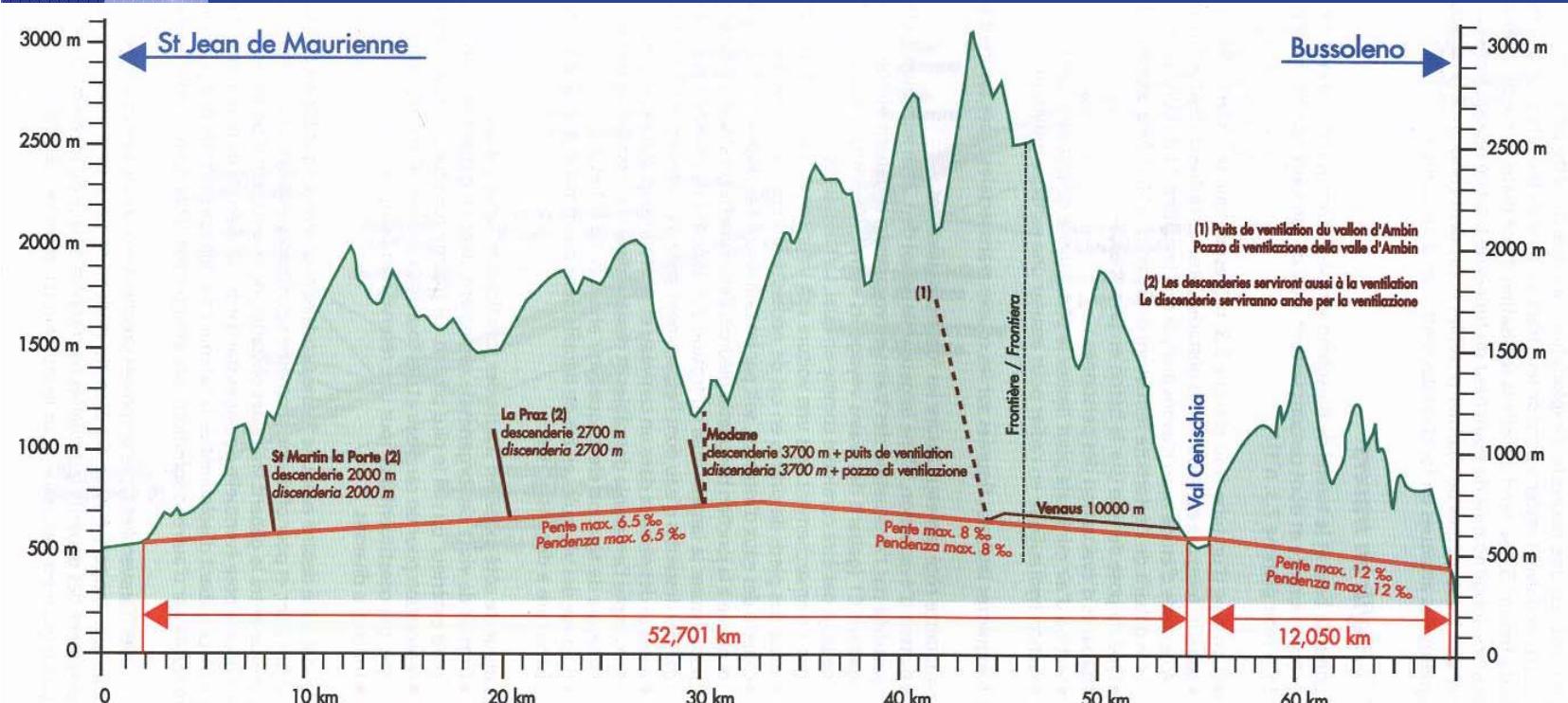
Esquema constructivo y diagrama de ejecución (plazos probabilísticos)

Solución Alternativa 2 (Fig. 3)

