

Evaluation of safety systems for multipurpose facilities – how to find an optimized solution

ITA-COSUF Workshop

“Complex Underground Multipurpose Facilities – Safety Challenges and Solutions”

4th June 2013, Geneva, Switzerland

Dr.-Ing. Goetz Vollmann

Ruhr University Bochum,

Institute for Tunnelling and Construction Management (TLB)



Content

- General problem and key elements
- Decision theory and its application
- Exemplarily assessment
- Conclusions



Content

- **General problem and key elements**
- Decision theory and its application
- Exemplarily assessment
- Conclusions





Know your “enemy” and know your system

- ▶ Different structures will have varying threats and possible hazards
 - ➡ An extensive hazard and threat analysis is mandatory and has to be carried out for every specific facility, especially when dealing with security matters

Know your “enemy” and know your system

- ▶ Every structure has its own vulnerabilities in terms of structural assessment, user assessment or its meaning for the surrounding infrastructural network
 - ⇒ A holistic approach is needed, that includes every relevant criterion

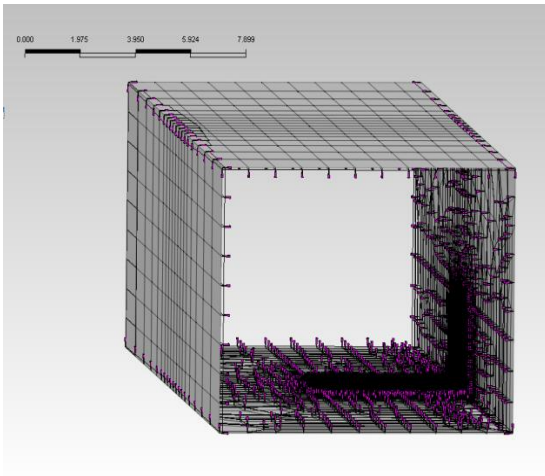
How far do you want to (need to) go?

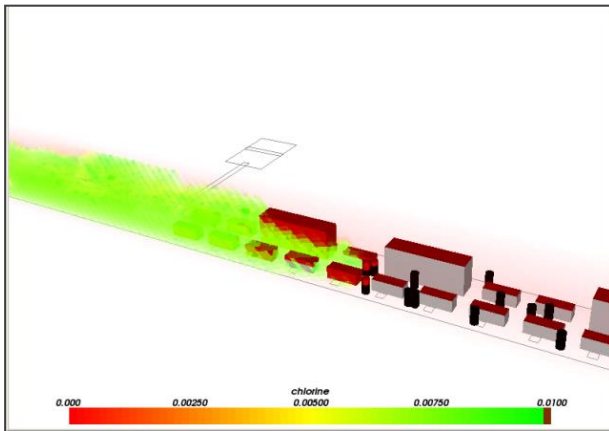
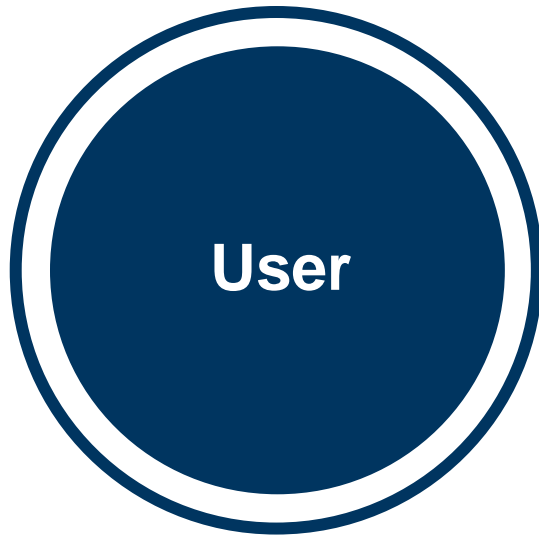
- ▶ The technical possibility to applicate a specific measure (operational, structural or organizational) does often not correlate with a general need for such a systemic upgrade and might raise conflicts with budget constraints
 - ➡ We need prioritizations of measures as well as the tools and the boundaries to do so

Structure

Structural assessment

- ▶ General durability and resilience under various threats
- ▶ Possible duration of repair after a damage
- ▶ Possible cost for repair
- ▶ ...





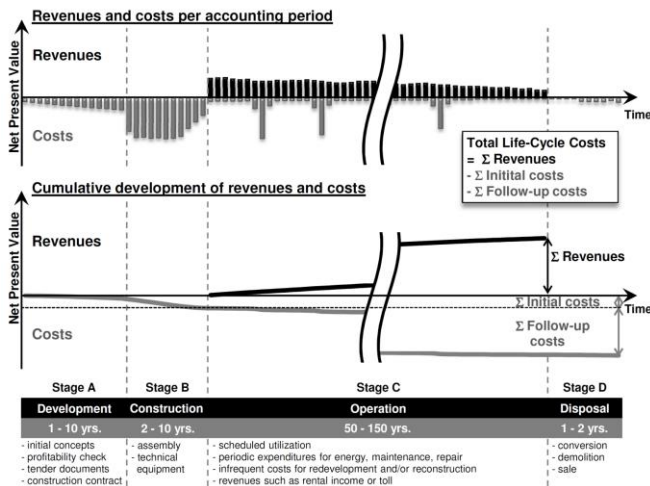
Assessment of user safety

- ▶ Quantitative risk assessment with the facilities boundary conditions
- ▶ Estimation of probabilities and consequences
- ▶ Assessment of mitigation measures
- ▶ Risk accumulation and evaluation
- ▶

Lifecylce costs

Assessment of lifecycle costs (LCC)

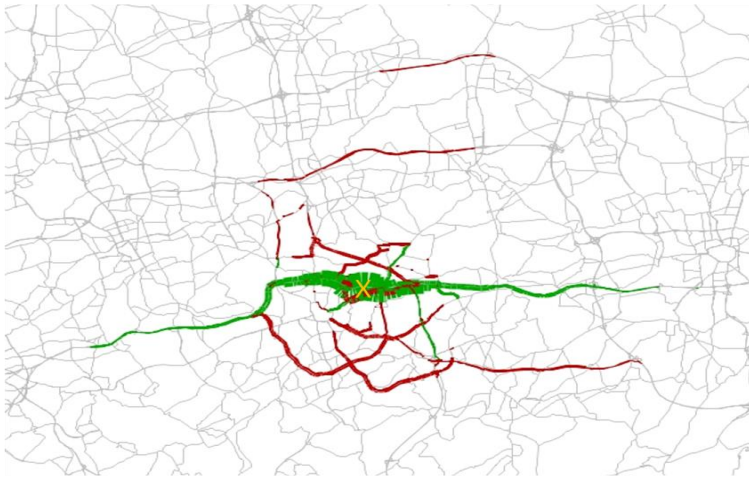
- ▶ Costs for initial invest (construction, equipment, measures, etc.)
- ▶ Follow-up costs (maintenance & repair, re-invest)
- ▶ Costs for decomissioning
- ▶ ...





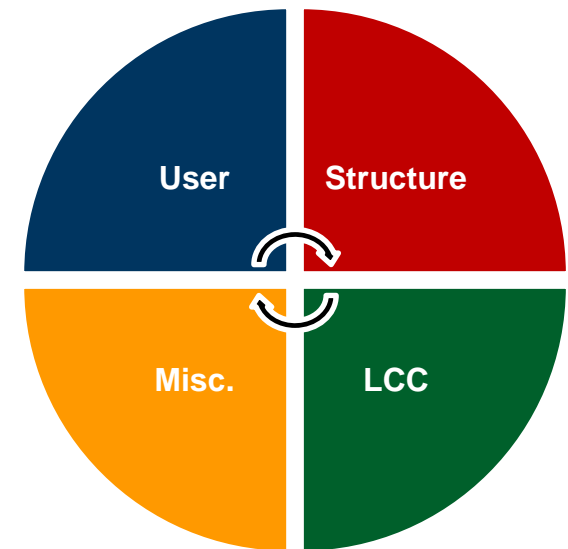
Assessment of miscellaneous criteria

- ▶ Reaction of the surrounding infrastructural network
- ▶ Symbolic meaning
- ▶ ...