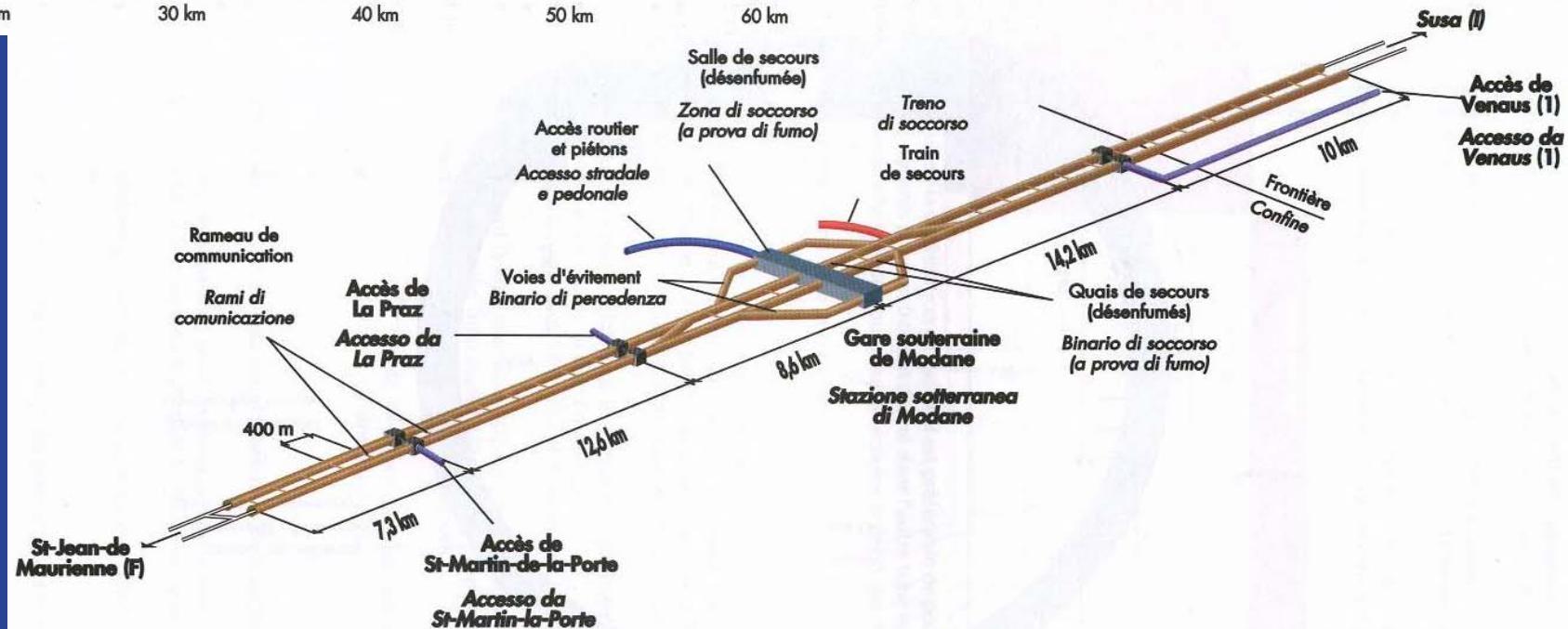
GEODATA



Lyon – Turin Base Tunnel: Schematic profile



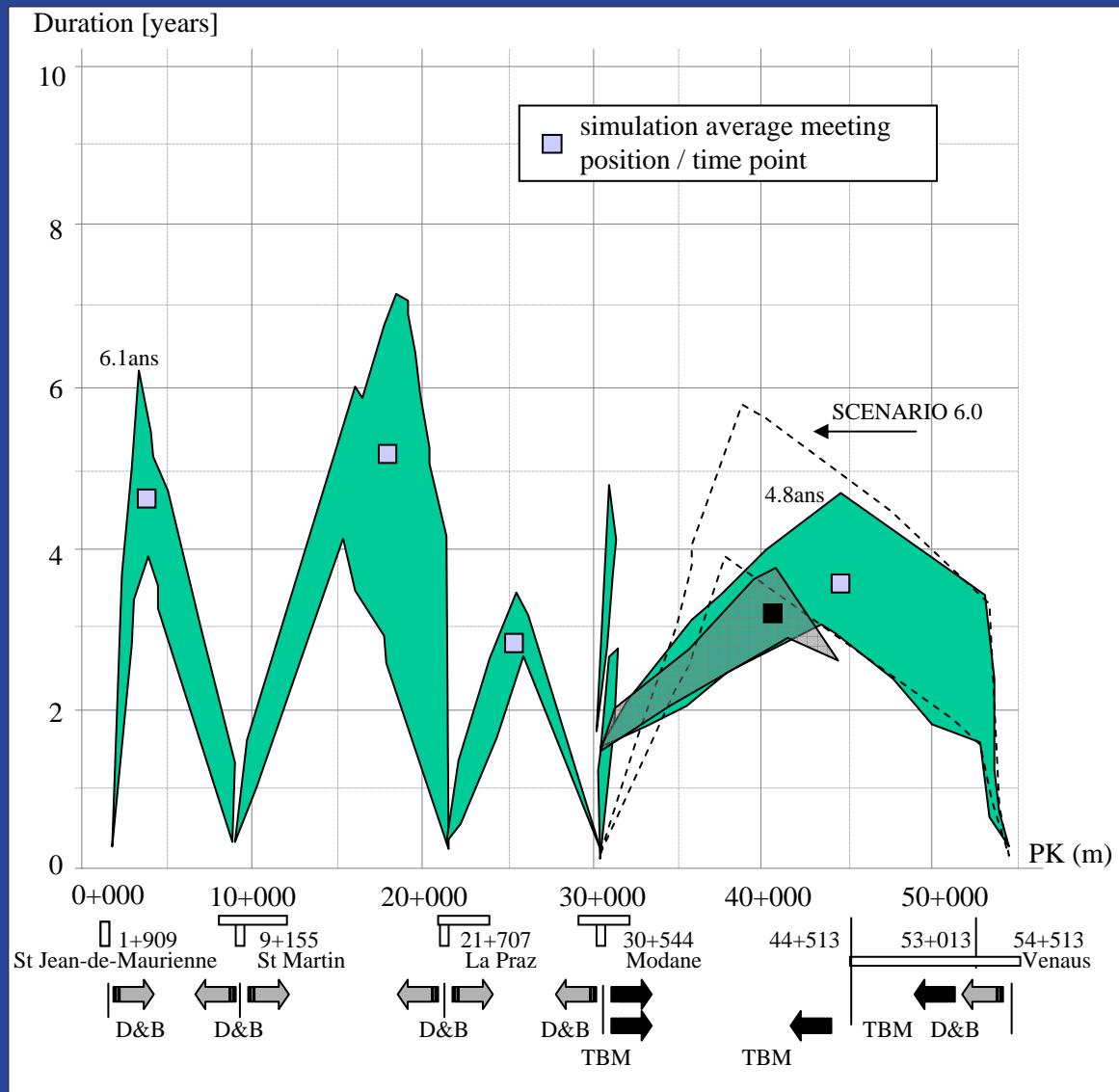
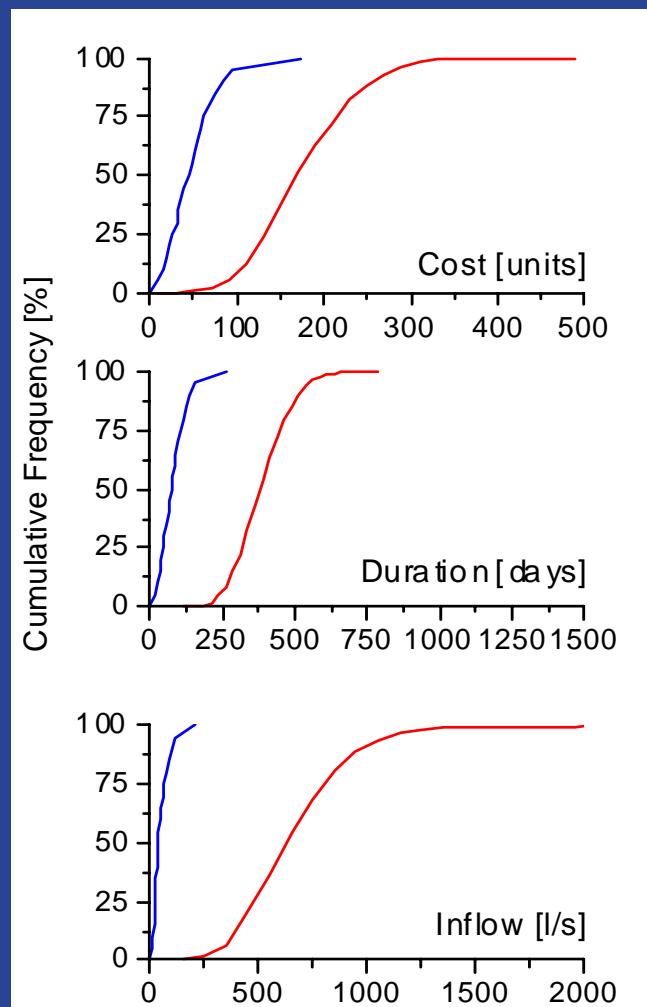
Source: CIG -
Rapport du Groupe
de travail - Tunnel,
12/2000