Holistic assessment:

- ▶ How can these specific criteria be compared with each other in regard to different layouts for safety system and operational approach?
- ▶ How can one make a traceable and transparent decision?



Content

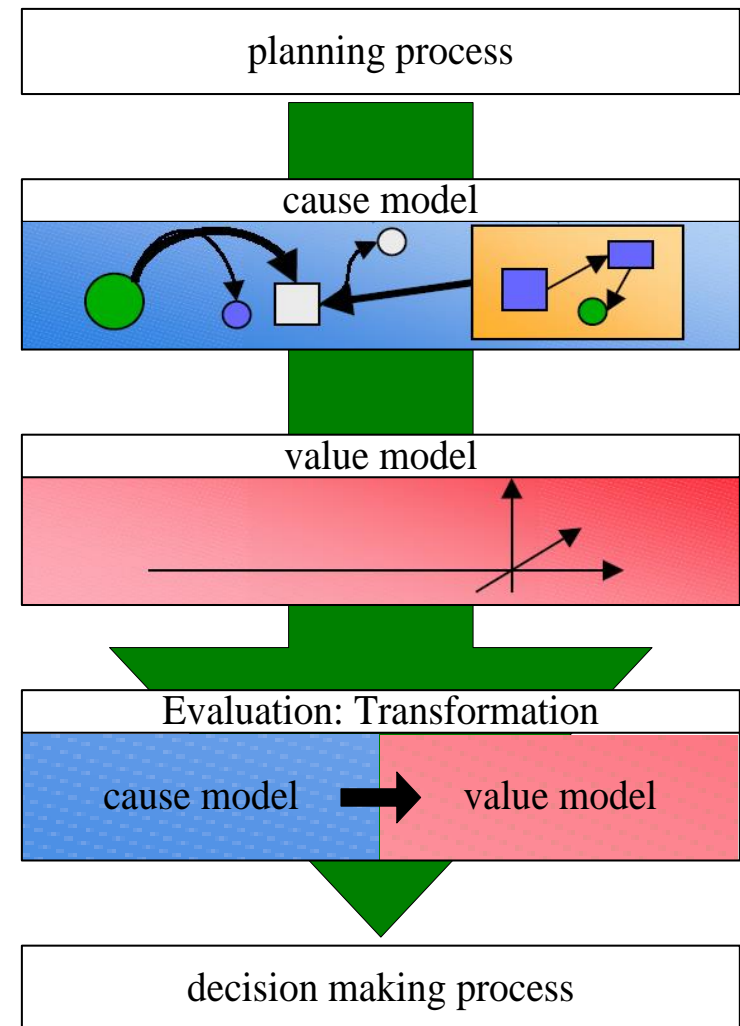
- General problem and key elements
- **Decision theory and its application**
- Exemplarily assessment
- Conclusions



Basics of decision making

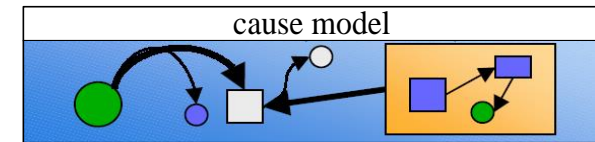
- ▶ Cause model: Gathering and analyzing of project specific data
- ▶ Value model: Assessing the scale and weight
- ▶ Consolidation of descriptive component (cause model) and normative component (value model) in the evaluation

➔ Decision making process



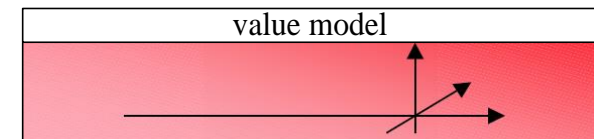
Cause model

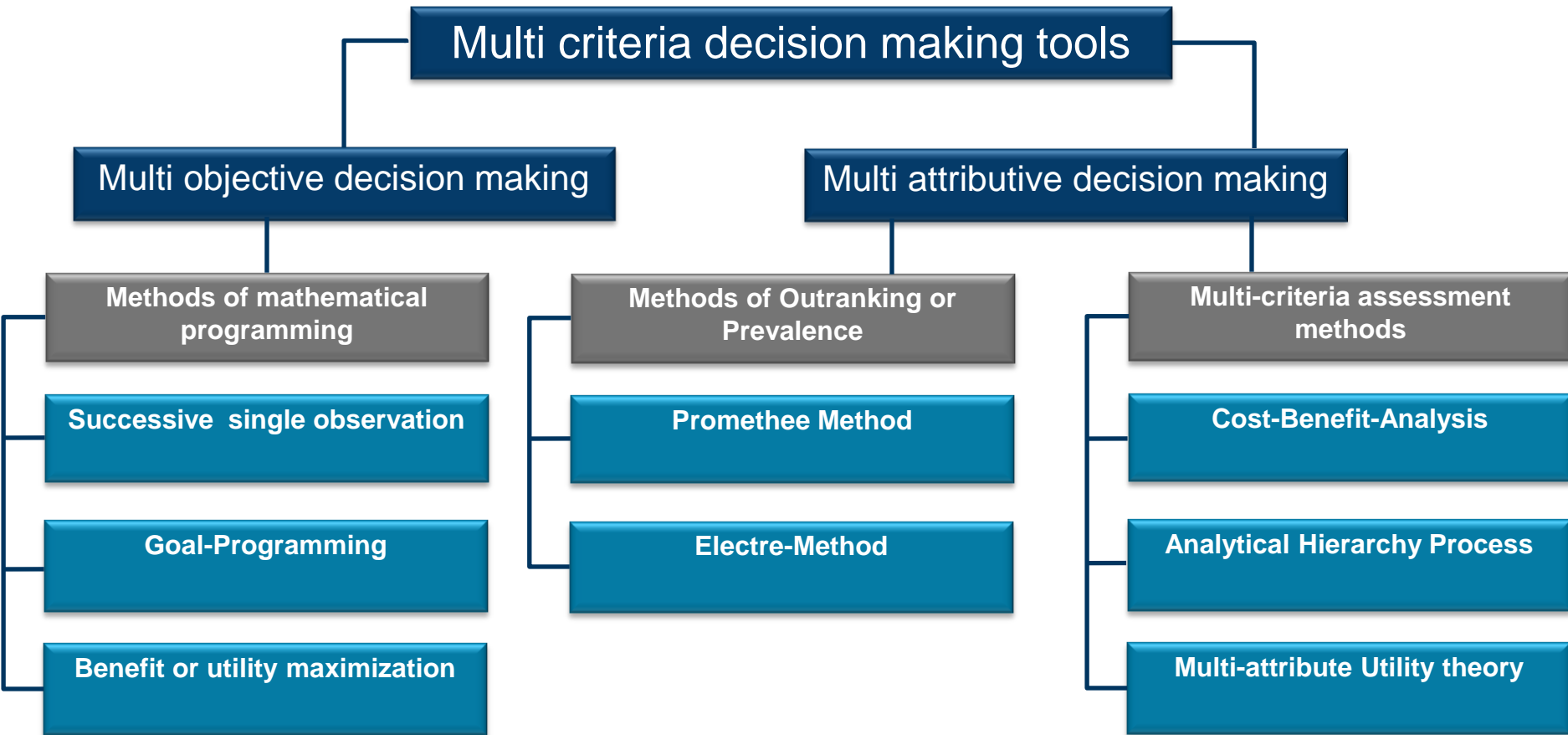
- Impact of different operational technologies and configurations on safety & security