RMP: Risk quantification - Evaluation of alternatives with respect to management of unfavorable conditions

High-capacity 52km-long railway link

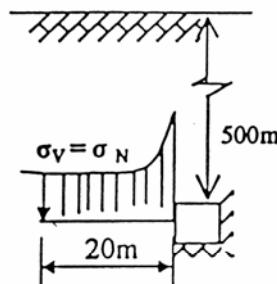
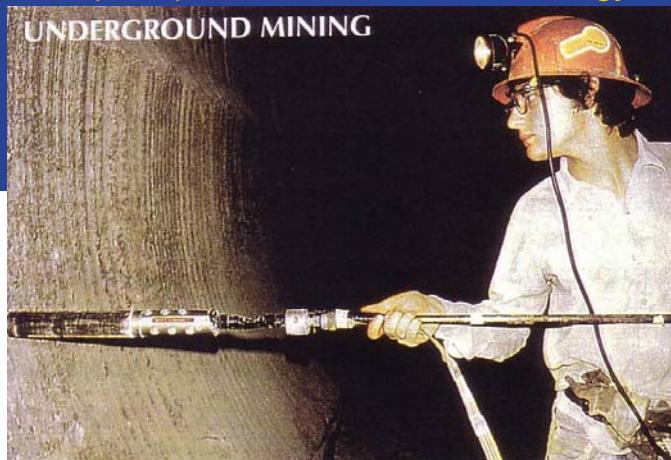
Distribution of additional cost, duration, and inflow due to unforeseen geologic conditions



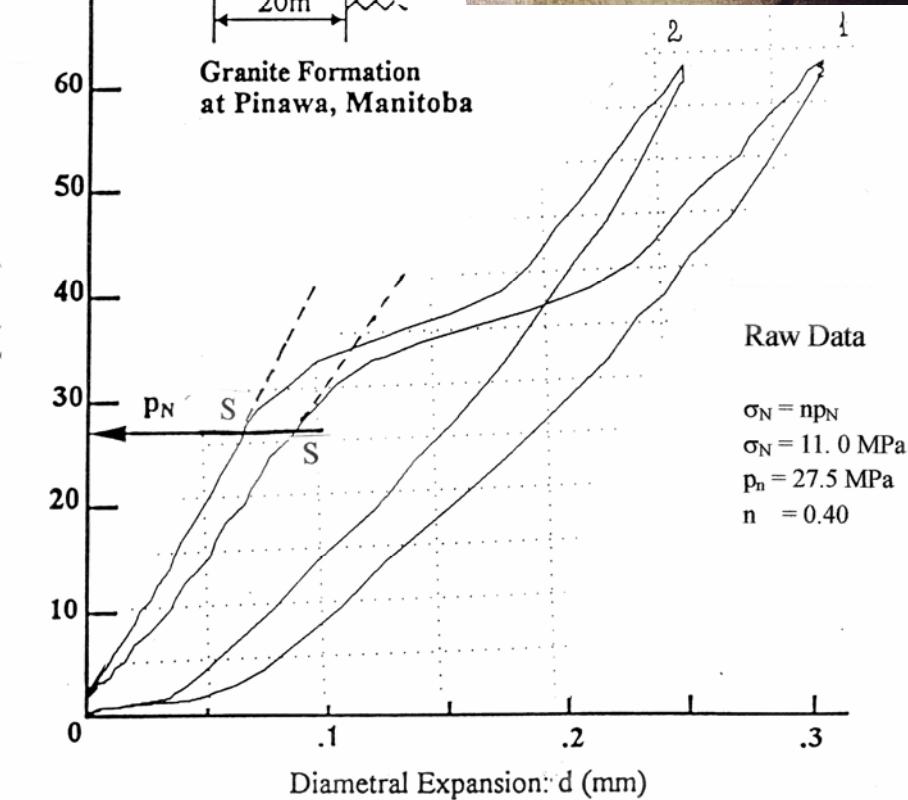
Source: B & G - GEODATA, 11/2000

SERATA STRESS TECHNOLOGY (SST)

Underground Research Laboratory
(URL) of Canada Atomic Energy



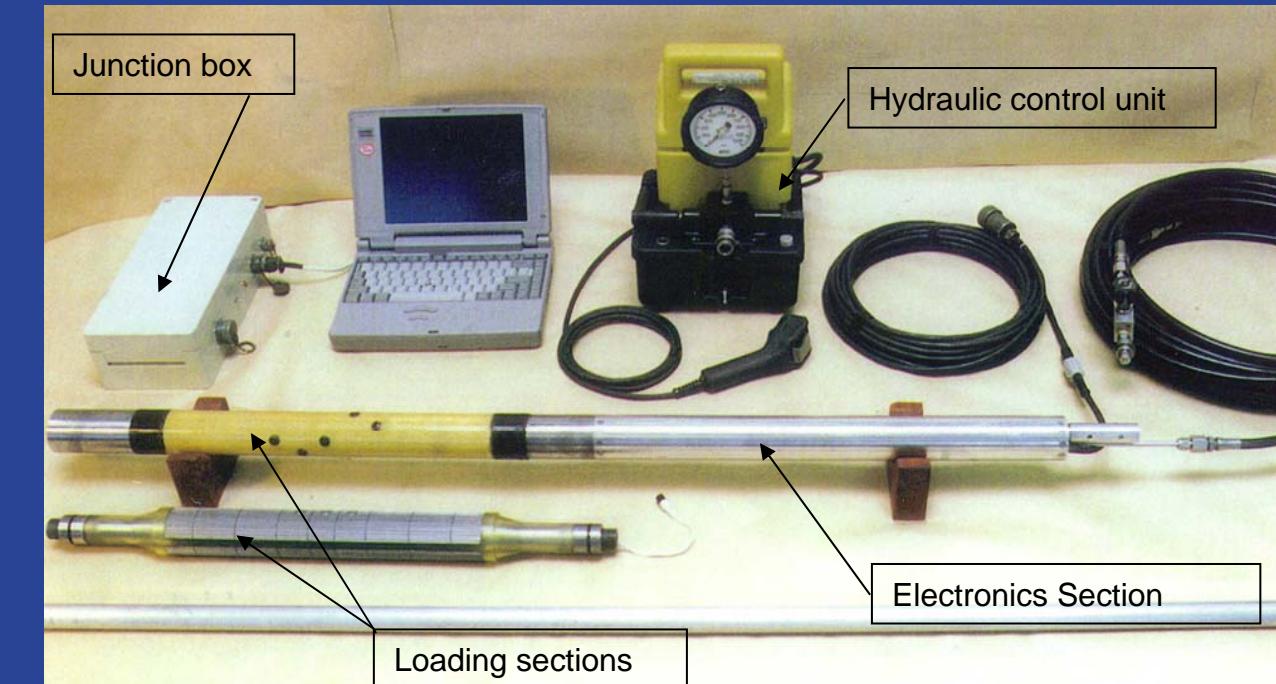
Granite Formation
at Pinawa, Manitoba



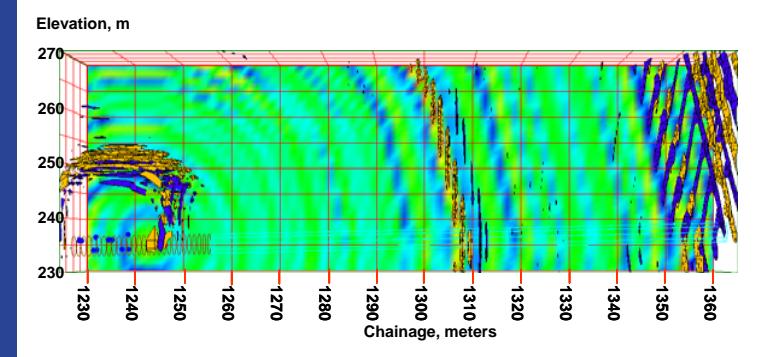
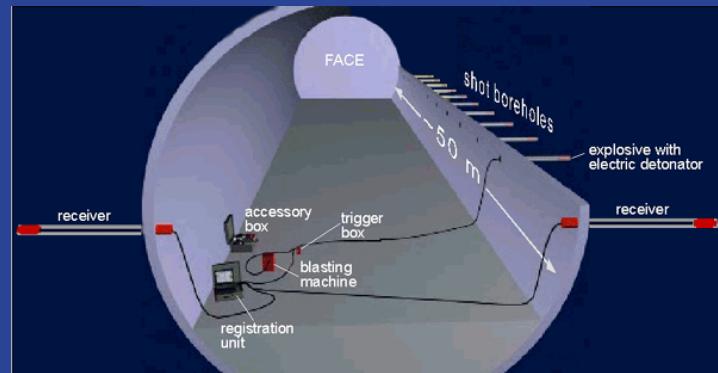
Allows to determine the initial stress and the elasticity characteristics of the material (key parameters for deep tunnels and for mechanized tunneling) with a unique test.

Testing is quick and induces limited interference with the tunneling operations.

Limited execution time (15-20 min. / test)