Value model

- Definition of the value model by target systems, criteria, corresponding indicators and their weighting
- Target system: Description of objectives aspired for safety & security purposes
- Classification in primary and secondary objectives or criteria
- Weighting





Multi criteria decision making tools

Multi objective decision making

Methods of mathematical programming

Successive single observation

Goal-Programming

Benefit or utility maximization

Methods of Outranking or Prevalence

Promethee Method

Electre-Method

Multi attributive decision making

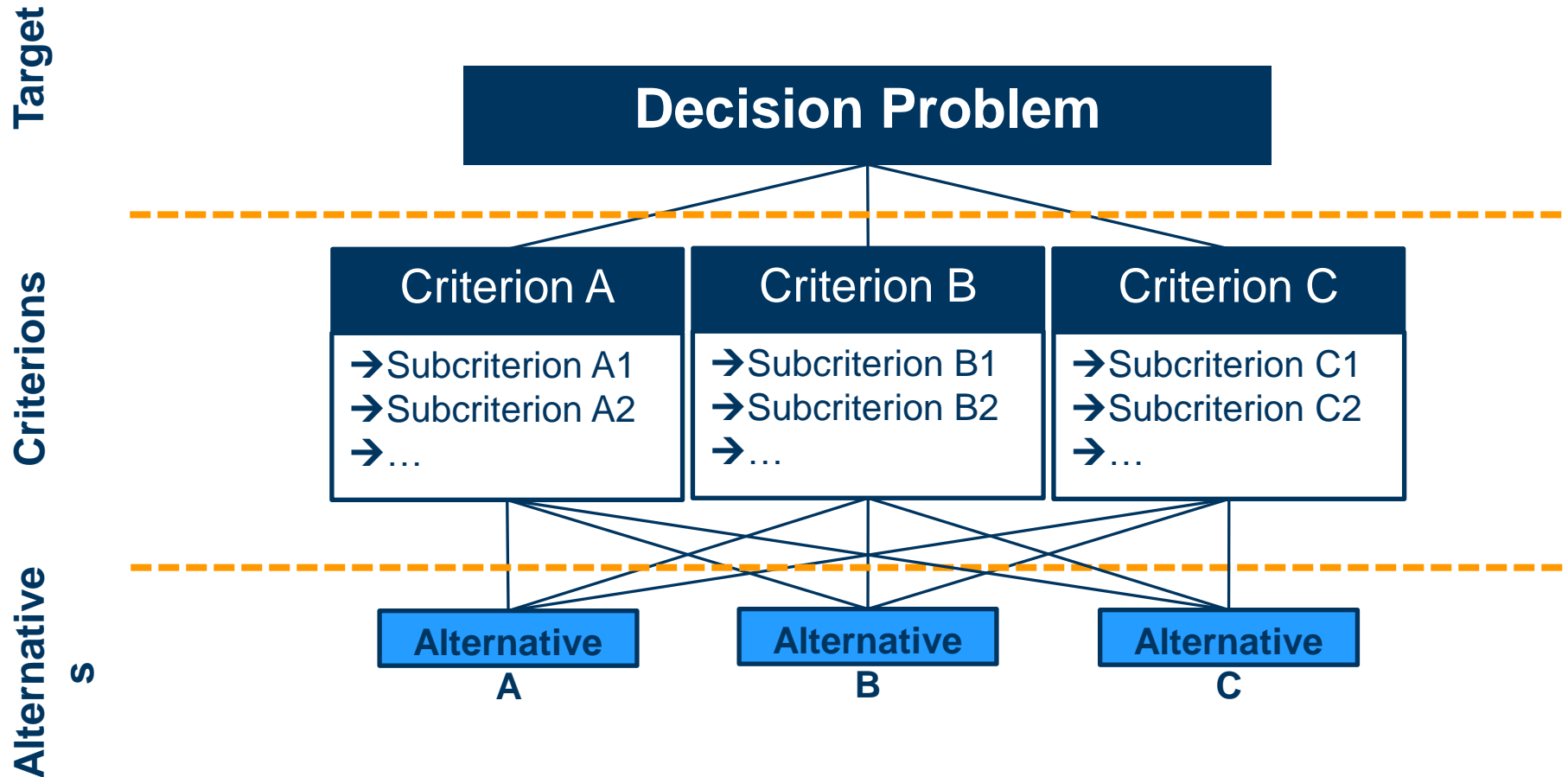
Multi-criteria assessment methods

Cost-Benefit-Analysis

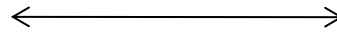
Analytical Hierarchy Process

Multi-attribute Utility theory

Building a hierarchical decision model for a holistic approach:



Criterion A



Criterion C

9 8 7 6 5 4 3 2 1 2 3 4 5 6 7 8 9

	Evaluation matrix			1. Square of matrix				1. weight
	A	B	C				ri	wi
A	1,000	4,000	2,000	3,000	8,667	16,000	27,667	0,603
B	0,250	1,000	3,000	2,000	3,000	6,500	11,500	0,250
C	0,500	0,333	1,000	1,083	2,667	3,000	6,750	0,147
SUM ci	1,750	5,333	6,000	6,083	14,333	25,500	45,917	1,000

Using qualitative or/and **quantitative** Data

$$w_i = \frac{\frac{1}{a_i}}{\frac{1}{a_1} + \frac{1}{a_2} + \dots + \frac{1}{a_n}} \quad i = 1, \dots, n.$$

	Alternative A	Alternative B	Alternative C	Weight			Sum
				Wa (A)	Wb (B)	Wc (SPC)	
Subcriterion A1	50000 €	35000 €	25000 €	0,23	0,32	0,45	1
Subcriterion A2	10000 €	8000 €	15000 €	0,34	0,43	0,23	1
Subcriterion A3	10000 €	10000 €	12000 €	0,35	0,35	0,30	1

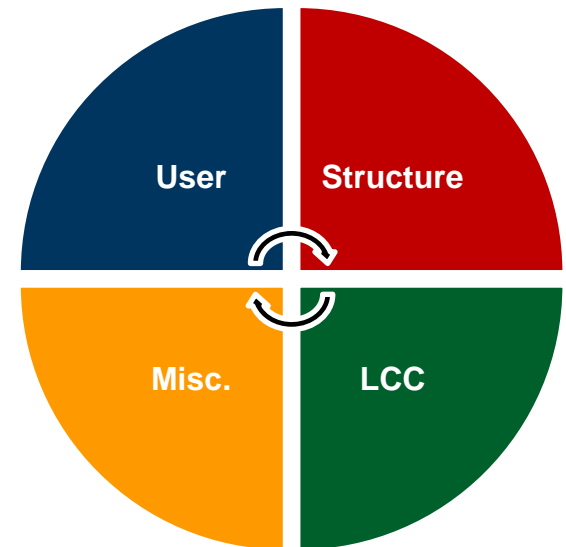
Content

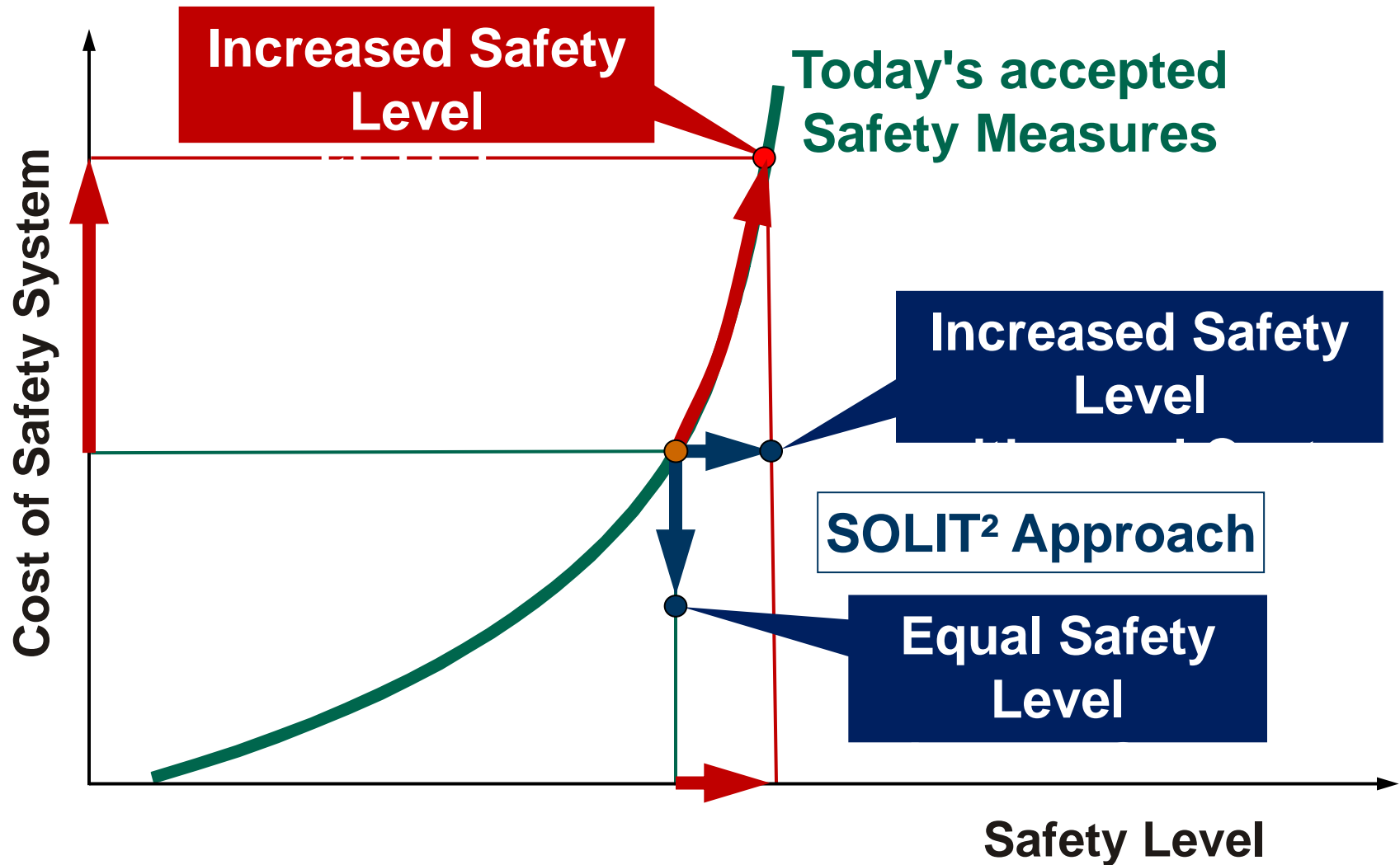
- General problem and key elements
- Decision theory and its application
- **Exemplarily assessment**
- Conclusions



The scope of SOLIT²

- ▶ Is it possible to compensate “traditional” safety equipment by using water mist systems as a substitution?
- ▶ **Target** : lower level of risks while requiring an equal level of costs compared with “traditionally “ equipped tunnels:
- ▶ **Or**: equal level of risks while requiring a lower level of costs

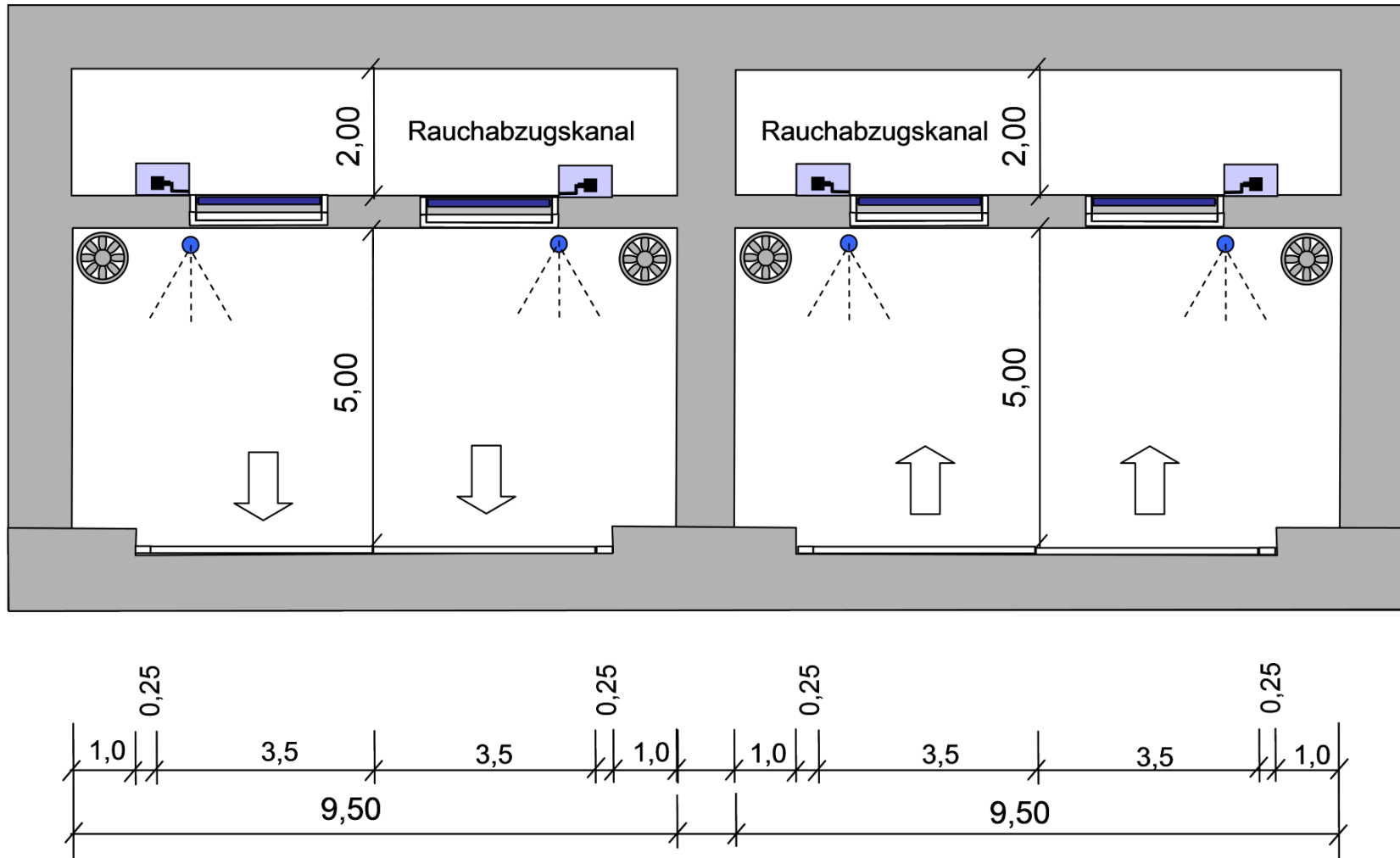




Master tunnel design

- ▶ Square cross section:
“RQ 31t” according to RAA (Richtlinien für die Anlagen von Autobahnen, Guidelines for the design of highways)
- ▶ False ceiling, exhaust gas tunnel
- ▶ Traffic volume (DTV): 20,000 vehicles/day per tube
- ▶ Fully equipped according to German RABT