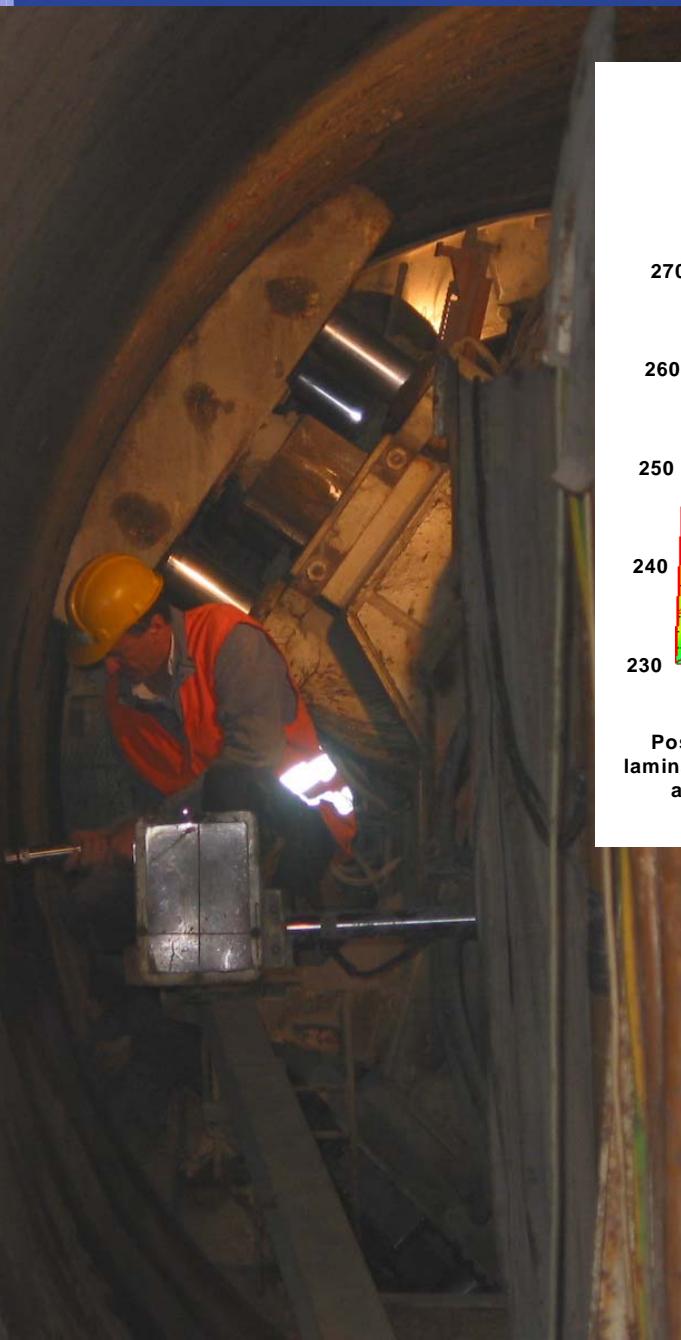


Exploration ahead of the tunnel face: TSP e TRT

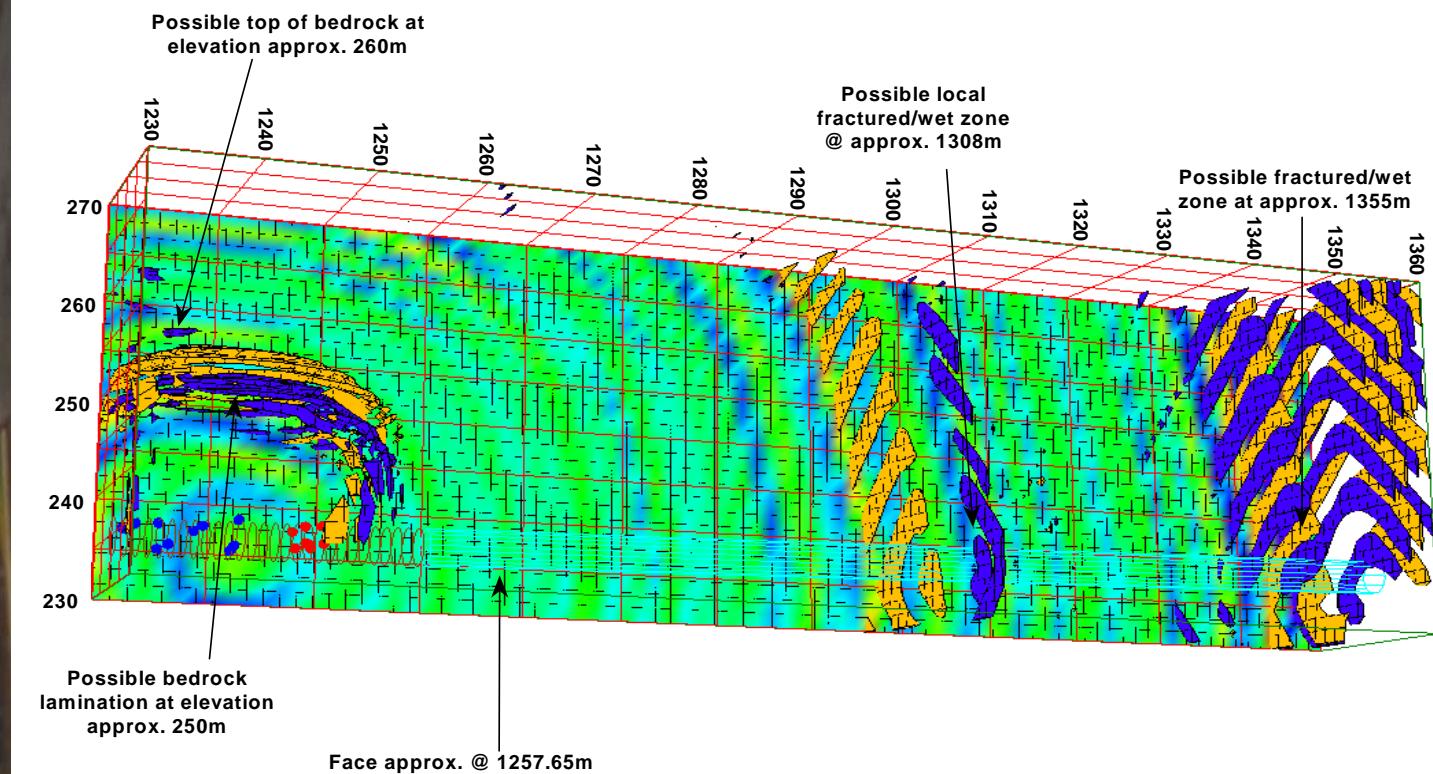


	TSP (Amberg) Tunnel Seismic Prediction	TRT (NSA) Tunnel Reflection Tomography
Energy source	Explosive	Magnetic restrictive System (Etrema) Explosive, sledge hammer
Test duration	3 hours <u>plus time for the boring 26 holes</u>	4 to 5 hours
Test depth	100 to 200m Limited depth in case of TBM excavation	50 to 150m in whatever excavation and site conditions
Results	One-dimensional profile of the elastic characteristics of the rocks. Delimitation and characteristics of the main reflectors (position, dip and strike angle)	Tomographical image with 2D and 3D of the reflection coefficient

Exploration ahead of the tunnel face - TRT



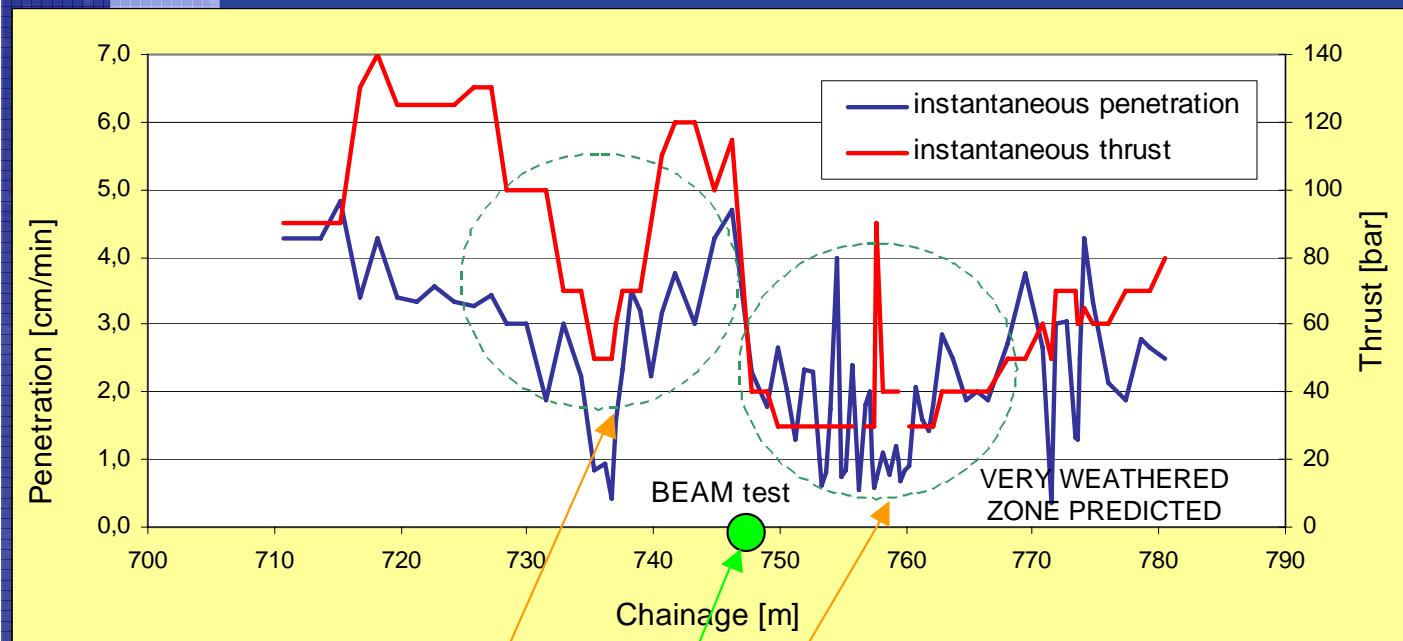
TRT – 3D results



The *TRT* test provides **great versatility** since more than one type of **energy source** can be used: **explosive charges** (deep level of investigation and signals with quite high frequency); **mechanical methods** (impact masses); new **electro-mechanical methods** (very low impact on site activities whilst giving a good level of penetration and high frequency signals).

TRT - Supply of energy inside the TBM

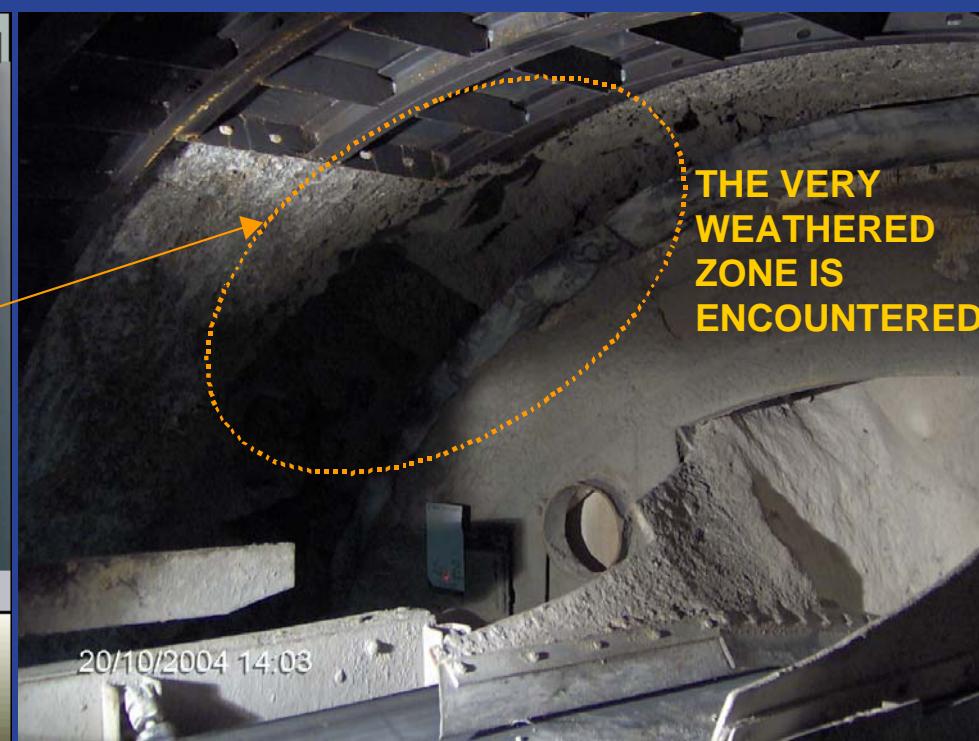
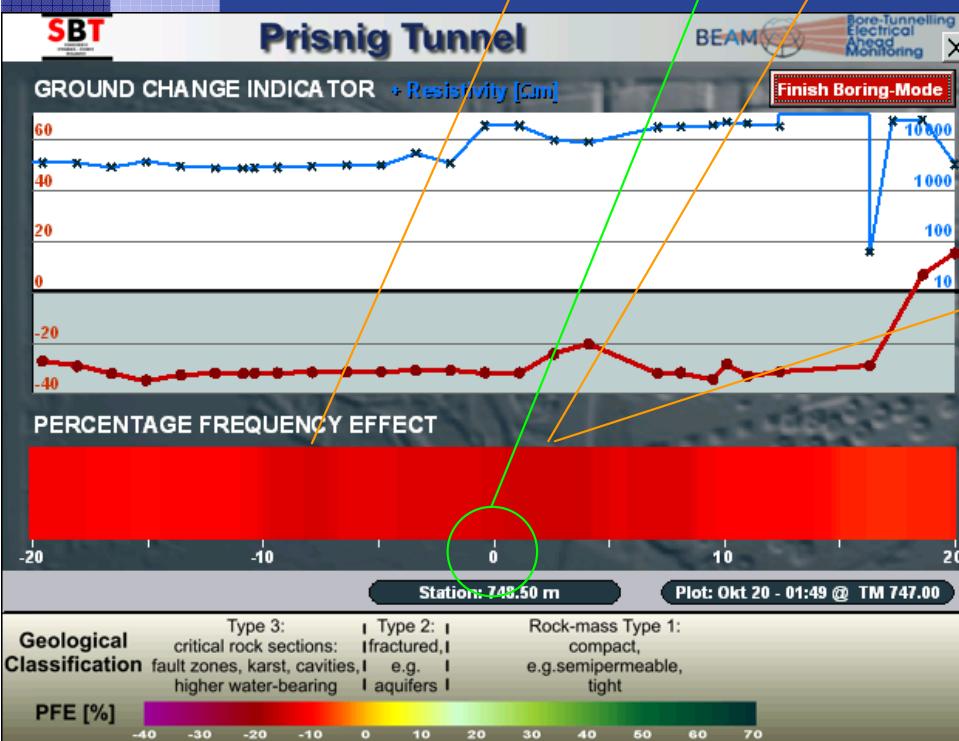
Exploration with Bore-tunnelling Electrical Ahead Monitoring - BEAM