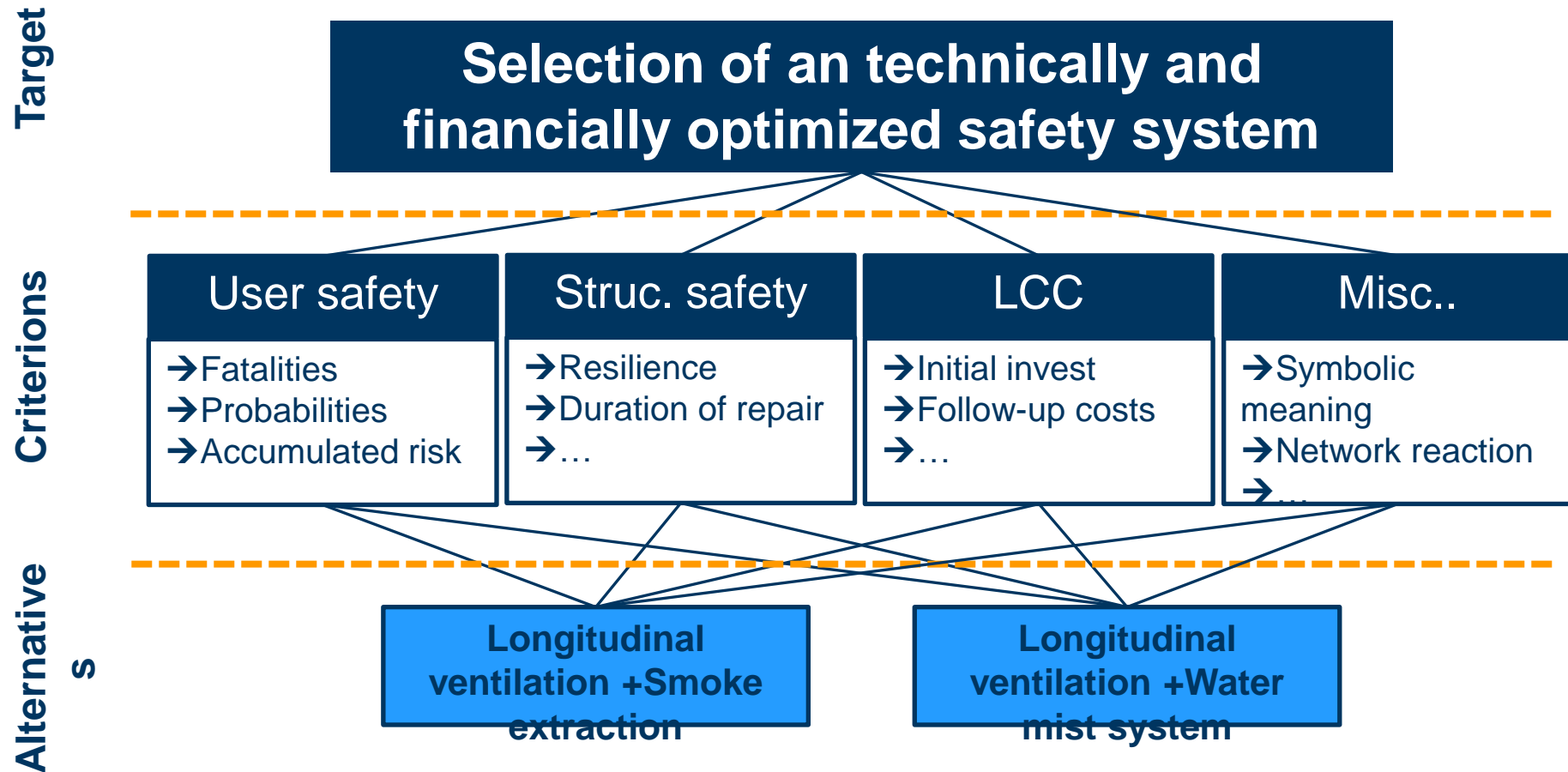
Master Tunnel Design (Length: 3000 m)



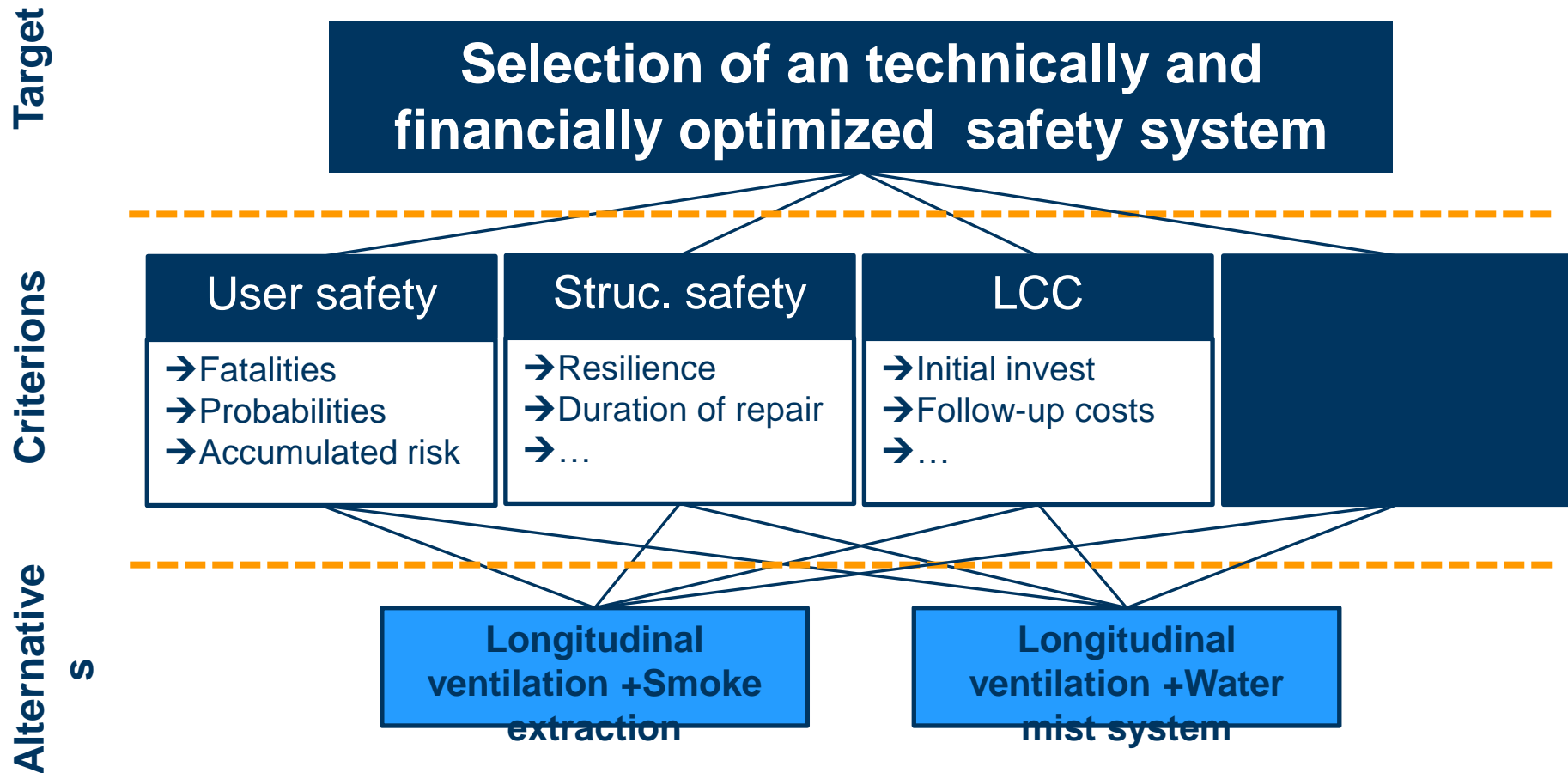
Compensated tunnel design

- ▶ Identical cross section
- ▶ **No** false ceiling
- ▶ Smoke extraction compensated by water mist system
- ▶ Identical traffic volume (DTV): 20,000 vehicles/day per tube
- ▶ Fully equipped according to German RABT

Adopting the hierarchical decision model:



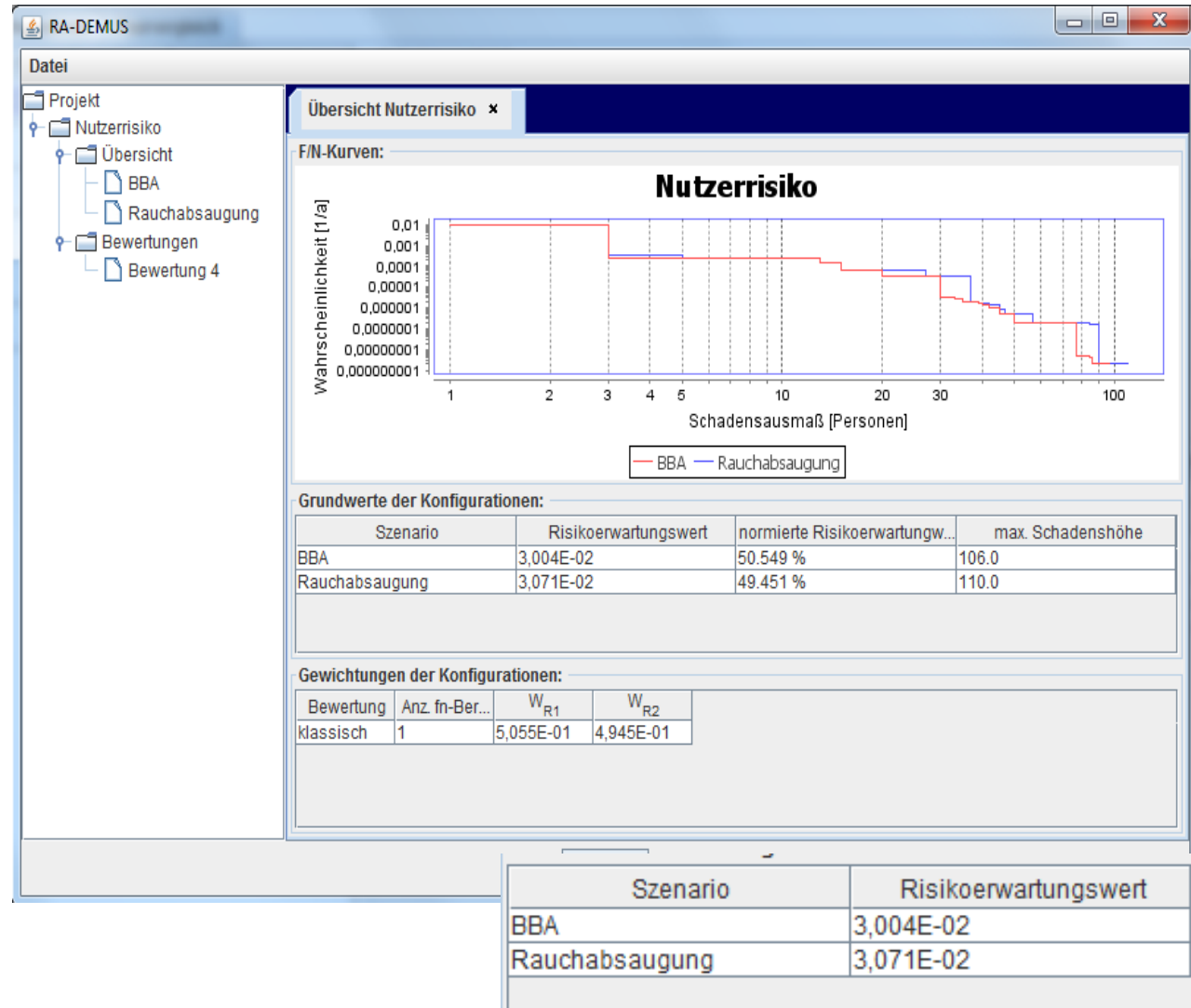
Adopting the hierarchical decision model:



Assessment for a 100 MW pool fire

User safety

- Fatalities
- Probabilities
- Accumulated risk

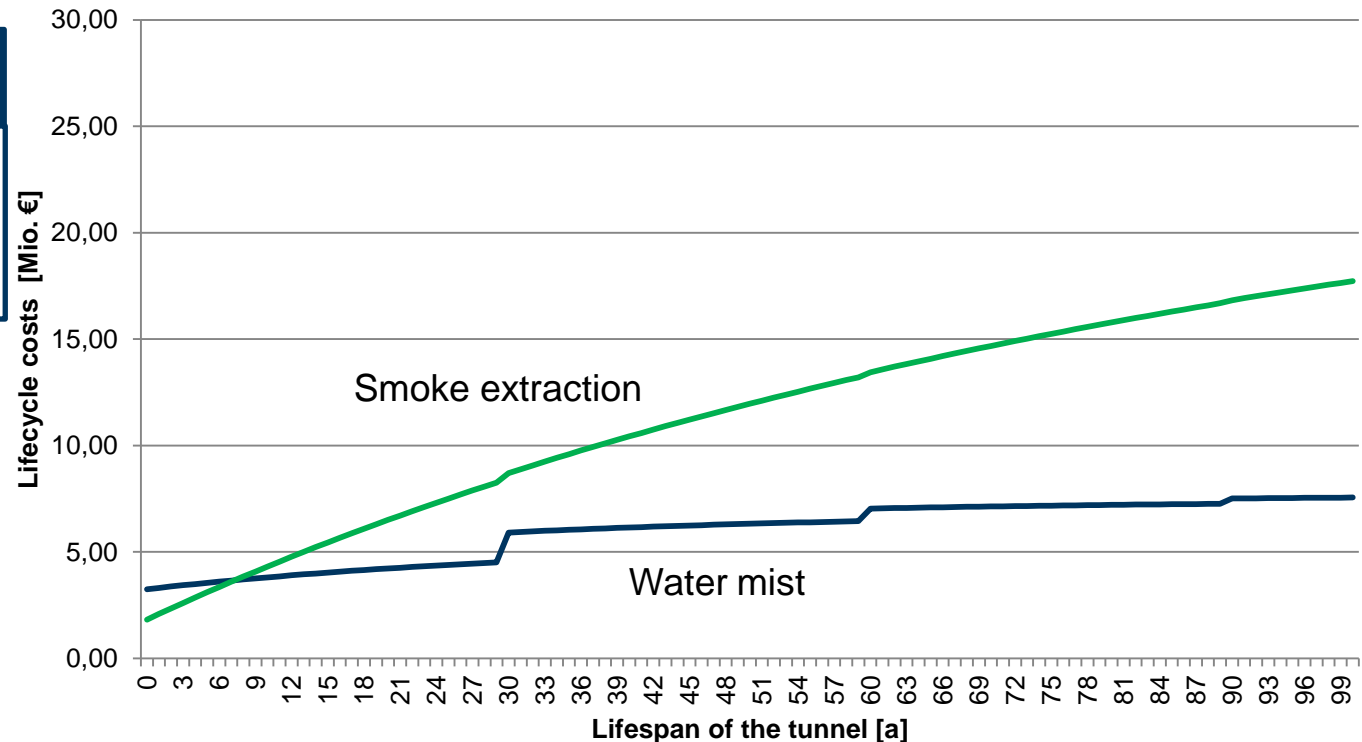


Assessment for a 100 MW pool fire

Alternative	NPV (t=100 a)	Initial costs	Follow-up costs
Smoke extraction	17.726.133,19 €	1.824.000,00 €	15.902.133,19 €
Water mist	7.554.501,84 €	3.250.000,00 €	4.304.501,84 €