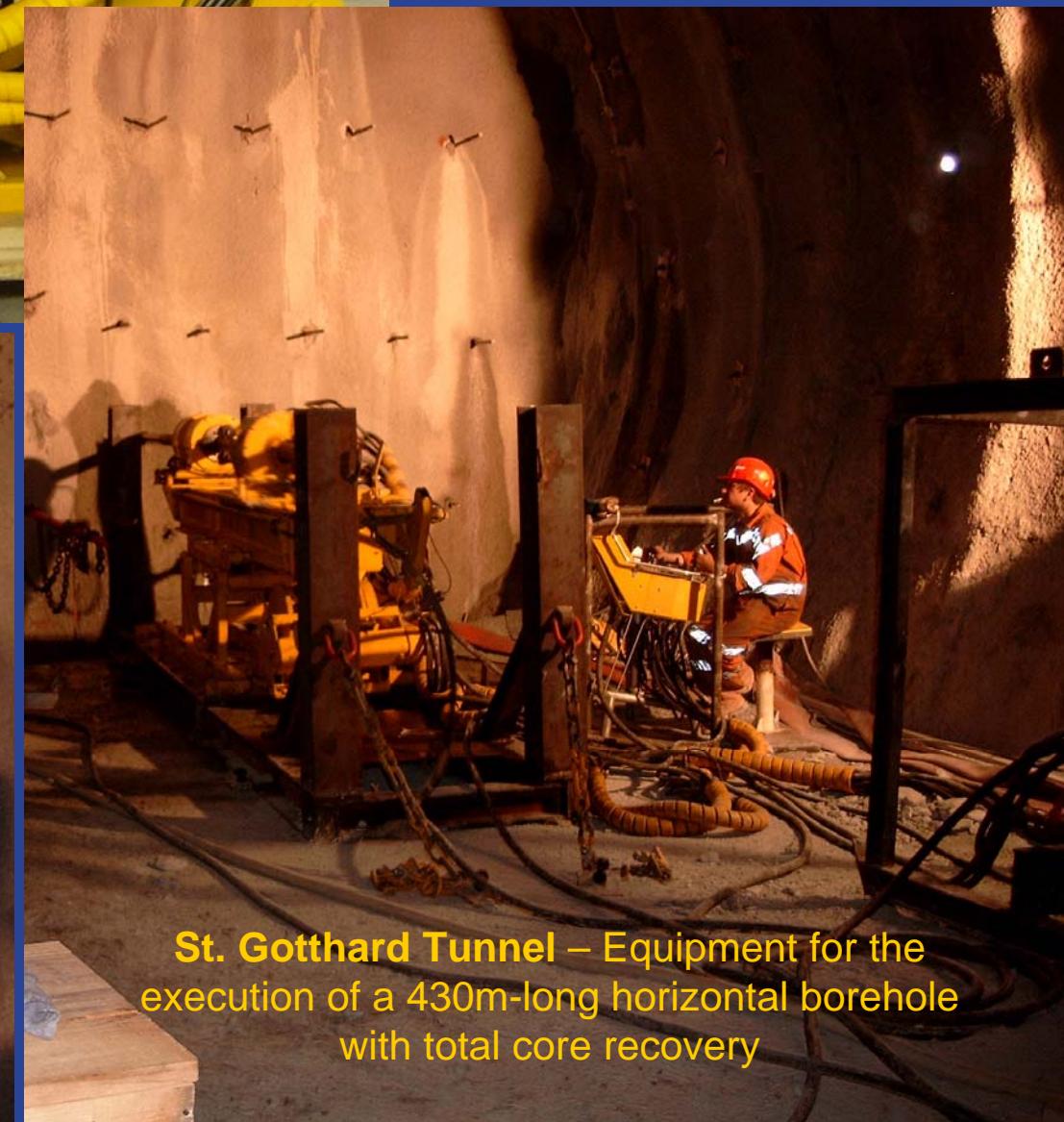
BEAM is a focused-electrical frequency domain induced polarization method to explore fore-field and perimeter ground while TBM drives.

It allows the early detection and location of rock changes due to continuous automatic measurement of electrical parameters.

The monitoring current beam is forced to penetrate the ground ahead of the face up to a distance of several times the tunnel diameter



DIAMEC drilling device



St. Gotthard Tunnel – Equipment for the execution of a 430m-long horizontal borehole with total core recovery

Beilage Vorauskundungsrapport Kernbohrung EST SW Nr. 9: Erkundung Urseren-Garvera-Zone Stand 04.11.2004

Legende

Geologische Aufnahme: Bandaufzeichnung

-  Gneise
-  Schiefergneise
-  Schiefer
-  Serpentinit

Legende

Aufnahme Bohrungen im TZM Süd

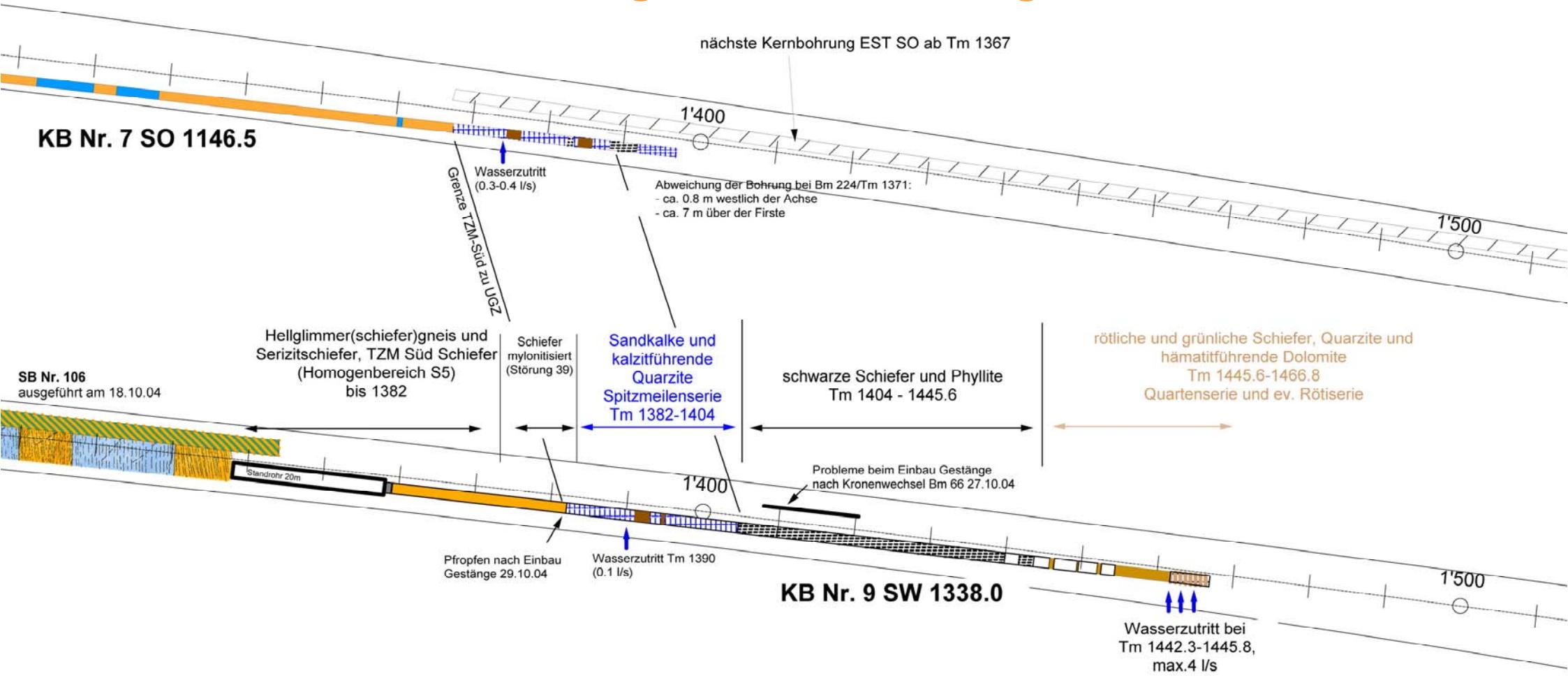
-  Gneise
-  Schiefergneise
-  Schiefer
-  Kernverlust