LCC

- Initial invest
- Follow-up costs
- ...





Assessment for a 100 MW pool fire

Struc. safety

- Resilience
- Duration of repair
- ...

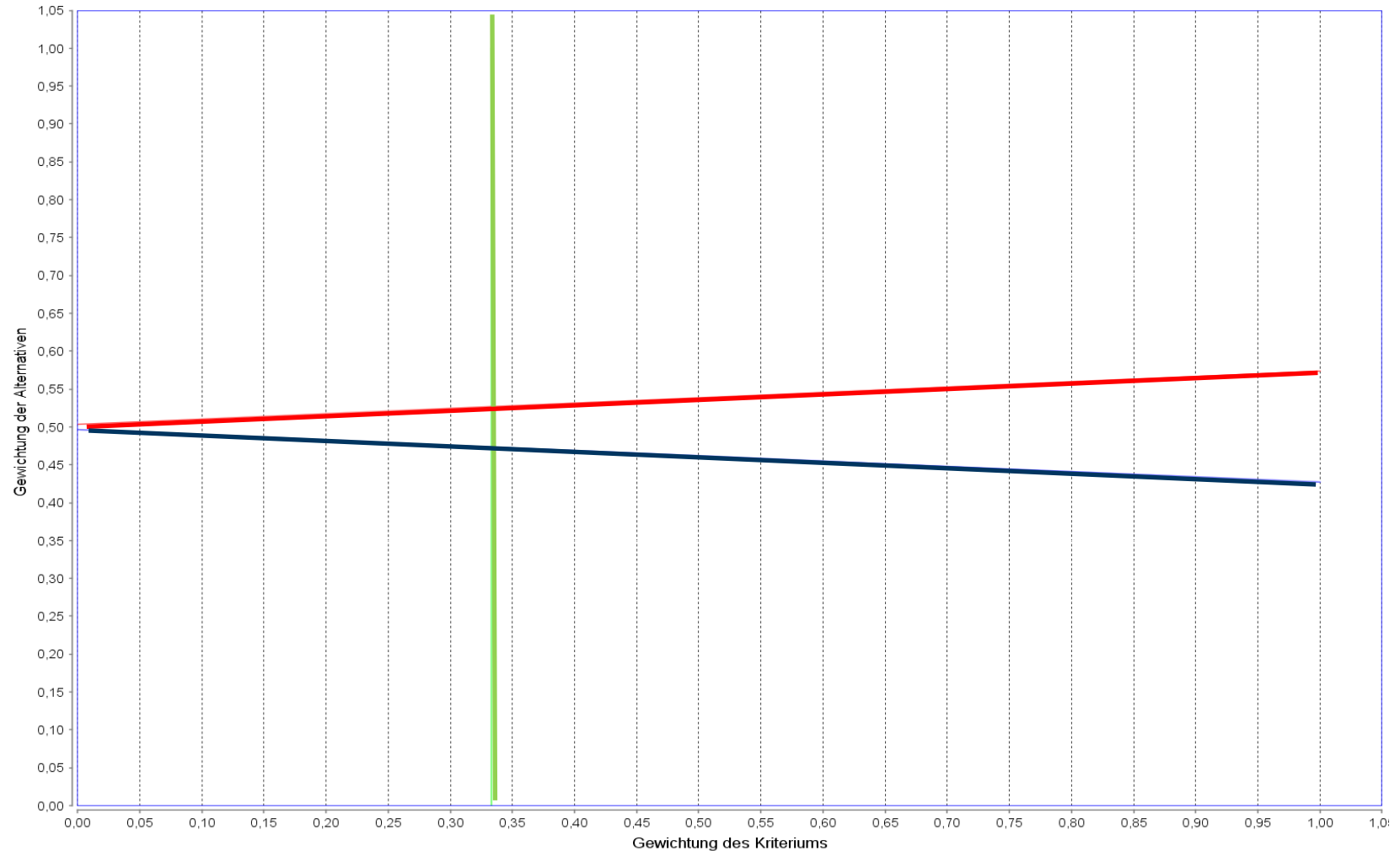
- ▶ Was not part of the assessment in SOLIT²
- ▶ Test results showed that max T within the test tunnel, especially at the crown and the inverts, was significantly reduced when using the water mist system
- ▶ With that in mind it's rather safe to say that the structural damage due to the fire would be reduced accordingly in a structural assessment
- ▶ Staying on the safe side an equal amount of damage was applied for both