Legende

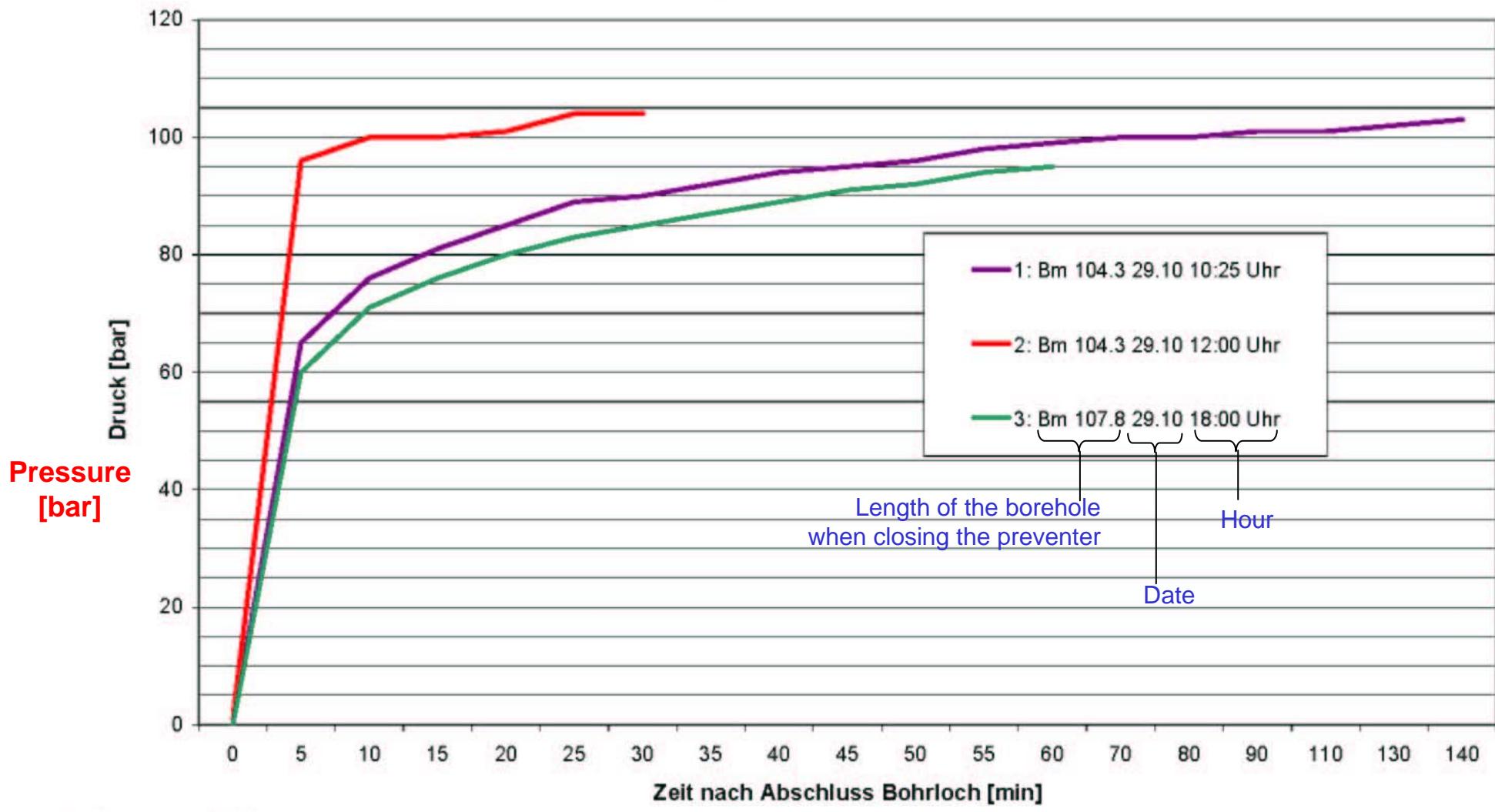
Aufnahme Bohrungen in der Urseren-Garvera-Zone

- | Spitzmeilenserie | Prodkammserie | Quartenserie |
|--|--|---|
|  Sandkalk |  schwarze Schiefer, z.T. Kalkschiefer |  quarzreiche, dolomitische Schiefer, grünlich, rötlich |
|  Kalkschiefer | | |
| |  Quarzit |  hämatitführende Dolomite |

Long horizontal drilling at St.Gotthard Tunnel



Test for measuring the water pressure
Druckhöhenversuche KB Nr. 9 EST SW ab Tm 1338



Gibraltar Strait Crossing