Prioritizations and overall result

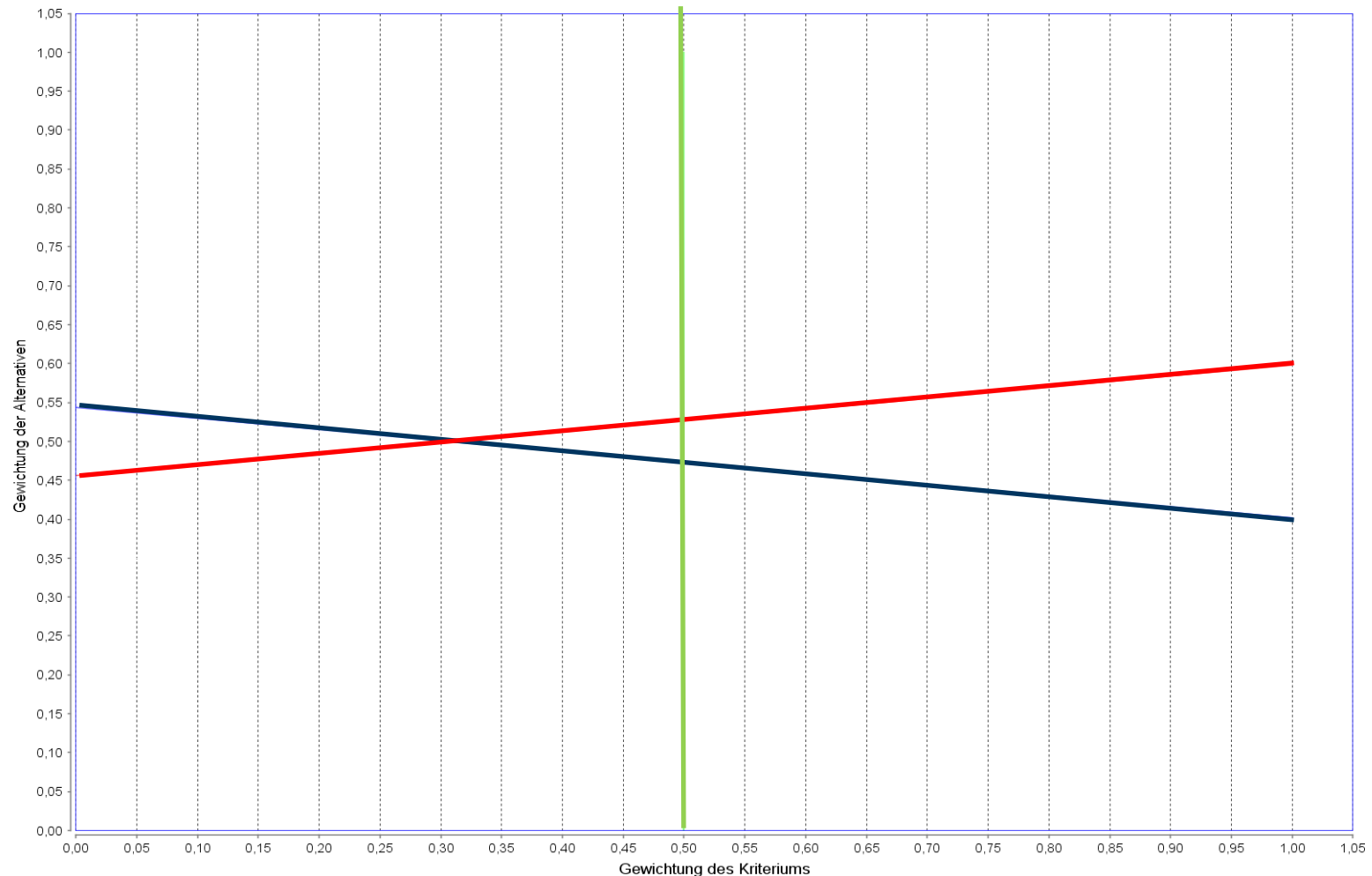
DEMUS ² - Decision Management for Underground Infrastructure				
Datei Ergebnisauswertung Hilfe				
Kriterien	Alternativen			
	Ebene 1	Ebene 2	Gewichtung	Sensitivitätsanalyse
<input type="checkbox"/>	LZK		0.33	<input type="checkbox"/>
<input type="checkbox"/>		Initialkosten	0.5	<input type="checkbox"/>
<input type="checkbox"/>		Folgekosten	0.5	<input type="checkbox"/>
<input type="checkbox"/>	Nutzerrisiko		0.33	<input type="checkbox"/>
<input type="checkbox"/>		FN1	0.99	<input type="checkbox"/>
<input type="checkbox"/>		FN2	0.01	<input type="checkbox"/>
<input type="checkbox"/>		FN3	0.0	<input type="checkbox"/>
<input type="checkbox"/>		FN4	0.0	<input type="checkbox"/>
<input type="checkbox"/>	Bauwerksverfügbarkeit		0.33	<input type="checkbox"/>
<input type="checkbox"/>		Instandsetzungsdauer	0.5	<input type="checkbox"/>
<input type="checkbox"/>		Instandsetzungskosten	0.5	<input type="checkbox"/>

Alternatives	Weighting
Water mist	52,7%
Smoke extraction	47,3 %

Sensitivityanalysis for the main criterion “LCC”



Sensitivityanalysis for the sub criterion “Follow-up costs”

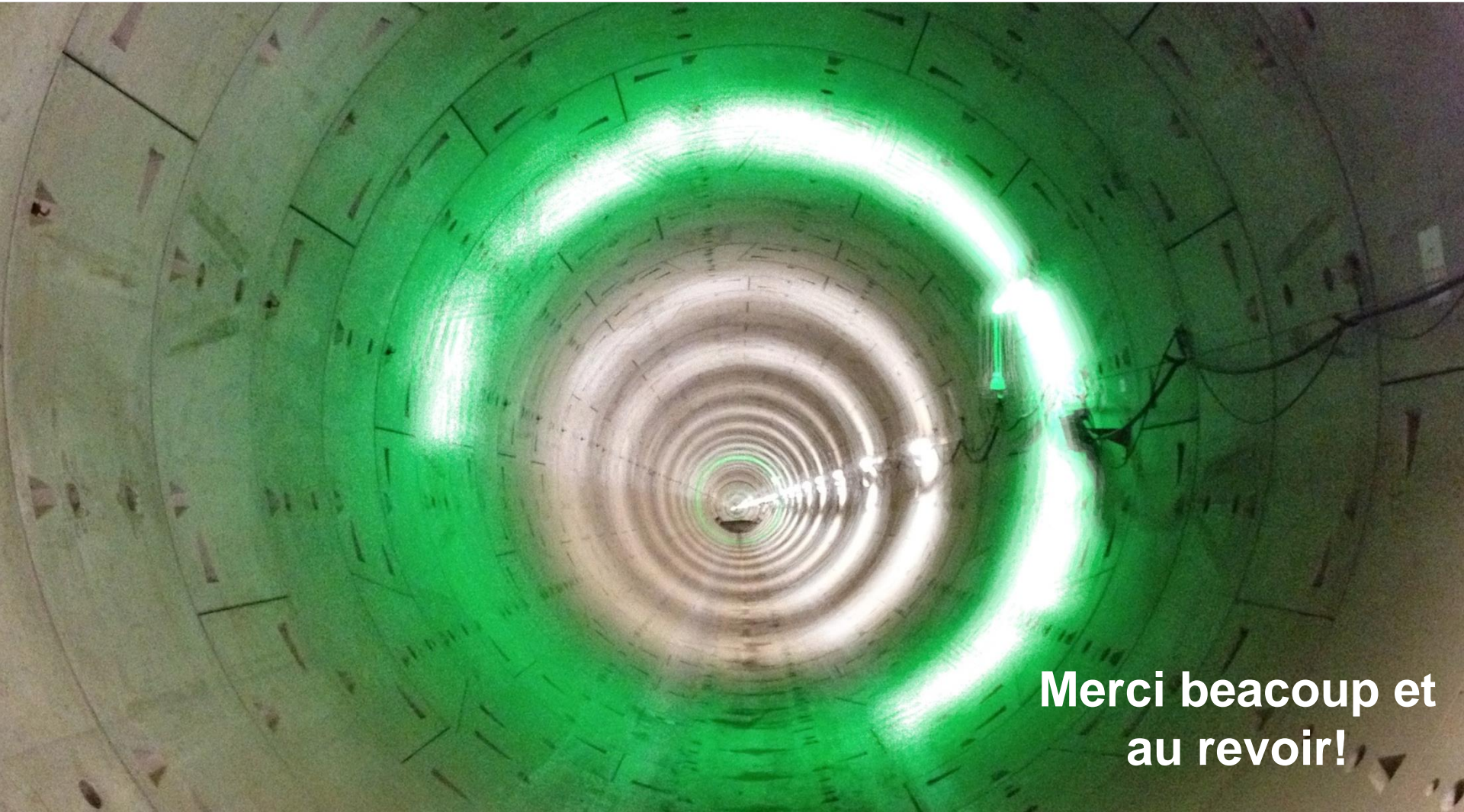


Content

- General problem and key elements
- Decision theory and its application
- Exemplarily assessment
- **Conclusions**



- ▶ For assessing the need for and the efficiency of specific measures, a safe design or of a systemic upgrade a holistic approach is needed
- ▶ Holistic approaches ask for different expert knowledge from varying fields of expertise to make the mandatory assessment possible
- ▶ Approaches like the AHP provide help for making transparent and traceable decisions



**Merci beaucoup et
au revoir!**



Dr.-Ing. Goetz Vollmann
Institute for Tunnelling and Construction Management / RUB
goetz.vollmann@rub.de

